



काशी हिन्दू विश्वविद्यालय
BANARAS HINDU UNIVERSITY
VARANASI



AGENDA
of the
EXECUTIVE COUNCIL MEETING
held on

November 7, 2016

professional photo paper

BANARAS HINDU UNIVERSITY

MEETING OF THE EXECUTIVE COUNCIL
TO BE HELD ON 07.11.2016 AT
BANARAS HINDU UNIVERSITY

I N D E X

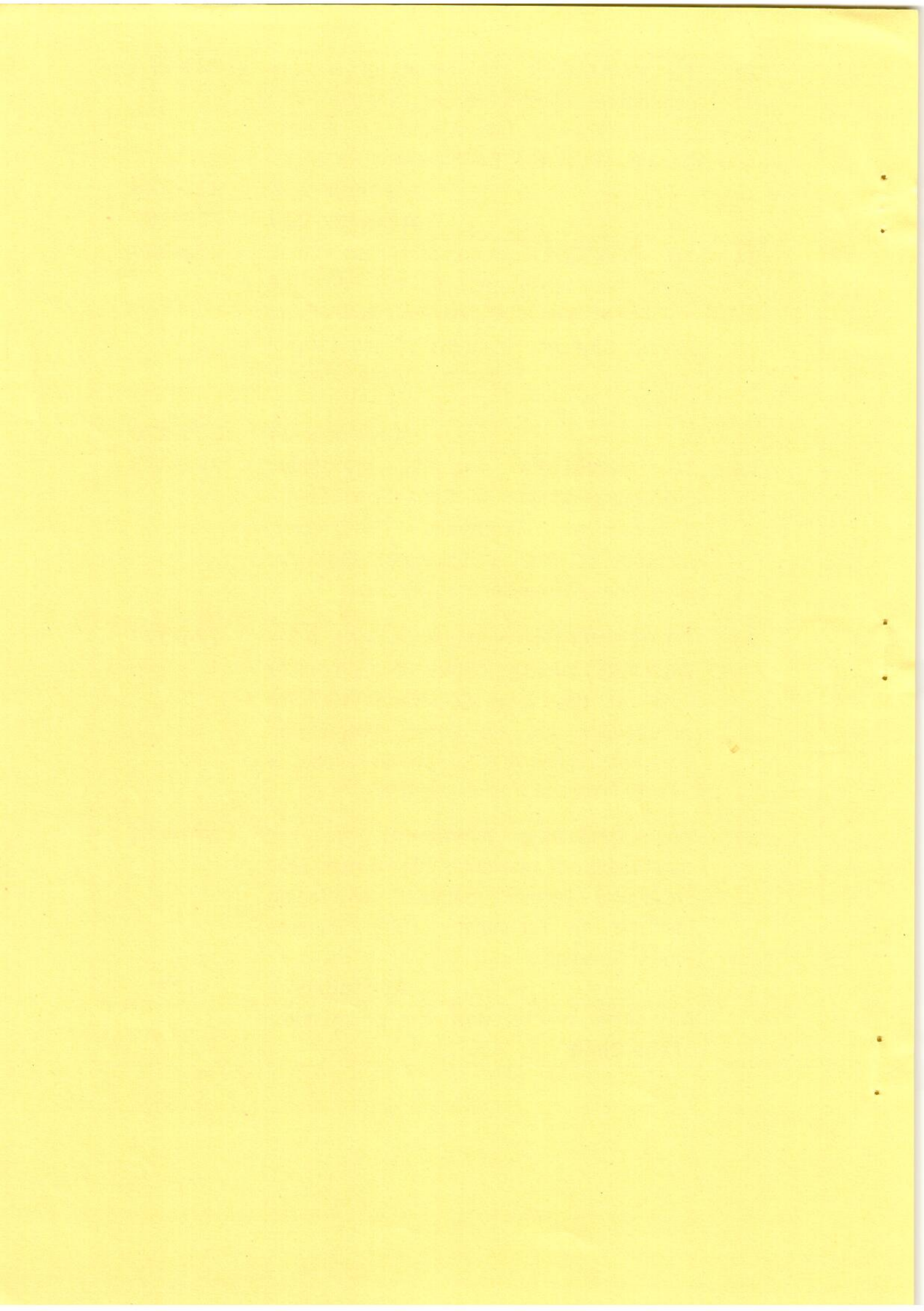
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ITEM 1

TO CONSIDER confirmation of Minutes of the Executive Council Meeting held on April 23, 2016.

NOTE

The Minutes of the Executive Council meeting held on April 23, 2016, were circulated to the Hon'ble Members of the Executive Council vide email dated May 23, 2016 with the request to send their comments/suggestions. Since no member has given any comment, the Minutes have been sent to the concerned sections for initiating action on the decision of the Executive Council under the approval of the Vice-Chancellor **APPENDIX-1.**

The Executive Council may peruse and confirm the Minutes.

Banaras Hindu University

Minutes of the meeting of the Executive Council held at 6.00 p.m. on 23th April, 2016 at India International Centre, New Delhi.

Members Present:

1. Prof. Girish Chandra Tripathi, Vice-Chancellor	In the Chair
2. Prof. Jagmohan Singh Rajput	Member
3. Prof. Mahesh Chandra Misra	Member
4. Prof. D.P. Singh	Member
5. Prof. V. Kutumba Sastry	Member
6. Prof. C.R. Jyotishi	Member
7. Prof. Dhananjay Pandey	Member
Dr. K.P. Upadhyay, Registrar	Secretary

Prof. Michel Danino and Dr. Nachiketa Tiwari Members of the Executive Council could not attend the meeting. Shri Abhay Kumar Thakur, Finance Officer attended the meeting as a Special Invitee.

At the outset, the Vice-Chancellor – Chairman of the Executive Council extended a warm welcome to the Hon'ble Members in the meeting of the Executive Council and expressed his gratitude to the members for their help and guidance owing to which some new initiative have been taken and many more could be taken.

The Vice-Chancellor informed the members that the Hon'ble President will visit the Banaras Hindu University on 12th May, 2016 and deliver a Centennial Address as part of the Centennial Celebration of the University. He invited all the members to the Centennial Address by the President.

The Vice-Chancellor then presented his brief report on the activities undertaken in the University in the last few months, Particularly on Centennial Celebration of the University (APPENDIX-I).

Prof. J.S. Rajput suggested that a counseling and guidance Cell be created for the boys also. He appreciated the idea of organizing World Summit on Higher Education and suggested that we may organize the Summit in Collaboration with UNESCO.

Prof. D.P. Singh suggested that a video CD on the Kulgeet rendition should be prepared which may be displayed in the background while Kulgeet is rendered in official function of the University. He further suggested that constitution of National Implementation Committee for Centennial Celebration should be notified.

The members appreciated the initiative taken by the Vice-Chancellor.

The Agenda items were then taken up.

**ECR 360
ITEM 1**

CONSIDERED confirmation of Minutes of the Executive Council Meeting held on February 21, 2016.

The members perused the Minutes and resolved that the Minutes of the meeting dated 21.02.2016 of the Executive Council be confirmed.

RESOLVED THAT the Minutes of the Executive Council meeting held on February 21, 2016 be confirmed.

**ECR 361
ITEM 2**

CONSIDERED the action taken on the decisions of the Executive Council in its meeting held on February 21, 2016.

RESOLVED THAT the action taken on the decisions of the Executive Council in its meeting held on February 21, 2016 placed at APPENDIX-2 of the Agenda be recorded.

ECR 362
ITEM 3

CONSIDERED the orders of the Vice-Chancellor regarding confirmation of teachers and Group 'A' Officers of the University.

The Executive Council noted that the appointment of teachers as well as Group 'A' Officers are made by the Executive Council on probation for one year. On successful completion of which, they are confirmed on their respective posts under the orders of the Vice-Chancellor who is authorized to do so by the Executive Council (ECR 29 of 1977). Due process of confirmation had been followed before ordering for confirmation of the teachers and Group 'A' Officers listed in Appendix-3 of the agenda, on their respective posts by the Vice-Chancellor.

RESOLVED THAT the orders of the Vice-Chancellor regarding confirmation of the teachers and Group 'A' Officers of the University as per APPENDIX-3 of the Agenda be approved.

ECR 363
ITEM 4

CONSIDERED the decision of the Investment Committee for investing funds of Banaras Hindu University during the period from 24.02.2016 to 17.03.2016.

RESOLVED THAT the decisions of the Investment Committee for investing funds of

Banaras Hindu University during the period from 24.02.2016 to 17.03.2016 be approved.

ECR 364
ITEM 5

CONSIDERED the Investment of Provident Fund of Banaras Hindu University as on 31.03.2016.

The Executive Council recorded the status of Investment of Provident Fund of Banaras Hindu University as on 31.03.2016 as per APPENDIX-5 of the Agenda.

ECR 365
ITEM 6

CONSIDERED the accrued/actual interest earned on the investment of BHU in the financial year 2015-16.

The Executive Council recorded the status of the accrued/actual interest earned on the investment of BHU funds in the financial year 2015-16 as per APPENDIX-6 of the Agenda.

ECR 366
ITEM 7

CONSIDERED the re-joining of Dr. Kamlesh Manohar Palandurkar on the post of Assistant Professor in the Department of Biochemistry, Institute of Medical Sciences.

RESOLVED THAT the orders of the Vice-Chancellor dated 26.02.2016 accepting the request of Dr. Kamlesh Manohar Palandurkar for revoking his resignation and allowing him to re-join under the stated circumstances be ratified and approved.

ECR 367
ITEM 8

CONSIDERED recommendations of Selection Committees made for direct recruitment of teaching positions, non-teaching positions and promotion of teachers under Career Advancement Scheme in Institute of Medical Sciences/Trauma Center and Faculty of Arts.

RESOLVED THAT the recommendation of the Selection Committees for direct recruitment of teaching positions, non-teaching positions and promotion of teachers under Career Advancement Scheme in Institute of Medical Sciences/Trauma Center and Faculty of Arts be approved as per APPENDIX-II of the Minutes.

The Executive Council perused the letter dated 20.4.2016 written by the Head of the Department of Geography regarding promotion of two Assistant Professors of the department to the Assistant Professor Stage-3 under CAS (APPENDIX-III).

The Executive Council noted that under the provisions of UGC regulation 2010 for promotion of Assistant Professor to the Stage-2 and Stage-3 under CAS there is no requirement of interview with the candidates the screening committee has to verify the API scores of the candidates. In the instant case the two candidates namely Dr. Gyatri Rai and Dr. Goswami though fulfilled the requirement of API scores for promotion to Stage-3, were interviewed by the selection committee and were not recommended for promotion on the ground that their performance in the interview was not satisfactory. This does not appear to be a tenable reason when there was no requirement for interview under the provisions of rules. Those candidates who did

not appear for interview were promoted to Stage-3 but these two who appeared were denied on the ground that their performance in the interview was not satisfactory. The aforementioned two candidates have now applied afresh and have been recommended by the screening committee for promotion to the Stage-3 of Assistant Professor under CAS.

In the normal course their date of eligibility for promotion to the Stage-3 in the subsequent assessment would be one year after the date of the meeting of the Selection Committee which did not recommend their case for promotion. However under the aforementioned circumstances it was found desirable that they should not be put to any loss when they fulfilled all the requirements of promotion but were denied the same for the reasons not provided for in the UGC Regulations.

In the light of the above Executive Council further resolved as under:

RESOLVED FURTHER THAT the promotion of Dr. Gyatri Rai and Dr. P.K. Goswami as Assistant Professor (Stage-3) under CAS be effected from the date they fulfilled all the requirements of the promotion in the first instance ignoring their rejection (which was for the reasons not provided in the rules) by the earlier Selection Committee.

ECR 368
ITEM 9

CONSIDERED the approval of the recruitment rules for Law Officer, Training Placement Officer, Student Career Counselor and Manager Guest House Complex and Canteens as per decision of the Executive Council vide ECR no. 295 dated 8 July, 2015.

Executive Council noted that the Committee while making recommendations for the post of Student Career Counselor had recommended that the career counseling is a highly specialized job and requires senior professionals in that field. The objective may not be achieved by appointing a Student Career Counselor at junior level rather senior professionals in the field be engaged for short-term duration for the said purpose. The Executive Council though appreciated the concern of the Committee and was in agreement with its recommendation yet it was of the opinion that the post of the Student Career Counselor at the level of Assistant Registrar is not meant for performance of the job counseling all by himself / herself but the major job requirement would be coordinating such activities for the large and diverse student population including engaging and inviting the professionals for career guidance. Basically it is for creating a nucleus around which the all counseling activities would revolve. After deliberating over the issues in detail the Executive Council resolved as under:

RESOLVED THAT the entire matter be referred back to the Committee for a review in its entirety including the qualifications suggested.

ECR 369
ITEM 10

CONSIDERED the proposal for conducting the Written/Skill test (wherever required) by the Selection Committees constituted vide ECR no. 203 dated 31 August, 2010 for recruitments to all non-teaching Group B, C and D posts and send its recommendations to the Vice-Chancellor for appointment on

the concerned posts in accordance with merit based on the scores of the written test.

RESOLVED THAT recruitments to all non-teaching Group B, C and D posts would now be made by the Selection Committees constituted vide ECR no.203 dated 31 August, 2010 through a process of written test and/or skill test instead of through interviews. The Examination for Written Test would be conducted by the Registrar in accordance with the directions and guidelines framed by the Selection Committees. Based on the scores obtained by the candidates in the written test of the respective posts, the Selection Committee would recommend the candidates to the Vice-Chancellor for approval for appointment to the concerned posts.

RESOLVED FURTHER that as regards requirement of putting any minimum cut-off marks in the written test required, if any for the appointment to the posts in Group B, C, & D, the matter may be put up after getting information in this regard from the other recruiting agencies such as UPSC, SSC, etc.

ECR 370
ITEM 11

CONSIDERED the orders of the Vice-Chancellor, BHU dated 01.03.2016 and 04.03.2016 for issuing advertisements and extending the cut-off date for receipt of on-line application from 31.03.2016 to 20.04.2016 in Rolling Advt.No.08/2015-2016.

RESOLVED THAT orders of the Vice-Chancellor dated 01.03.2016 and 04.03.2016 for issuing advertisements and extending the cut-off date for receipt of on-line application from 31.03.2016 to 20.04.2016 in Rolling Advt.No.08/2015-2016 be recorded and approved.

ECR 371
ITEM 12

CONSIDERED the constitution of Special Committee for appointment of Centenary Chair Professors and Centenary Visiting Fellows in the University in the Area of Indian Studies.

RESOLVED THAT the Vice-Chancellor be authorized to nominate a Member of Executive Council and other members to serve as members on the Selection Committee for the Centenary Chair Professors and Centenary Visiting Fellows.

RESOLVED FURTHER that the Vice-Chancellor be also authorized to nominate members on the Special Committees for other Chairs.

ECR 372
ITEM 13

CONSIDERED nomination of member of Executive Council to serve on the Special Committee constituted for selection on the Malaviya Chair in the Malaviya Centre for Ethics & Human Values, BHU.

RESOLVED THAT the Vice-Chancellor be authorized to nominate a member of the Executive Council and other members to serve as members on Selection Committee for Malaviya Chair in the Malaviya Centre for Ethics & Human Values, BHU.

ECR 373
ITEM 14

CONSIDERED the report of the Committee constituted by the Executive Council vide ECR No.282 dated 21.04.2015 on the appeal dated 08.04.2015 of Prof. Ramashrey Prasad Singh, Ex-Professor, Department of Geology, Faculty of Science, BHU.

The Executive Council noted that Dr. Ramashrey Prasad Singh was proceeded against departmentally under Rule 14 of CCS (CCA) Rules, 1965 for the charges of making unsubstantiated, mischievous, malicious, frivolous and derogatory allegations against the then Vice-Chancellor, Dr. Lalji Singh. The Inquiry Committee constituted to inquire into the charges leveled against Prof. R.P. Singh found the charges to be established and the Executive Council based on the report of the inquiry imposed the penalty of dismissal from service on Dr. R.P. Singh vide ECR No.167 dated 30.11.2013. Accordingly Dr. R.P. Singh was dismissed from service vide order No.R/V&CS/2014/137/04 dated 03.01.2014. Aggrieved with this Dr. R.P. Singh made an appeal dated 08.04.2015 to the Vice-Chancellor & Chairman, Executive Council to review the aforesaid decision of the Executive Council imposing penalty of dismissal from service on him. The Executive Council while considering the appeal Dr. R.P. Singh resolved to constitute a Committee to look into the facts of the matter in his appeal and give its recommendation for consideration of Executive Council. Accordingly, a Committee under the chairmanship of Prof. R.K. Mishra, Ex. Professor, Faculty of Law and former Vice-Chancellor Gorakhpur University was constituted which after consideration of the entire matter has submitted its report emphasizing *inter alia* that the quantum of penalty imposed on charged Professor is grossly disproportionate to the charges leveled against him. The elementary law adheres to the principle of proportionality in prescribing punishment according to the degree of culpability of the conduct. This principle has not

been adhered to in the present case. The Committee feels that the charges established in the present case do not attract the extreme penalty of dismissal from service.

After perusing the report of the Committee and deliberating over the issue in detail the Executive Council was of the opinion that the penalty of dismissal from service on Dr. Ramashrey Prasad Singh is not commensurate with the charges leveled against him and hence is not just and appropriate. The appeal of Dr. Ramashrey Prasad Singh, thus has merit and hence deserves consideration. The Executive Council therefore resolved as under:

RESOLVED THAT the appeal of Dr. Ramashrey Prasad Singh be accepted and the penalty imposed on him be reviewed.

RESOLVED FURTHER THAT the penalty of withholding two increments with noncumulative effect be imposed on him in supersession to the penalty imposed on him vide ECR No. 167 Dt. 30.11.2013.

ECR 374
ITEM 15

CONSIDERED letter no.F.No.8/C150003660/2015/NCW/SS/SJ dated 1st April, 2016 of Ms. Laldingliani Sailo, Member, National Commission for Women, Government of India, New Delhi in the cases of Dr. Supriya Shah and Dr. Swarna Khuntiya, Assistant Professor, Department of Instrumental Music Faculty of Performing Arts, BHU.

The Executive Council noted that a complaint of sexual harassment was made by two teachers of Faculty of Performing Arts against Dr. V. Balaji and a student of Faculty of Management Studies

against a Professor of the Faculty Dr. S.C. Singh respectively. The complaints were inquired into by the Complaints Committee of the University which did not find the allegations to be true. However, on receipt of inquiry report by the complainants they made a representation to the Executive Council citing many discrepancies in the reports and dismissing the report accordingly. The Executive Council too found certain discrepancies and some unexplained decisions without elaborating the reasons for arriving at them. The Executive Council discussed the reports of the inquiry in the light of the provisions of Sexual Harassment of Women at Workplace (Prohibition, Prevention and Redressal) Act, 2013 as also the representation of the complainant, legal advice and considering the sensitivity involved in such cases and decided to seek the advice of the National Commission for Women in the matter. In response to the letter of the University seeking advice of the Commission, Ms. Laldingliani Sailo, Hon'ble Member of the Commission vide her letter No.F.No.8/C150003660/2015/NCW/SS/SJ dated 01.04.2016 has sent the advice/observation of the Commission. The observations made by the Commission clearly state that the report of the Complaint Committee was vague and does not even discuss the evidence in any detail besides rejecting the accounts of the eyewitnesses without assigning any cogent reason. The Report also has the infirmity of absence of the outside NGO Member in any of its hearings of the cases though it bears her signature. The Executive Council further noted that the Commission in its observation has *inter-alia* made the following final observation:

- *The aggrieved complainant can also file an appeal against the recommendation made by the internal committee to the Court or Tribunal in accordance with the provision of the service rule applicable to the said person (Section 18 of Sexual Harassment at Workplace Act) within a period of 90 days of the order.*
- *Though the Act is silent on whether the Internal Committee which carried out the enquiry can be reconstituted this can be done if there was a clear irregularity in the manner in which it was conducted without the NGO representative or if the eye witness evidence was disregarded for no cogent reason.*

After deliberating over the observation/advice of National Commission for Women, the facts on record, the representation of the complainants and fact that the Complaint Committee did not properly review its inquiry even when the Executive Council reverted the report to it pointing the aforementioned infirmities in it, and submitted the same report again, the Executive Council is of the opinion that the blatant and obvious infirmities in the inquiry of the Complaints Committee into the aforesaid complaints may not be overlooked for a fair, transparent and reasoned inquiry into the cases and to arrive at just and appropriate decisions. The Executive Council therefore resolved as under:

RESOLVED THAT the Complaints Committee be reconstituted which will make an inquiry into all the three complaints viz., complaint of Dr. Supriya Shah and Dr. Swarn Khuntia against Dr. V. Balaji, Faculty of Performing Arts and complaint of Ms. Jyoti Bala against Prof. S.C. Singh, Faculty of Management Studies afresh in accordance with the provisions of

Sexual Harassment of Women at Workplace
(Prohibition, Prevention and Redressal) Act,
2013 in a transparent, judicious and reasoned
manner.

ECR 375
ITEM 16

CONSIDERED the appointment of Director, Institute of Science,
Banaras Hindu University.

RESOLVED THAT consideration of the matter be
deferred.

ECR 376
ITEM 17

CONSIDERED the appointment of Director, Institute of
Management Studies, Banaras Hindu University.

RESOLVED THAT consideration of the matter be
deferred.

ECR 377
ITEM 18

CONSIDERED the appointment of Director, Institute of
Environment and Sustainable Development, Banaras Hindu
University.

RESOLVED THAT consideration of the matter be
deferred.

ECR 378
ITEM 19

CONSIDERED acceptance of donation made by Mr. K.S.
Prasad, Managing Director, Sri Balaha Chemicals Pvt. Ltd.

RESOLVED THAT the donation of Rs.3,00,000.00 made by Mr. K.S. Prasad, Managing Director, Sri Balaha Chemicals Pvt. Ltd. for the purchase of Five Cows be accepted.

The meeting then ended with a vote of thanks to the Chair.


(K.P. Upadhyay)
Secretary


(Girish Chandra Tripathi)
Chairman



BANARAS HINDU UNIVERSITY

CENTENNIAL CELEBRATIONS

The confluence of oriental and theological learning, traditional and liberal arts, science and technology, ayurveda and modern systems of medicine & agriculture made BHU a unique Capital of Knowledge, where East met West. The students passing out of the portals of such a Capital of Knowledge not only acquired professional skills but were also imbued with a deep sense of Indianness. The excellent infrastructural facilities, many of which were ahead of times for India, model campus life and the magnetic personality of Pt. Malaviyaji made BHU the most favoured destination for the talented students and learned faculty from all parts of the country. Although designated as 'The Benares Hindu University', the National University has all along been a catholic institution open to persons of all classes, castes, creeds, and of both sexes without any discrimination whatsoever. The founder's message was, *"It is my earnest hope and prayer that this centre of life and light which is coming into existence, will produce students who will not only be intellectually equal to the best of their fellow students in other parts of the world, but will also live a noble life, love their country and be loyal to the supreme ruler."* A large number of home-grown future Builders of the Nation were received by the wonderful vision of Malaviyaji. It is a remarkable success story of the fructification of the dream of a great nationalist visionary, Mahamana Pt. Madan Mohan Malaviyaji.

The Banaras Hindu University touched the great milestone of Hundred Years of existence on 4th February, 2016. It has been a great journey for this prime institution of learning well known as Capital of Knowledge. However, in the new millennium, the BHU brand name faces a stiff challenge both at the national and the global levels. The decades old infrastructure, an ossified system of administration, management and recruitment of staff, as well as decline in state funding as compared to the more recently established and well endowed brand institutions like IITs, AIIMS, IIMs etc, are the major threats to the survival of the BHU brand name.

In the liberalized and globalised environment, BHU faces challenges not only from publicly funded Indian Institutions but also from private universities and colleges, as also from foreign universities and institutions. As India strives to compete in the knowledge based economy, it needs a number of world class universities that produce not only bright graduates but also support high quality research. The Banaras Hindu University is striving hard to take all necessary steps to propel it into the stature of a world class institution. As a first step towards realizing this goal, the University has identified the following aspects to be addressed as top priorities.

- (i) Infrastructure strengthening
- (ii) Attracting and retaining Talented Faculty
- (iii) University Governance
- (iv) Academic Excellence & Value Education.
- (v) Research Priorities and Reforms
- (vi) Development of the South Campus

Major Initiatives taken :

- The University has entered into the Centennial Year of its establishment and numerous academic, literary, cultural and sports activities are being organized regularly since the foundation day in 2015 and shall continue until the foundation day of 2017.
- On Vasant Panchami day February, 2015– the Foundation Day of the University, the grand tradition of taking out tableau procession by different faculties and units of the University was restarted after a gap of three decades. The faculties took out the tableau procession with tremendous enthusiasm.
- Organization of Gita discourses on Sundays in the holy precincts of Malaviya Bhawan for the interested faculty members, employees and students of the University, a unique feature of the Banaras Hindu University initiated by the founder of the University himself, has been restarted with the reconstitution of Gita Samiti.
- University Goshala, founded by Mahamana ji, is being revamped . A project for the conservation and breeding of the indigenous variety of cows e.g. Sahiwal, is being undertaken as one of the priority areas of activities around the Goshala. In addition, a project on the processing of cow dung for Gobar gas production and urine for medicinal research is being prepared for early implementation.
- Mahamana Pandit Madan Mohan Malaviya has been conferred with the Bharat Ratna and the Government as well as the family members of Mahamana ji have decided to keep the award at Banaras Hindu University as a source of inspiration to the students, employees, Faculty members and visitors to the campus. The University is in the process of establishing a Mahamana Malaviya Memorial at BHU which will house the Bharat Ratna, Mahamana Malaviya Gallery and a Light & Sound Show depicting his life and contribution.
- A new beginning has been made in holding the Convocation of the University. The academic robe in which the graduands receive their degrees at Convocation have been changed from English gown to the traditional Indian robe. It was a delight to watch enthusiastic young male students in Malaviya ji like attire with turban and female students in Saris moving on the campus for photo-opps on this historical occasion.
- A Centennial Celebration Cell has been established to plan and coordinate the Centennial Celebrations (2015-16) of the University. A National Implementation Committee comprising the Union Ministers, Chief Ministers of the States, other dignitaries in their respective fields, prominent alumni of the University, members of the Executive Council, former Vice-Chancellors with Chancellor, Dr. Karan

Singh as its Chairman and Prime Minister as its Chief Patron has been constituted for the said purpose. The Prime Minister has very kindly accepted the request of the University to be the Chief Patron of the Committee.

Many programmes and events have been organized to mark this historic occasion and some major initiatives have been taken.

A few high lights are outlined below :

- A week long Shrimad Bhagawat Katha, dear to Shri Mahamana ji, by its illustrious alumnus Acharya Shrivatsa Goswami ji, internationally recognized for his contributions to Indian Philosophy and Religion, was organized in Malaviya Bhawan at the initiative of the citizens of Kashi under the guidance of Justice Giridhar Malaviya ji. Several other Kathas including one by Shri Ramesh Bhai Ojha ji are planned.
- Shri Shri Ravishankar ji inspired the alumni with his words of wisdom during their meet in the Centennial Year.
- Several Centennial Lectures at the University level by eminent persons like viz. Shri Krishna Gopal, Shri Shri Ravishankar ji, Shri M, Bharat Ratna Prof. C. N. R. Rao, well know scientist Padmabhushan Shri R. Chidambaram, Padmabhushan Dr. R. D. Lele, Under Secretary General of United Nations, Mr. Adama Dieng, Prof. R.C.Tripathi, Padmashree Prof. Pushpesh Pant etc..

In addition, a series of lectures by eminent personalities is being held on monthly basis at the faculty level.

- The Journey of Hope by Shri M and his associates on its way from Kanyakumari to Shrinagar was given a warm welcome on the BHU campus and a special International Conference was held in his honour on the campus. An International Summit on peace has been organized on 20th December 2015 in which Shri M was the Chief Guest and delegates from USA, Europe and Asian countries participated.
- UNESCO has renewed its Chair established in BHU.
- To commemorate the contributions of Mahamana ji as a legendry Parliamentarian, Youth Parliaments are being held in each faculty and shall culminate into a University level Youth Parliament comprising the winners of the faculty level youth parliamentarians in February 2017.

- o Special National level sports events are being organized keeping in mind the Mahamana's vision for the holistic development of the personalities of the students. A common minimum sports facilities have been created in each hostel of the University besides developing the sports fields in modern stadia. The details of the sports events scheduled during the centennial year is given below:

Sr. No.	Description of the proposed programme	Date of Commencements
1	Six week certificate course in sports coaching in collaboration with Sports Authority of India	14-05-2015 to 24-06-2015
2	Centennial lecture by Mr. M. K. Kaushik, Dronacharya Awardee (Hockey) Development of sports and its challenges in India	4 th and 6 th June 2015
3	Football Licence 'D' Course with collaboration of U.P. Football Association	27 th June to 5 th July 2015
4	Celebration of International Yoga Day	21 st June 2015
5	Certificate coaching Programme for the Village sports person for preparing coaches at grass root level	September and March; 21 days programme in each month
6	Scholars in residence programme	October & December
7	Paralympics Swimming National Championship	October 2015
8	Tournaments for "Interaction through Sports" with AMU & JMI	November 2015
9	Organization of All India Agricultural Universities Sports Meet	November 1 st week
10	National Conference on Role of Sports Board and Doping	7 th & 8 th November 2015
11	7 days II National workshop on Adventure sports and certificate course in mountaineering and allied sports ASCCMAS - 2015	19 - 24 November 2015
12	International Congress of Sports Psychology ICSP - 2015	27 th November to 1 st December 2015
13	Inter University Tournaments in Collaboration with AIU	As per AIU Calendar
14	Organisation of Inter Hostels and Inter Faculty Tournaments	August to October 2015
15	Demonstration activity of Taekwondo and other martial arts	February 2016
16	Federation Cup Tournament of Volleyball	February 2016
17	International Conference on ICON Sports - 2016	12-13 march 2016
Extension and Outreach programmes		
18	Organizing Coaching Camps for BHU Schools, nearby schools and staff wards	August to September and January to February
19	Organizing workshops and extension lectures for sensitizing about the benefit of sports in U.P. and neighbour states.	Time to Time whole year
20	Initiation of Programme on therapeutic role of sports in physical and mental health and health awareness through sports participation	Time to Time whole year
21	Eminent Sports person as Scholar in Residence	Two
Development Programmeme		
22	Restructuring of play grounds by developing aesthetically suited landscape around the grounds and removal of abstractions such as poles etc.	As early as possible
23	Completion of under construction swimming pool	As early as possible
24	Renovation of Vibhuti Narayan Singh Indoor Stadium roof and wooden flooring to meet the norms of conducting tournaments	As early as possible

- Several cultural events involving eminent artists in their fields are being held at the University as well as the Faculty levels. Details of the University level events are given below:

Programme	Date	Venue
Sanskrit Dance Drama – Uma Pariniyam	28.08.2015	Pt. Onkarnath Thakur Auditorium
Sanskrit Dance Drama – Malvikangnimitram	12.09.2015	Pt. Onkarnath Thakur Auditorium
Nrityanjali	13.10.2015	Pt. Onkarnath Thakur Auditorium
Sitar Recital of Prof. Birendra Nath Mishra	05.11.2015	Pt. Onkarnath Thakur Auditorium
Vocal Recital by Dr. Alka Dev Marulkar	16.11.2015	Pt. Onkarnath Thakur Auditorium
Sitar Recital by Padmashree Sahid Parvez Khan	24.12.2015	Swatantrata Bhawan
Classical Vocal Music and Bhajans by Shri Sanjeev Abhayankar	25.12.2015	Swatantrata Bhawan
Nritya Rupak : Garvahan by Ms. Rajashree Shirke & special attraction of the events Gatha Mahamana Ki	26.12.2015	Swatantrata Bhawan
Chau Nritya (Puruliya – West Bengal)	10.2.2016	Pt. Onkarnath Thakur Auditorium
Ram Ki Shakripooja	12.2.2016	Swatantrata Bhawan
Vocal Recital by Pt. Rajan-Sajan Mishra	13.2.2016	Swatantrata Bhawan
Violin Recital by Padmabhushan N.Rajam & Ms Sangeeta Shankar	14.2.2016	Swatantrata Bhawan
Vocal Recital by Pt.Ajay Pohankar	14.2.2016	Swatantrata Bhawan
Guitar Recital by Pt. Devashis Bhattacharya	14.2.2016	
Shashtra Utsava – Sattriya Dance and Ankiya Bhaona (Performative Texts & Traditions of North-East India)	29.3.2016	Swatantrata Bhawan

- Government of India has accepted the proposals of the University for the release of special commemorative postal stamps and commemorative coins of different denominations to mark the Centennial Year of the University.
- It was gratifying for the University family when honorable Prime Minister, Shri Narendra Modi ji had delivered 98th Convocation address of the Banaras Hindu University on 22nd February, 2016. It was a great joy and gaiety for the BHU family to receive the words of wisdom at this historic occasion on amphitheatre ground. Honorable Union Minister of HRD, Ms. Smriti Jubin Irani, and Chancellor Dr. Karan Singh were also present.
- It is heartening to note that honorable President of India & Visitor of BHU, Shri Pranab Mukherjee ji has kindly accepted the request of the Banaras Hindu University to deliver the centennial address to BHU family on 12th May 2016 at 6 PM.
- The Hon'ble President will also release the Centennial Commemorative Coins on this occasion.

- Centennial Celebrations were observed in Kolkata, Mumbai, Lucknow, Bangalore and Dehradun and in other cities through various associations and missions related to BHU. Such associations in many more cities are planning to organize functions / lectures to commemorate the occasion. In many such celebrations the Vice-Chancellor himself represented the University.
- Other major initiatives taken during the Centennial Year include establishment of a Faculty of Veterinary and Animal Sciences and upgradation of Faculty of Science and Faculty of Management Studies to the level of Institutes, Bharat Adhyayan Kendra, Malaviya Research Centre for Ganga River Development and Water Resource Management, Bone Marrow Transplant and Stem Cell Research Centre, Community College and Skill Development centre at RGSC, BHU and SECI initiative on Grid Connected Solar Power, Solar PV Generation & Green Energy Centre at RGSC (proposed), a Centre for Climate Change, Madan Mohan Malaviya Cancer Research Centre (proposed).
 - **Bharat Adhyayan Kendra** : A Centre for study of Ancient Indian knowledge, Culture, Literature, Music, Traditions to relink the disconnect that has occurred between the ancient Indian knowledge and the modern knowledge have been established. The Mandate of Bharat Adhyayan Kendra demands deeper multi-disciplinary interactions of the existing Departments of the University in the areas of traditional knowledge viz. vedic literature, art, aesthetics, culture, language, philosophy, religion, polity, science, engineering, medicine and *lokavidya* etc.
 - **Malaviya Research Centre for Ganga River Development and Water Resource Management** : The Centre will help in improvement of water & economic efficiency of production systems, timely & appropriate response to new opportunities and threats, lower trade barriers, commodity prices, climate change. It is also envisaged to
 - **Bone Marrow Transplant and Stem Cell Research Centre** : The vision of BMT-SRC is to have Training-Education-Awareness (TEA) about the bone marrow and organ transplant to stimulate students to research on stem cells and to produce and patent the product for human application.
 - **BHU & SECI initiative on Grid Connected Solar Power** : This proposed project will promote Solar Energy integration in the campus for feeding local loads.
 - **Madan Mohan Malaviya Cancer Hospital & Research Centre** : Cancer Hospital is a crying necessity for this region. The proposed Centre will foster research in cancer, super-specialization training, cancer nursing and outreach programs.

- Long term projects involving publication of complete works of Malaviyaji in thematic volumes, re-printing of the books on Malaviyaji's life and mission and history of BHU have been initiated and various Committees are already working on these projects.
- A World Summit on Higher Education is also proposed to be organized during the Centennial Year wherein the issues, challenges and different facets of higher education globally would be discussed and deliberated over.
- 334 bedded Trauma Centre of the University has been made fully functional and has been recently dedicated to the nation by the Prime Minister.
- Many new hostels have been constructed. One of the Girls' hostels has been named as Kundan Devi Shatabdi Girls' Hostel. Major renovation and face lifting of all the old hostels have been done.
- A new emergency building for Sir Sunderlal Hospital of the University has been constructed through donations.
- A new building for Faculty of Dental Sciences has been constructed and is now fully functional.
- A Central Discovery Centre housing sophisticated instruments with a unique eco-system is under construction.
- The new building for Malaviya Moolya Anusheelan Kendra is under construction.
- University has created a Community Development Cell which has adopted five nearby villages to develop them as model villages on identified para-meters, the national programmes, such as, Swatch Bharat, Swasth Bharat and skill development
- Recently on 16th December 2015 the U.S. Ambassador Richard Verma visited BHU and held talks with VC on the areas of mutual co-operation. Many delegates of the foreign countries visited Banaras Hindu University and *vice-versa*.
- The University has signed Memorandum of Understandings with many Universities and Institutions abroad including Japan, Sweden, England, Maldives, etc.
- A delegation with Hon'ble President of India visited Sweden and Belarus for signing of MoUs during 31st May to 4th June, 2015 in which the Vice-chancellor, BHU was also a member.
- Banaras Hindu University has joined the BRICS Network of universities. An agreement to this effect was signed during the recent visit of the Vice-Chancellor Ekaterinburg, Russia.
- An MOU with N.S.E. *has been* signed and MoU with Bombay Stock Exchange and Axis Bank are in final stage.

- University has initiated a career counseling scheme for the students which will guide them in selection of the right career for them. Two consultants have been engaged full time who visit the hostels, meet the students, talk to them to discover their potentials and counsel them regularly. Talks and lectures of the persons from the reputed institutions in the field like Sankalp are also organized under this initiative. Training them in spoken English and interviews skills to enhance their job prospects is also being undertaken. Development of Entrepreneurship skills among students has also been initiated.
- Every student of the University has been provided with a booklet on Mahamana to make him/her aware of his life, vision and work.
- A documentary depicting the History of BHU its glory, alumni and the teachers who excelled in their field and the facilities available in the University was shown to all the new entrants at the time of admission in different faculties to make them acquaint of the University before entering in to its portal.
- AROGYA Fair was held recently at Faculty of Ayurveda, BHU in collaboration with FICCI and AYUSH during 12-15 December 2015. This was for the first time that this fair was organized in a university.
- The university has reformed its recruitment process which was not in accordance with the UGC regulations and thereby inviting litigations in the past, with the approval of Academic Council and Executive Council. The new regulations are in conformity with the UGC regulations, are transparent and facilitate fair and smooth selections of teachers and staff in the university.
- Implementation of e-governance is in full swing and the university has already invited the Expression of interest for implementation of ERP for automating its functions and moving towards paper-less office. Tender for it would be issued soon.
- To make the financial processes more transparent e-tendering system has been implemented for inviting tenders.
- Many initiatives on the social issues like Gender Sensitization, Anti-Ragging etc. have also been taken with the formation of Guidance and Counseling Cell for girl students and the active involvement of the mentors and the other members of the Anti-Ragging Squad in minimizing the incidents of ragging.

ACTION TAKEN REPORT

on the decisions of the Executive Council meeting held on
February 21, 2016.

ITEM No.	PARTICULARS		ACTION TAKEN
1.	CONSIDERED confirmation of Minutes of the Executive Council Meeting held on January 9, 2016.	RESOLVED THAT the Minutes of the Executive Council meeting held on January 9, 2016 be confirmed.	Decision of the Executive Council recorded.
2.	CONSIDERED the orders of the Vice-Chancellor regarding confirmation of teachers and Group 'A' Officers of the University.	RESOLVED THAT the orders of the Vice-Chancellor regarding confirmation of the teachers and Group 'A' Officers of the University as per Appendix – 2 of the Agenda be approved.	Decision of the Executive Council recorded.
3.	CONSIDERED the decision of the Investment Committee for investing funds of Banaras Hindu University during the period from 04.01.2016 to 02.02.2016.	RESOLVED THAT the decisions of the Investment Committee for investing funds of Banaras Hindu University during the period from 04.01.2016 to 02.02.2016 be approved.	Decision of the Executive Council recorded.
4.	CONSIDERED recommendations of Selection Committees made for direct recruitment of teaching positions and promotion of teachers under Career	RESOLVED THAT the recommendation of the Selection Committees for	Decision of the Executive Council recorded and appointment letters have been issued.

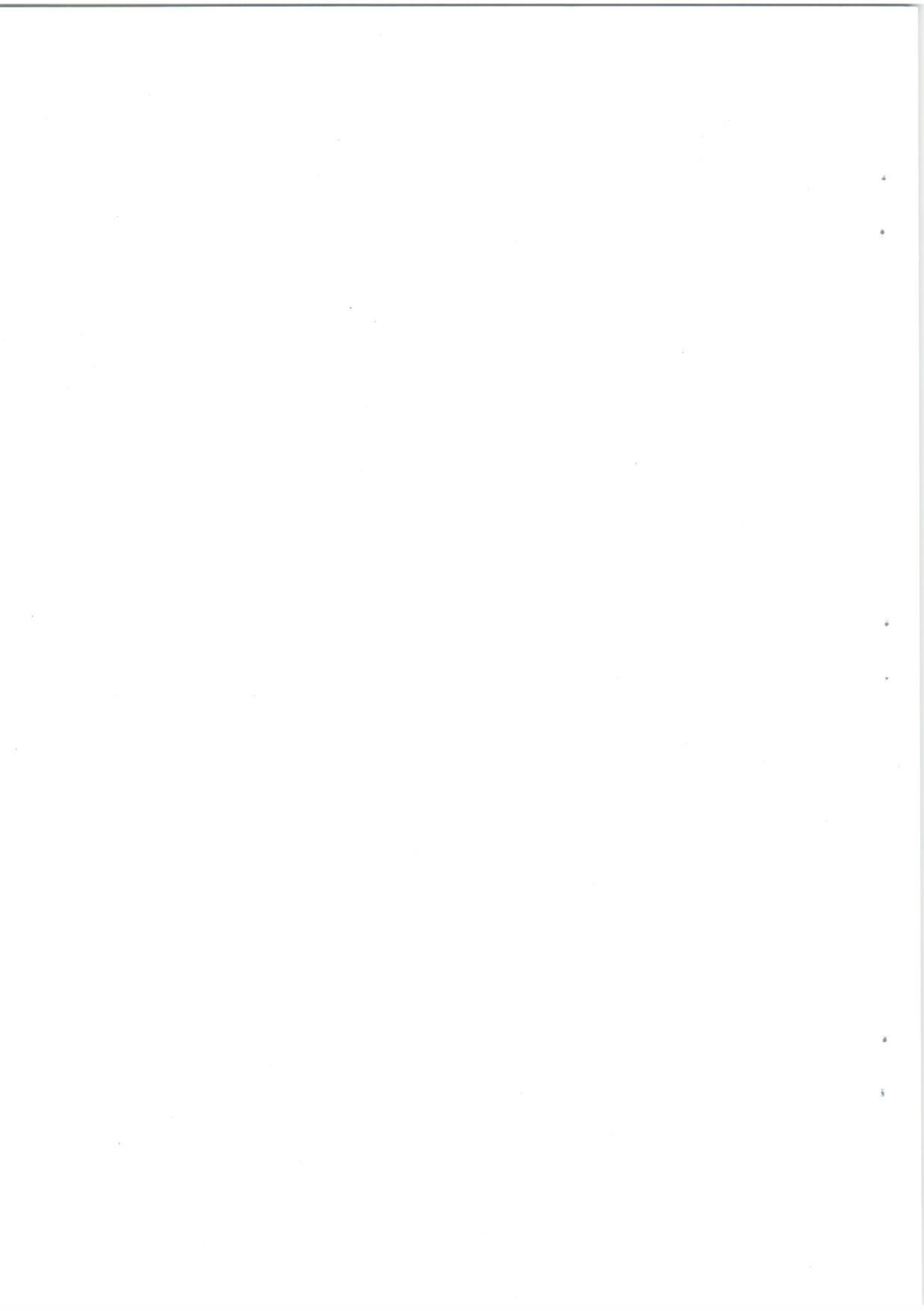
	<p>Advancement Scheme in Institute of Agricultural Sciences, Faculty of Social Sciences, Faculty of Arts and Faculty of Law.</p>	<p>direct recruitment on teaching positions and promotion of teachers under Career Advancement Scheme in Institute of Agricultural Sciences, Faculty of Social Sciences, Faculty of Arts and Faculty of Law be approved as per <u>Appendix-2 of the Minutes.</u></p>	
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CONFIRMATION OF THE TEACHERS AND OFFICERS OF THE UNIVERSITY

(LETTER ISSUED DURING THE PERIOD FROM 21.02.2016 TO 04.04.2016)


SL.NO.	NAME OF FACULTY MEMBER	DESIGNATION	DEPARTMENT	DATE OF CONFIRMATION	ORDERS DATED OF THE VICE-CHANCELLOR.	DATE OF ISSUE OF LETTER
1.	Dr. Shahid Prawez	Associate Professor	Faculty of Veterinary & Animal Sciences (RGSC-Barkachha)	12.03.2015	02.02.2016	21.02.2016
2.	Shri Gyan Das	Assistant Professor	Deptt. Of Jain Bauddha Darshan	04.12.2014	11.02.2016	09.03.2016
3.	Dr. Yogendra Pandey	Assistant Professor	Faculty of Education	13.02.2009	16.03.2016	18.03.2016
4.	Dr. Raghavendra Narayan Sharma	Assistant Professor	Faculty of Education	01.07.2015	16.03.2016	18.03.2016
5.	Dr. Anil Kumar Chauhan	Professor	Centre of Food Sciences & Technology, I. Ag. Sc.	10.11.2015	30.03.2016	04.04.2016

APPENDIX- 3



BREAK UP OF INVESTMENT IN PROVIDENT FUND AS ON 31.03.2016 AS PER NEW INVESTMENT PATTERN (16)

Category	Investment Patteran	% Amount to be Invested		Amount Invested	% of Investment
		Min.	Max.		
1	Government Securities & Related Investments	45%	50%		
	A Government Securities			1,536,800,000.00	41.87%
	B. Other Securities		10%		37.04%
	C Mutual Funds		5%	200,000,000.00	4.82%
2	Debt Instruments and Related Investments	35%	45%		58.13%
	A Debt Securities of Banks & Public Financial Institution (3 Years Maturity)			985,000,000.00	23.74%
	B Basel III Tier-I Bonds		2%		
	C Rupee Bonds (3 Years Maturity)				
	D Fixed Deposit (1 Year + Maturity)			1,426,700,000.00	34.39%
	E Mutual Funds		5%		
	F Others				
3	Short-Term Debt Instruments and Related Investments		5%		0.00%
	A Commercial Paper (A1+ Rating)				
	B Mutual Funds				
	C Fixed Deposit (up to 1 Year Maturity)				
4	Equities and Related Investments	5%	15%		0.00%
	A Shares				
	B Mutual Funds		5%		
	C Exchange Traded Fund (ETFs)/Index Funds				
	D Exchange Traded Fund (ETFs) Specialty for Disinvestment				
	E Exchange Traded Derivatives				
5	Asset Backed, Trust Structured and Miscellaneous Investment		5%		0.00%
				4,148,500,000.00	100.00%

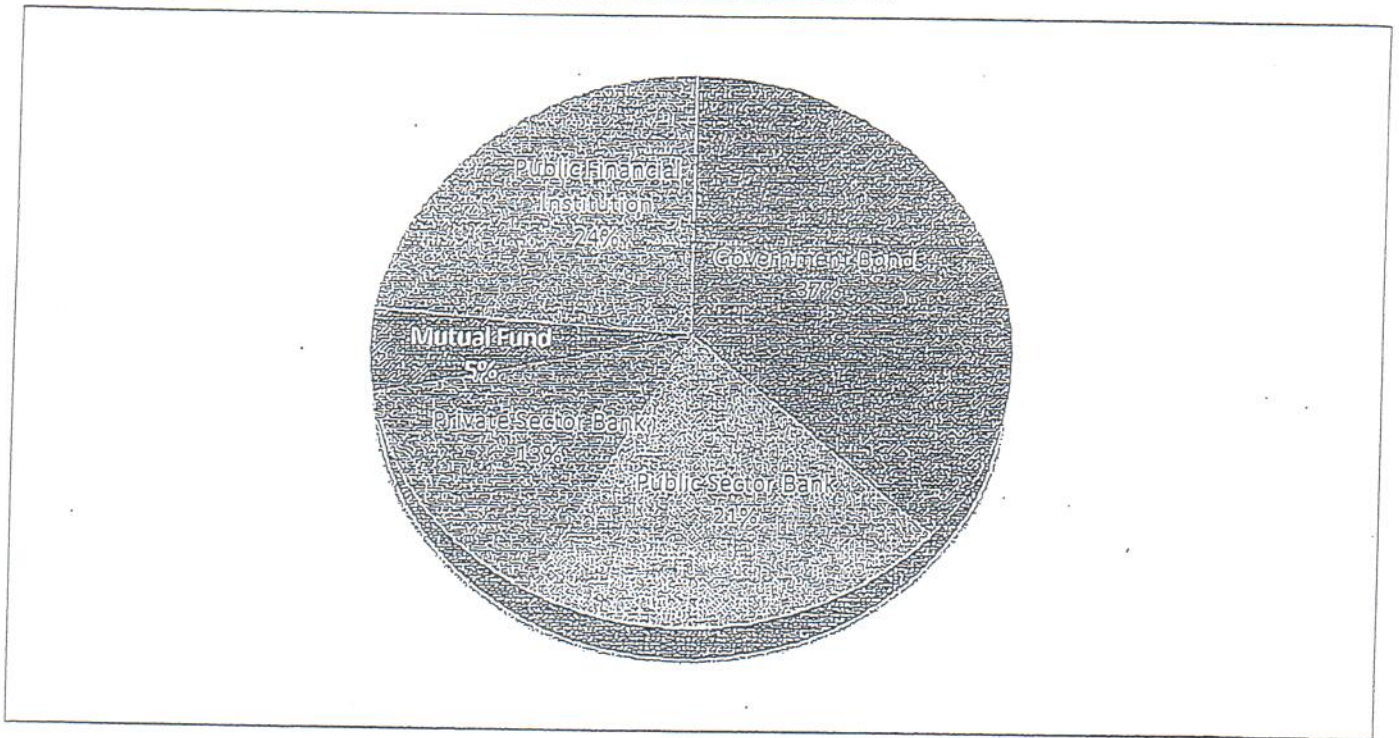

 Joint Registrar (A/C)
 वित्त विभाग (संघ)
 and Joint Registrar
 Banaras Hindu University

BREAK UP OF INVESTMENT IN PROVIDENT FUND AS ON 31-03-2016

Sl. No.	Name of Bank	Maturity Date	Invested Amount	Maturity Amount
PUBLIC SECTOR BANKS				
1	Bank of Maharashtra, Sigra	7-Jun-2016	20,000,000.00	26,197,742.00
		12-Jun-2016	33,000,000.00	43,226,272.00
		17-Jun-2016	99,000,000.00	129,678,820.00
		10-Jul-2016	110,000,000.00	144,087,582.00
2	Punjab National Bank, Orderly Bazar	8-Jan-2017	130,000,000.00	155,328,050.00
		29-Jan-2019	50,000,000.00	78,025,461.00
3	Punjab National Bank, Nichibagh	29-Jan-2019	60,000,000.00	93,630,553.00
		6-Feb-2019	27,200,000.00	42,445,851.00
4	Punjab National Bank, Maldahiya	8-Jan-2017	130,000,000.00	155,328,050.00
		29-Jan-2019	60,000,000.00	93,630,553.00
5	Punjab National Bank, Bishweshwarganj	29-Jan-2019	60,000,000.00	93,630,553.00
6	State Bank of Patiala, Brij-enclave	19-Mar-2017	50,000,000.00	54,227,807.00
7	UCO Bank, Chowk	9-Jun-2020	32,500,000.00	49,490,832.00
		Sub. Total 'A' =	861,700,000.00	1,158,928,126.00
HOUSING FINANCE CORPORATION LTD.				
8	PNB Housing Finance Limited	5-Sep-2019	250,000,000.00	397,631,082.00
		17-Oct-2019	240,000,000.00	381,725,839.00
		13-Mar-2018	50,000,000.00	65,581,724.00
		16-Mar-2018	30,000,000.00	39,349,035.00
9	LIC Housing Finance Limited	5-Sep-2019	50,000,000.00	79,905,000.00
		13-Mar-2020	50,000,000.00	79,147,450.00
10	Dewan Housing Finance Corporation Ltd.	20-Nov-2020	50,000,000.00	78,582,296.00
		19-Feb-2017	50,000,000.00	55,153,845.00
		3-Mar-2017	65,000,000.00	71,699,999.00
11	Bajaj Finance Limited Fixed Deposit	20-Nov-2020	50,000,000.00	76,613,246.00
		19-Jan-2018	50,000,000.00	59,309,328.00
		3-Aug-2017	50,000,000.00	56,826,168.00
		Sub. Total 'B' =	985,000,000.00	1,441,525,012.00
PRIVATE SECTOR BANKS				
12	City Union Bank Ltd., Godowlia	20-May-2016	10,000,000.00	10,904,132.00
13	Yes Bank Limited, Sigra	9-Jul-2016	25,000,000.00	30,050,104.00
		17-Oct-2016	150,000,000.00	180,112,194.00
14	Bandhan Bank Ltd., Bisheshwarganj	25-Feb-2017	170,000,000.00	184,917,160.00
		10-Mar-2018	60,000,000.00	71,479,801.00
		18-Mar-2018	150,000,000.00	178,699,503.00
		Sub. Total 'C' =	565,000,000.00	656,162,894.00
GOVERNMENT SECURITY BONDS				
15	Govt. of India Bonds-(Through SBI)	12-May-2016	210,000,000.00	336,210,000.00
		6-Jul-2016	20,000,000.00	32,020,000.00
		12-Aug-2016	70,000,000.00	112,070,000.00
		16-Aug-2016	68,000,000.00	108,868,000.00
16	Govt. of India Bonds-(Through SBI DFHI LTD.)	30-Sep-2030	120,000,000.00	120,000,000.00
17	Haryana SDL State Govt. Bond	28-Aug-2023	90,000,000.00	90,000,000.00
18	Maharashtra SDL State Govt. Bond	11-Jun-2023	50,000,000.00	50,000,000.00
		29-Jul-2025	75,000,000.00	75,000,000.00
19	Punjab SDL State Govt. Bond	8-May-2023	50,000,000.00	50,000,000.00
20	Gujarat SDL State Govt. Bond	3-Nov-2023	50,000,000.00	50,000,000.00
		13-Jan-2026	75,000,000.00	75,000,000.00
21	Karnataka SDL State Govt. Sec. Bond	11-Mar-2025	70,000,000.00	70,000,000.00
22	Telangana SDL State Govt. Sec. Bond	29-Jul-2025	17,000,000.00	17,000,000.00
23	Rajasthan SDL State Govt. Sec. Bond	13-Jan-2026	80,000,000.00	80,000,000.00
24	Madhya Pradesh SDL State Govt. Sec. Bond	24-Feb-2026	246,900,000.00	246,900,000.00
25	West Bengal SDL State Govt. Sec. Bond	24-Feb-2026	244,900,000.00	244,900,000.00
		Sub. Total 'D' =	1,536,800,000.00	1,757,968,000.00
MUTUAL FUND				
26	Reliance Gilt Securities Fund		35,000,000.00	
27	IDFC Govt. Securities P.F. Plan		85,000,000.00	
28	SBI Magnum Gilt Fund Long Term Plan		40,000,000.00	
29	ICICI Prudential Gilt Fund Investment		40,000,000.00	
		Sub. Total 'E' =	200,000,000.00	
		Grand Total 'A'+ 'B'+ 'C'+ 'D'+ 'E'+ 'F' =	4,148,500,000.00	5,014,584,032.00

31/03/16

Investment of Provident Fund of Banaras Hindu University
Investment Pattern (In Crore)



To be published in the Gazette of India
Extraordinary Part I - Section 1
Government of India

MINISTRY OF FINANCE
(Department of Financial Services)
NOTIFICATION

New Delhi, the 2nd March, 2015

F.No. 11/14/2013 -PR.- In partial modification of this Ministry's Notification No. 5(88)/2006-PR dated 14th August, 2008, the pattern of investment to be followed by Non-Government Provident Funds, Superannuation Funds and Gratuity Funds shall be as follows, effective from 1st April, 2015:-

Category	INVESTMENT PATTERN	Percentage amount to be invested
(I)	<p>Government Securities and Related Investments</p> <p>(a) Government Securities,</p> <p>(b) Other Securities ('Securities' as defined in section 2(h) of the Securities Contracts (Regulation) Act, 1956) the principal whereof and interest whereon is fully and unconditionally guaranteed by the Central Government or any State Government.</p> <p>The portfolio invested under this sub-category of securities shall not be in excess of 10% of the total portfolio of the fund.</p> <p>(c) Units of Mutual Funds set up as dedicated funds for investment in Govt. securities and regulated by the Securities and Exchange Board of India:</p> <p>Provided that the portfolio invested in such mutual funds shall not be more than 5% of the total portfolio at any point of time and fresh investments made in them shall not exceed 5% of the fresh accretions in the year.</p>	<p>Minimum 45% and upto 50%</p>
(II)	<p>Debt Instruments and Related Investments</p> <p>(a) Listed (or proposed to be listed in case of fresh issue) debt securities issued by bodies corporate, including banks and public financial institutions ('Public Financial Institutions' as defined under Section 2 of the Companies Act, 2013), which have a minimum residual maturity period of three years from the date of investment.</p> <p>(b) Basel III Tier-1 bonds issued by scheduled commercial banks under RBI Guidelines:</p> <p>Provided that in case of initial offering of the bonds the</p>	<p>Minimum 35% and upto 45%</p>

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Category	INVESTMENT PATTERN	Percentage amount to be invested
	<p>Investment shall be made only in such Tier-I bonds which are proposed to be listed.</p> <p>Provided further that investment shall be made in such bonds of a scheduled commercial bank from the secondary market only if such Tier I bonds are listed and regularly traded.</p> <p>Total portfolio invested in this sub-category, at any time, shall not be more than 2% of the total portfolio of the fund.</p> <p>No investment in this sub-category in initial offerings shall exceed 20% of the initial offering. Further, at any point of time, the aggregate value of Tier I bonds of any particular bank held by the fund shall not exceed 20% of such bonds issued by that Bank.</p> <p>(c) Rupee Bonds having an outstanding maturity of at least 3 years issued by institutions of the International Bank for Reconstruction and Development, International Finance Corporation and Asian Development Bank.</p> <p>(d) Term Deposit receipts of not less than one year duration issued by scheduled commercial banks, which satisfy the following conditions on the basis of published annual report(s) for the most recent years, as required to have been published by them under law:</p> <p>(I) having declared profit in the immediately preceding three financial years;</p> <p>(II) maintaining a minimum Capital to Risk Weighted Assets Ratio of 9%, or mandated by prevailing RBI norms, whichever is higher;</p> <p>(III) having net non-performing assets of not more than 4% of the net advances;</p> <p>(iv) having a minimum net worth of not less than Rs.200 crores.</p> <p>(e) Units of Debt Mutual Funds as regulated by Securities and Exchange Board of India:</p> <p>Provided that fresh investment in Debt Mutual Funds shall not be more than 5% of the fresh accretions invested in the year and the portfolio invested in them shall not exceed 5% of the total portfolio of the fund at any point in time.</p> <p>(f) The following infrastructure related debt instruments:</p> <p>(i) Listed (or proposed to be listed in case of fresh issue) debt securities issued by body corporates engaged mainly in the</p>	

Category	INVESTMENT PATTERN	Percentage amount to be invested
	<p>business of development or operation and maintenance of infrastructure, or development, construction or finance of low cost housing.</p> <p>Further, this category shall also include securities issued by Indian Railways or any of the body corporates in which it has majority shareholding.</p> <p>This category shall also include securities issued by any Authority of the Government which is not a body corporate and has been formed mainly with the purpose of promoting development of infrastructure.</p> <p>It is further clarified that any structural obligation undertaken or letter of comfort issued by the Central Government, Indian Railways or any Authority of the Central Government, for any security issued by a body corporate engaged in the business of infrastructure, which notwithstanding the terms in the letter of comfort or the obligation undertaken, fails to enable its inclusion as security covered under category (I) (b) above, shall be treated as an eligible security under this sub-category.</p> <p>(II) Infrastructure and affordable housing Bonds issued by any scheduled commercial bank, which meets the conditions specified in (II) (d) above.</p> <p>(III) Listed (or proposed to be listed in case of fresh issue) securities issued by Infrastructure debt funds operating as a Non-Banking Financial Company and regulated by Reserve Bank of India.</p> <p>(IV) Listed (or proposed to be listed in case of fresh issue) units issued by Infrastructure Debt Funds operating as a Mutual Fund and regulated by Securities and Exchange Board of India.</p> <p>It is clarified that, barring exceptions mentioned above, for the purpose of this sub-category (I), a sector shall be treated as part of infrastructure as per Government of India's harmonized master-list of infrastructure sub-sectors.</p> <p>Provided that the investment under sub-categories (a), (b) and (I) (i) to (iv) of this category No. (II) shall be made only in such securities which have minimum AA rating or equivalent in the applicable rating scale from at least two credit rating agencies registered with Securities and Exchange Board of India under Securities and Exchange Board of India (Credit Rating Agency) Regulation, 1999. Provided further that in case of the sub-category (I) (III) the ratings shall relate to the Non-Banking Financial Company and for the sub-category (I) (IV) the ratings shall relate to the investment in eligible securities rated above investment grade of the scheme of the fund.</p>	

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Category	INVESTMENT PATTERN	Percentage amount to be invested
	<p>Provided further that if the securities / entities have been rated by more than two rating agencies, the two lowest of all the ratings shall be considered.</p> <p>Provided further that investments under this category requiring a minimum AA rating, as specified above, shall be permissible in securities having investment grade rating below AA in case the risk of default for such securities is fully covered with Credit Default Swaps (CDSs) issued under Guidelines of the Reserve Bank of India and purchased along with the underlying securities. Purchase amount of such Swaps shall be considered to be investment made under this category.</p> <p>For sub-category (c), a single rating of AA or above by a domestic or international rating agency will be acceptable.</p> <p>It is clarified that debt securities covered under category (I) (b) above are excluded from this category (II).</p>	
(iii)	<p>Short-term Debt Instruments and Related Investments</p> <p>Money market instruments:</p> <p>Provided that investment in commercial paper issued by body corporates shall be made only in such instruments which have minimum rating of A1+ by at least two credit rating agencies registered with the Securities and Exchange Board of India.</p> <p>Provided further that if commercial paper has been rated by more than two rating agencies, the two lowest of the ratings shall be considered.</p> <p>Provided further that investment in this sub-category in Certificates of Deposit of up to one year duration issued by scheduled commercial banks, will require the bank to satisfy all conditions mentioned in category (II) (d) above.</p> <p>(b) Units of liquid mutual funds regulated by the Securities and Exchange Board of India.</p> <p>(c) Term Deposit Receipts of up to one year duration issued by such scheduled commercial banks which satisfy all conditions mentioned in category (II) (d) above.</p>	Upto 5%
(iv)	<p>Equities and Related Investments</p> <p>Shares of body corporates listed on Bombay Stock Exchange (BSE) or National Stock Exchange (NSE), which have:</p> <p>(i) Market capitalization of not less than Rs. 5000 crore as on the date of investment; and</p>	Minimum 5% and upto 15%

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Category	INVESTMENT PATTERN	Percentage amount to be invested
	<p>(ii) Derivatives with the shares as underlying, traded in either of the two stock exchanges.</p> <p>(b) Units of mutual funds regulated by the Securities and Exchange Board of India, which have minimum 65% of their investment in shares of body corporates listed on BSE or NSE.</p> <p>Provided that the aggregate portfolio invested in such mutual funds shall not be in excess of 5% of the total portfolio of the fund at any point in time and the fresh investment in such mutual funds shall not be in excess of 5% of the fresh accretions invested in the year.</p> <p>(c) Exchange Traded Funds (ETFs) / Index Funds regulated by the Securities and Exchange Board of India that replicate the portfolio of either BSE Sensex Index or NSE Nifty 50 Index.</p> <p>(d) ETFs issued by SEBI regulated Mutual Funds constructed specifically for disinvestment of shareholding of the Government of India in body corporates.</p> <p>(e) Exchange traded derivatives regulated by the Securities and Exchange Board of India having the underlying of any permissible listed stock or any of the permissible indices, with the sole purpose of hedging.</p> <p>Provided that the portfolio invested in derivatives in terms of contract value shall not be in excess of 5% of the total portfolio invested in sub-categories (a) to (d) above.</p>	
(v)	<p>Asset Backed, Trust Structured and Miscellaneous Investments</p> <p>(a) Commercial mortgage based Securities or Residential mortgage based securities.</p> <p>(b) Units Issued by Real Estate Investment Trusts regulated by the Securities and Exchange Board of India.</p> <p>(c) Asset Backed Securities regulated by the Securities and Exchange Board of India.</p> <p>(d) Units of Infrastructure Investment Trusts regulated by the Securities and Exchange Board of India.</p> <p>Provided that investment under this category No. (v) shall only be in listed instruments or fresh issues that are proposed to be listed.</p> <p>Provided further that investment under this category shall be made only in such securities which have minimum AA or equivalent rating in the applicable rating scale from at least two</p>	Upto 5%

Category	INVESTMENT PATTERN	Percentage amount to be invested
	<p>credit rating agencies registered by the Securities and Exchange Board of India under Securities and Exchange Board of India (Credit Rating Agency) Regulations, 1999. Provided further that in case of the sub-categories (b) and (d) the ratings shall relate to the rating of the sponsor entity floating the trust.</p> <p>Provided further that if the securities / entities have been rated by more than two rating agencies, the two lowest of the ratings shall be considered.</p>	

2. Fresh accretions to the fund will be invested in the permissible categories specified in this investment pattern in a manner consistent with the above specified maximum permissible percentage amounts to be invested in each such investment category, while also complying with such other restrictions as made applicable for various sub-categories of the permissible investments.
3. Fresh accretions to the funds shall be the sum of un-invested funds from the past and receipts like contributions to the funds, dividend / interest / commission, maturity amounts of earlier investments etc., as reduced by obligatory outgo during the financial year.
4. Proceeds arising out of exercise of put option, tenure or asset switch or trade of any asset before maturity can be invested in any of the permissible categories described above in the manner that at any given point of time the percentage of assets under that category should not exceed the maximum limit prescribed for that category and also should not exceed the maximum limit prescribed for the sub-categories, if any. However, asset switch because of any RBI mandated Government debt switch would not be covered under this restriction.
5. Turnover ratio (the value of securities traded in the year / average value of the portfolio at the beginning of the year and at the end of the year) should not exceed two.
6. If for any of the instruments mentioned above the rating falls below the minimum permissible investment grade prescribed for investment in that instrument when it was purchased, as confirmed by one credit rating agency, the option of exit shall be considered and exercised, as appropriate, in a manner that is in the best interest of the subscribers.
7. On these guidelines coming into effect, the above prescribed investment pattern shall be achieved separately for each successive financial year through timely and appropriate planning.
8. The investment of funds should be at arms length, keeping solely the benefit of the beneficiaries in mind. For instance, investment (aggregated across such companies / organizations described herein) beyond 5% of the fresh accretions in a financial year will not be made in the securities of a company / organization or in the securities of a company / organization in which such a company / organization holds over 10% of the securities issued, by a fund created for the benefit of the employees of the first company / organization, and the total volume of such investments will not exceed 5% of the total portfolio of the fund at any time. The prescribed process of due diligence must be strictly followed in such cases and the securities in question must be permissible investments under these guidelines.

i. The prudent investment of the Funds of a trust / fund within the prescribed pattern is the fiduciary responsibility of the Trustees and needs to be exercised with appropriate due diligence. The Trustees would accordingly be responsible for investment decisions taken to invest the funds.

ii. The trustees will take suitable steps to control and optimize the cost of management of the fund.

iii. The trust will ensure that the process of investment is accountable and transparent.

iv. It will be ensured that due diligence is carried out to assess risks associated with any particular asset before investment is made by the fund in that particular asset and also during the period over which it is held by the fund. The requirement of ratings as mandated in this notification merely intends to limit the risk associated with investments at a broad and general level. Accordingly, it should not be construed in any manner as an endorsement for investment in any asset satisfying the minimum prescribed rating or a substitute for the due diligence prescribed for being carried out by the fund / trust.

v. The trust / fund should adopt and implement prudent guidelines to prevent concentration of investment in any one company, corporate group or sector.

10. If the fund has engaged services of professional fund / asset managers for management of its assets, payment to whom is being made on the basis of the value of each transaction, the value of funds invested by them in any mutual funds mentioned in any of the categories or ETFs or Index Funds shall be reduced before computing the payment due to them in order to avoid double incidence of costs. Due caution will be exercised to ensure that the same investments are not churned with a view to enhancing the fee payable. In this regard, commissions for investments in Category III instruments will be carefully regulated, in particular.

Shashank Saxena
(Shashank Saxena)

Economic Adviser-II

DETAILS OF BHU CAPITAL FUNDS OF RS. 250.00 CRORE AS PER RESOLUTION OF EXECUTIVE COUNCIL ECR NO. 309 ITEM No. 05 DATED : SEP. 29.2015

Sr. No.	Ledger Vol. VIII Page No.	TDR No.	PERIOD	DATE OF INVESTMENT	DATE OF MATURITY	NAME OF BANK / INSTITUTION	RATE OF %	PRINCIPAL AMOUNT	MATURITY AMOUNT	CAPITAL FUND AMOUNT	YEARLY INTEREST PAYABLE	CAP. FUND INTEREST AFTER MATURITY
1.	SFLT-24	3818 & 19	2 YEARS	20.11.2015	20.11.2017	Dewan Housing Fin. Ltd.	9.25	5,00,00,000.00	5,99,11,734.00	5,00,00,000/-	-	99,11,734.00
2.	SFLT-25	11-307416	44 Moths.	20.11.2015	20.07.2019	PNB Housing Finance Ltd.	8.40	5,00,00,000.00	6,72,99,029.00	5,00,00,000/-	-	1,72,99,029.00
3.	SFLT-26	1015-9107	2 YEARS	20.11.2015	20.11.2017	Bandhan Bank Ltd., VNS.	8.75	6,00,00,000.00	7,13,40,056.00	6,00,00,000/-	-	1,13,40,056.00
4.	SFLT-28	531168	1 YEAR	04.01.2016	04.01.2017	Syndicate Bank, Chetganj	7.55	5,00,00,000.00	5,38,83,230.94	5,00,00,000/-	-	38,83,230.94
5.	SFLT-29	418-7566	2 YEARS	05.01.2016	05.01.2018	PNB, Malda, VNS.	7.50	5,00,00,000.00	5,80,11,083.00	5,00,00,000/-	-	80,11,083.00
6.	SFLT-30	00004079	13 Months	05.01.2016	05.02.2017	Dewan Housing Fin. Ltd.	9.25	5,00,00,000.00	5,51,53,845.00	5,00,00,000/-	-	51,53,845.00
7.	SFLT-31	633830	5 Yrs.	20.11.2015	20.11.2020	LIC Housing Fin. Ltd.	8.50	5,00,00,000.00	7,51,82,850.00	5,00,00,000/-	-	2,51,82,850.00
8.	SFLT-33	IN0020120047	10 Yrs.	05.01.2016	24.09.2025	8.20% Govt. Sec. Bond	8.20	5,00,00,000.00	5,00,00,000.00	5,00,00,000/-	40,99,900.00	-
9.	SFLT-34	IN002-048	9 Yrs.	05.01.2016	14.11.2024	9.15% GOI 2024 Bond	9.15	5,00,00,000.00	5,00,00,000.00	5,00,00,000/-	45,75,900.00	-
10.	SFLT-35	634276	5 Yrs.	12.01.2016	06.01.2021	LIC Housing Finance Ltd.	8.50	5,00,00,000.00	7,51,82,850.00	5,00,00,000/-	-	2,51,82,850.00
11.	SFLT-36	22241,42,20	44 Months	05.01.2016	05.09.2019	PNB Housing Finance Ltd.	8.40	5,00,00,000.00	6,73,10,409.00	5,00,00,000/-	-	1,73,10,409.00
12.	SFLT-38	46513	2 Yrs.	05.01.2016	05.01.2018	Bajaj Finance Ltd.	8.90	5,00,00,000.00	5,93,09,327.00	5,00,00,000/-	-	93,09,327.00
13.	SFLT-39	IN1620-129	10 Yrs.	03.02.2016	27.01.2026	8.38% Haryana SDL	8.38	9,75,00,000.00	9,75,00,000.00	9,75,00,000/-	81,70,500.00	-
14.	SFLT-40	IN272-043	10 Yrs.	03.02.2016	27.01.2026	8.38% Odisha SDL-2026	8.38	9,75,00,000.00	9,75,00,000.00	9,75,00,000/-	81,70,450.00	-
15.	SFLT-42	IN3420-0150	10 Yrs.	25.02.2016	24.02.2026	8.88% WB SDL - 2026	8.88	9,78,00,000.00	9,78,00,000.00	9,78,00,000/-	86,84,640.00	-
16.	SFLT-43	IN212-106	10 Yrs.	25.02.2016	24.02.2026	8.76% MP SDL - 2026	8.76	9,85,00,000.00	9,85,00,000.00	9,85,00,000/-	86,28,550.00	-
17.	SFLT-44	4319,18,20	13 Months	25.02.2016	24.03.2017	Dewan Housing Fin. Ltd.	9.25	5,07,50,000.00	5,07,50,000.00	3,00,00,000/-	-	30,92,313.00
18.	SFLT-45	101-8616	2 Years	10.03.2016	10.03.2018	Bandhan Bank Ltd.	8.85	18,96,22,000.00	22,59,02,382.00	15,00,00,000/-	-	2,86,99,504.00
19.	SFLT-46	598315	1 Year	10.03.2016	10.03.2017	State Bank of Patiala	8.35	5,00,00,000.00	5,43,07,559.00	5,00,00,000/-	-	43,07,559.00
20.	SFLT-47	598318	1 Year	11.03.2016	11.03.2017	State Bank of Patiala	8.35	4,00,00,000.00	4,34,46,047.00	4,00,00,000/-	-	34,46,047.00
21.	SFLT-49	300715428671	1 Year	10.03.2016	90.03.2017	Indusind Bank Ltd.	8.10	10,00,00,100.00	10,83,49,477.12	10,00,00,100/-	-	83,49,377.12
22.	SFLT-50	51342, 52624	2 years	10.03.2016	09.03.2018	Bajaj Finance Ltd.	8.90	5,00,00,000.00	5,92,96,050.00	5,00,00,000/-	-	92,96,050.00
23.	SFLT-48	4375, 74 & 76	13 Months	10.03.2016	09.04.2017	Dewan Housing Fin. Ltd.	9.25	15,00,00,000.00	16,54,61,535.00	15,00,00,000/-	-	1,54,61,535.00
24.	SFLT-51	4438, 4440, 4439	13 Months	18.03.2016	17.04.2017	Dewan Housing Fin. Ltd.	9.25	5,00,00,000.00	5,51,53,846.00	5,00,00,000/-	-	51,53,846.00
25.	SFLT-52	11330-4385	44 Months	18.03.2016	17.11.2019	PNB Housing Finance Ltd.	8.40	5,00,00,000.00	6,72,89,902.00	5,00,00,000/-	-	1,72,89,902.00
26.	SFLT-53	10160002106157	1 year	18.03.2016	17.03.2017	Bandhan Bank Ltd.	8.85	10,00,00,000.00	10,91,48,066.00	10,00,00,000/-	-	91,48,066.00
27.	SFLT-54	598341	1 year	18.03.2016	17.03.2017	State Bank of Patiala	8.05	35,00,00,000.00	37,90,37,002.00	11,86,99,900/-	-	2,90,37,002.00
28.	SFLT-55	3620130036	9 years	18.03.2016	11.03.2024	Uttarakhand SDL Bond	9.70	15,00,00,000.00	15,00,00,000.00	15,00,00,000/-	1,45,50,000.00	-
29.	SFLT-56	2920130092	08 Years	18.03.2016	27.08.2023	Rajasthan SDL Bond	9.70	15,00,00,000.00	15,00,00,000.00	15,00,00,000/-	1,45,50,000.00	-
30.	SFLT-57	2920130092	10 Years	18.03.2016	22.12.2025	West Bengal SDL Bond	8.27	15,00,00,000.00	15,00,00,000.00	15,00,00,000/-	1,24,05,000.00	-
31.	SFLT-58	16201030063	7 Years	18.03.2016	27.08.2023	Haryana SDL Bond	9.72	6,00,00,000.00	6,00,00,000.00	6,00,00,000/-	58,32,000.00	-
32.	SFLT-59	636437	3 Years	18.03.2016	17.03.2019	LIC Housing Finance Ltd.	8.40	5,00,00,000.00	6,36,88,050.00	5,00,00,000/-	-	1,36,88,050.00
33.	SFLT-60	52628	2 Years	18.03.2016	17.03.2018	Bajaj Finance Limited	8.90	5,00,00,000.00	5,92,96,050.00	5,00,00,000/-	-	92,96,050.00
								279,167,21,000.00	308,50,10,380.00	250,00,00,000/-		

Joint Registrar (A/Cs)

कार्यालय टिप्पणी एवं आदेश

OFFICE NOTE AND ORDERS

Annual Accounts & Investment Section

As resolved by the Executive Council vide ECR No. 191 dt: 05.02.2014, a sum of Rs. 100.00 Crore (Rupees One hundred Crore only) has been invested in BHU Capital Fund under Special Fund for the Financial Year 2014-15.

Out of Rs. 100.00 Crore, the following investment has matured in the financial year 2015-16 :

Sl. No	Name of Institution	Maturity Date	Invested Amount	Maturity Amount	Interest Earned	Ledger Page
1.	State Bank of Patiala, VNS.	22.04.2015	19,00,00,000/-	20,81,94,085/-	1,81,94,085/-	SFLT-119
2.	The Karnataka Bank, VNS.	22.04.2015	5,00,00,000/-	5,49,21,914/-	49,21,914/-	SFLT-120
3.	Yes Bank Ltd., VNS.	23.04.2015	10,00,00,000/-	10,97,64,823/-	97,64,823/-	SFLT-121
4.	Indusind Bank, Varanasi	15.05.2015	10,00,00,000/-	10,99,18,930/-	99,18,930/-	SFLT-123
5.	Indusind Bank, Varanasi	07.05.2015	28,67,00,000/-	31,51,37,572/-	2,84,37,572/-	SFLT-124
6.	Vijaya Bank, Luxa, Varanasi	28.05.2015	2,37,00,000/-	2,59,44,100/-	22,44,100/-	SFLT-126
Total :			75,04,00,000/-	82,38,81,424/-	7,34,81,424/-	

After maturity of the above Investments Rs. 75,04,00,000/- (Face Value) and Rs. 7,34,81,424/- (Interest value) total amounting to Rs. 82,38,81,424/- has already been invested in different banks/Institutions in the financial year 2015-16.

It is further submitted that the Executive Council has already resolved vide ECR 263 Item No. 11 dated April 21, 2015 that interest earned on the Corpus of Rs. 100 Crore during the financial year 2014-15 be capitalized in the Corpus Fund.

In view of the above, the interest amount Rs.7,34,81,424/- (Rs. Seven Crore thirty four lac eighty one thousand four hundred twenty four only) of Special Fund may be Capitalized and be transferred through T.E: from "Interest on BHU Capital Fund" (SF-08/0021) to BHU Capital Fund (SF-10/0012), if approved. (2)

Submitted for order please.

S.O. (Investment)

Jt. Registrar (A/c.)-II

P.O. - 04.03.2016

V.C.

2/3/16


2/3/16

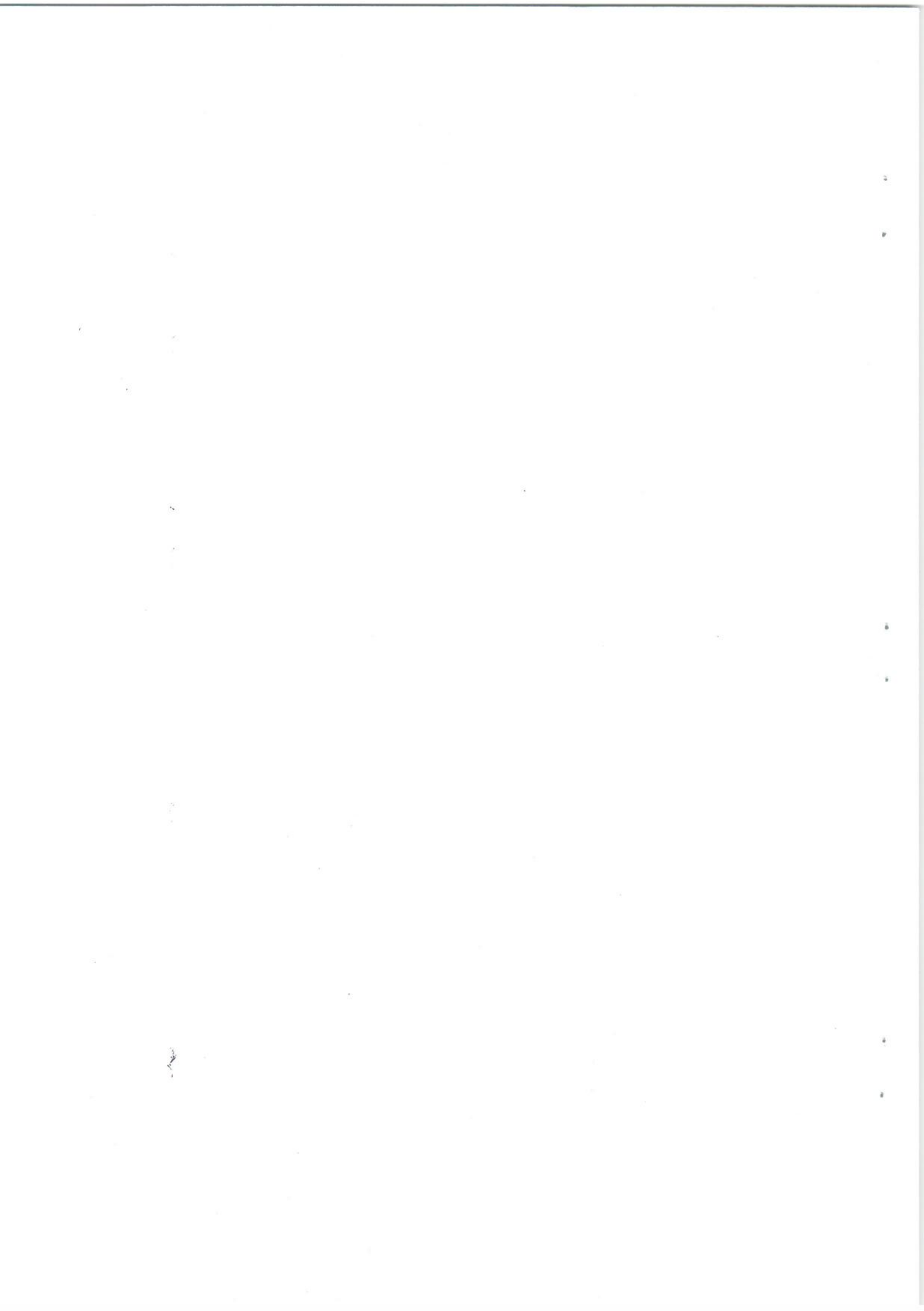
(X) - As proposed may be approved, p.

2/3/16

DETAILS OF BHU CAPITAL FUNDS OF Rs. 100 CRORE AS PER RESOLUTION OF EXECUTIVE COUNCIL ECR NO. 191 FOR THE FINANCIAL YEAR 2015-16


Sr. No	Ledger VOL.-VIII Page No.	TDR No.	PERIOD	DATE OF INVESTMENT	DATE OF MATURITY	NAME OF BANK/CORPORATE BODY	RATE OF %	PRINCIPAL AMOUNT OF INVESTMENT	MATURITY AMOUNT	ACTUAL CAPITAL FUND AMOUNT (100 CRORE)	CAPITAL FUND AMOUNT 2015-16	CAP. FUND INTEREST AFTER MATURITY	TAKEN FROM LEDGER PAGE
1.	SFLT-05(IX)	595700PU0000 0744 (26 TDR'S)	5 YEARS	30.04.15	30.04.20	PUNJAB NATIONAL BANK, BHU	8.50%	24,00,00,000/-	36,54,70,762/-	19,00,00,000/-	20,81,94,085/-	10,88,42,794/-	SF/LT-119
2.	SFLT-06(IX)	34902667558	5 YEARS	30.04.15	27.04.20	STATE BANK OF INDIA, BHU	8.50%	24,17,91,921/-	36,79,26,612/-	15,00,00,000/-	16,46,86,737/-	8,59,11,517/-	SF/LT-120 & 121
3.	SFLT-13 (IX)	627280	5 YEARS	19.05.15	19.05.20	LIC HOUSING FINANCE LTD.	9.00%	5,00,00,000/-	15,44,98,077/-	10,00,00,000/-	10,99,18,930/-	15,96,83,642/-	SF/LT-123
4.	SFLT-09(IX)	11330005103 02200	5 YEARS	20.05.15	20.05.20	PNB HOUSING FINANCE LTD.	9.15%	5,00,00,000/-					
	SFLT-07 (IX)	334012 (37 TDR'S)	5 YEARS	19.05.15	19.05.20	BANK OF BARODA, BHU	8.50%						
6.	SFLT-07 (IX)	334012 (37 TDR'S)	5 YEARS	19.05.15	19.05.20	BANK OF BARODA, BHU	8.50%	35,34,04,955/-	53,66,40,430/-	28,67,00,000/-	31,51,37,572/-	16,47,52,281/-	SF/LT-124
7.	SFLT-10 (IX)	637855 (21 TDR's)	5 YEARS	09.06.15	09.06.20	UCO BANK, CHOWK, VNS.	8.50%	19,30,00,000/-	29,38,99,404/-	2,37,00,000/-	2,59,44,100/-	1,35,63,442/-	SF/LT-126
8.	SFLT-133	045040400000 767/1	2 YEARS	09.07.14	09.07.16	Yes Bank Ltd., Sriga, Varanasi	9.30%	12,13,50,000/-	14,58,63,207/-	8,00,00,000/-	8,00,00,000/-	1,61,60,333/-	
9.	SFLT-132	045040400000 788/1	2 YEARS	10.07.14	10.07.16	Yes Bank Ltd., Sriga, Varanasi	9.30%	24,49,11,000/-	29,43,83,840/-	16,96,00,000/-	16,96,00,000/-	3,42,59,767/-	
							TOTAL :	149,44,57,876/-	215,86,82,332/-	100,00,00,000/-	107,34,81,424/-	58,31,73,776/-	

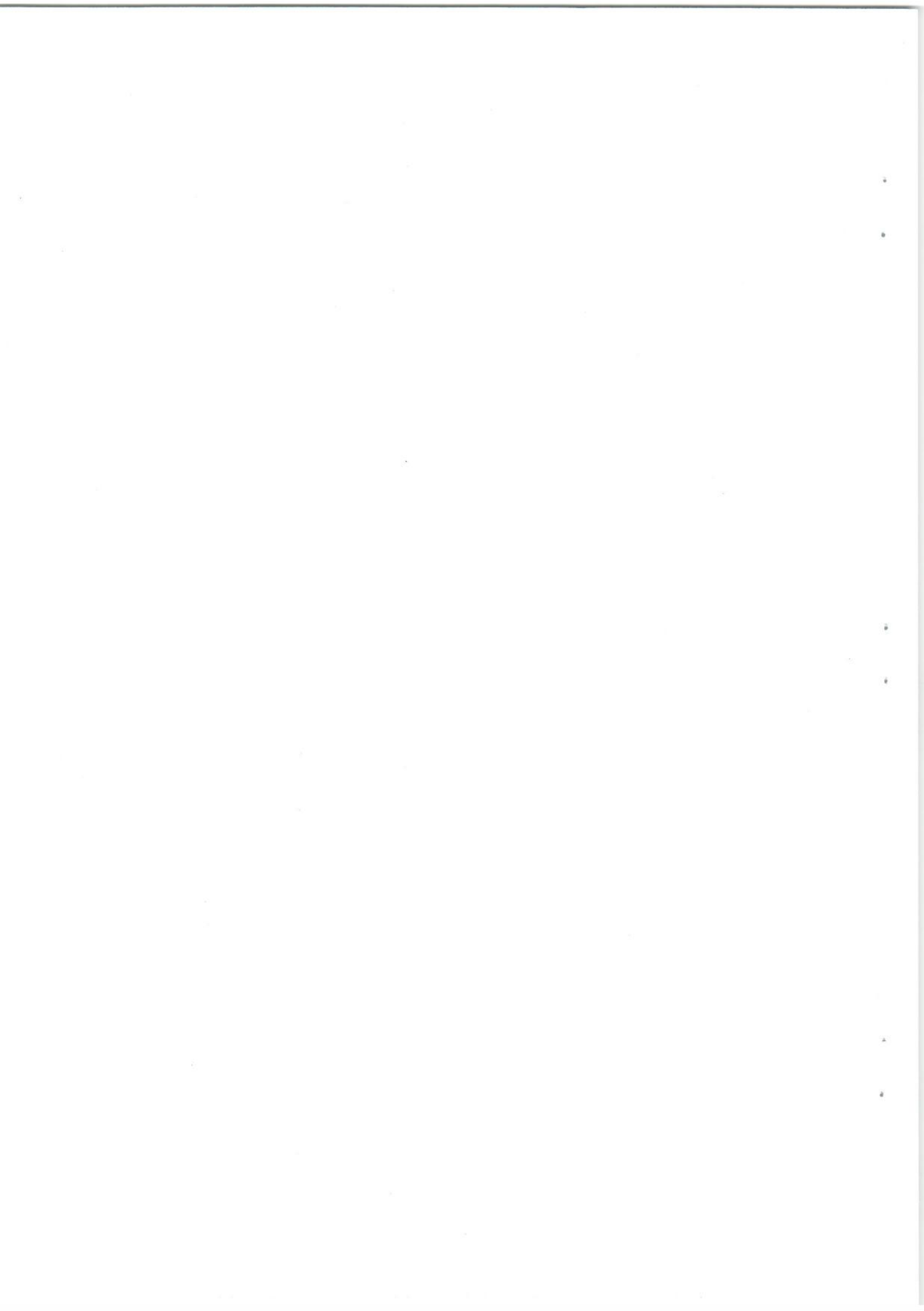

 Joint Registrar (A/C.S.)
 Banaras Hindu University



Summary of Interest Accrued & Actual on Investments for the financial year 2015-2016

Sl. No.	Name of fund	Total Investment (in Crore)	Accrued & Actual Interest Till 31-03-16 (in Crore)
1	<u>Revenue Fund</u>	1065.94	6.50
2	<u>Project Fund</u>	38.10	0.77
3	<u>Development Fund</u>	1067.83	19.98
4	<u>Special Fund</u>	1587.25	60.40
5	<u>Provident Fund</u>	665.31	38.09
Total=		4424.43	125.74


 संयुक्त कुलसचिव (लेखा)
 Joint Registrar (A/C.S.)
 काशी हिन्दू विश्वविद्यालय
 Banaras Hindu University



ECR NO. 367 Dated 23rd April, 2016

The Executive Council considered the recommendations of the various Selection/ Screening Committees against open advertised teaching positions as well as promotions under Career Advancement Scheme and resolved that

Part - A

1. Dr. Shruti Sharma be appointed as Assistant Professor (Post code 3310) in the Department of Endocrinology & Metabolism, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year.
2. Dr. Jaiprakash Tiwari be appointed as Professor (Post code 1591) in the Department of Nephrology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 10,000/- and be placed on probation for one year.
3. Dr. Tribhuvan Gupta be appointed as Assistant Professor (Post code 3309) in the Department of Nephrology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year.
4. Dr. Sunil Kumar be appointed as Assistant Professor (Reserved for OBC) (Post code 3291) in the Department of Neurology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year.
5. Dr. Abhishek Pathak be appointed as Assistant Professor (Post code 3323) in the Department of Neurology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year.
6. Dr. Sunil Kumar Singh be appointed as Assistant Professor (Surgical Gastroenterology) (Reserved for SC) (Post code 3288) in the Department of General Surgery, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year.
7. Dr. Arvind Pratap be appointed as Assistant Professor (Reserved for SC) (Post code 3287) in the Department of General Surgery, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year.
8. Dr. Vaibhav Jaiswal be appointed as Assistant Professor (Reserved for OBC) (Post code 3287) in the Department of General Surgery, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year.
9. Dr. Kundirathi Chaitanya Babjee be appointed as Assistant Professor (Otology) (Reserved for SC) (Post code 3293) in the Department of Otorhinolaryngology (ENT), Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-

III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year.

10. Dr. Vishwambhar Singh be appointed as Assistant Professor (Post code 3322) in the Department of Otorhinolaryngology(ENT), Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year.
11. Dr. Adya Shanker Srivastava be appointed as Professor (Post code 1594) in the Department of Psychiatry, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 10,000/- and be placed on probation for one year.
12. Dr. Atul Kumar Singh be appointed as Assistant Professor (Reserved for OBC) (Post code 3282) in the Department of Anaesthesiology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year.
13. Dr. Rajeev Kumar Dubey be appointed as Associate Professor (Post code 2837) in the Department of Anaesthesiology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 9,000/- and be placed on probation for one year.
14. Non found suitable for the post of Associate Professor(Reserved for SC) (Post code 2838) in the Department of Anaesthesiology (for Trauma Centre), Faculty of Medicine, Institute of Medical Sciences, BHU.
15. Dr. Ravi Shankar be appointed as Associate Professor (Post code 2824) in the Department of Community Medicine, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 9,000/- and be placed on probation for one year.
16. Dr. Hari Shankar be appointed as Associate Professor(Reserved for SC) (Post code 2824) in the Department of Community Medicine, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 9,000/- and be placed on probation for one year
17. Dr. Paresh P. Kulkarni be appointed as Assistant Professor (Post code 3283) in the Department of Biochemistry, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
18. Dr. Shivi Jain be appointed as Assistant Professor (Post code 3294) in the Department of Radiodiagnosis & Imaging, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
19. The following be appointed as Assistant Professor (Post code 3317) in the Department of Radiodiagnosis & Imaging (For Trauma Centre), Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year:

1. Dr. Ritu Ojha
2. Dr. Pramod Kumar Singh

20. Dr. Anju Bharti be appointed as Assistant Professor (Reserved for ST) (Post code 3231) in the Department of Pathology, Faculty of Medicine, Institute of Medical

Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

21. The following be appointed as Professor (Post code 1597) in the Department of Pathology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 10,000/- and be placed on probation for one year
 1. Dr. Viojay Tilak
 2. Dr. Amrita Ghosh Kar
22. Dr. Sunil Kumar Rao be appointed as Associate Professor (Reserved for SC) (Post code 2829) in the Department of Paediatrics, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 9,000/- and be placed on probation for one year.
23. Dr. Deepak Kumar Shah be appointed as Assistant Professor (Reserved for ST) (Post code 3295) in the Department of T.B. & Respiratory Diseases, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year.
24. Dr. Atul Garg be appointed as Associate Professor (Post code 2827) in the Department of Microbiology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 9,000/- and be placed on probation for one year
25. Dr. Munesh Kumar Gupta be appointed as Assistant Professor (Post code 3308) in the Department of Microbiology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
26. Dr. Lalit Prashant Meena be appointed as Associate Professor(Reserved for ST) (Post code 2832) in the Department of General Medicine, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 9,000/- and be placed on probation for one year
27. Dr. Pankaj Kumar Kannauje be appointed as Assistant Professor(Reserved for SC) (Post code 3286) in the Department of General Medicine, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
28. Dr. Anup Singh be appointed as Associate Professor(Post code 2718) in the Department of General Medicine(for Dept. of Geriatrics-Proposed), Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 9,000/- and be placed on probation for one year
29. Dr. Sankha Shubhra Chakrabarti be appointed as Assistant Professor(Post code 3127) in the Department of General Medicine(for Dept. of Geriatrics-Proposed), Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
30. Dr. Suchi Jain be appointed as Assistant Professor (Post code 3292) in the Department of Obstetrics & Gynaecology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

31. Dr. Amita Diwaker be appointed as Assistant Professor(Reserved for SC) (Post code 3292) in the Department of Obstetrics & Gynaecology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
32. Dr. Shikha Sachan be appointed as Assistant Professor(Reserved for OBC) (Post code 3292) in the Department of Obstetrics & Gynaecology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
33. Dr. Tulika Rai be appointed as Assistant Professor (Post code 3284) in the Department of Dermatology & Venereology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

Waitlist: Dr. Kajal Manchanda

34. Dr. Sunit Kumar Shukla be appointed as Associate Professor (Post code 2825) in the Department of Gastroenterology, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 9,000/- and be placed on probation for one year
35. Dr. Sunil Choudhary be appointed as Associate Professor (Post code 2799) in the Department of Radiotherapy & Radiation Medicine, Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 9,000/- and be placed on probation for one year
36. Dr. Ravi Shankar Prasad be appointed as Assistant Professor(Reserved for OBC) (Post code 3319) in the Department of Neurosurgery (*For Trauma Centre*), Faculty of Medicine, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
37. Dr. Jai Prakash Singh be appointed as Associate Professor (Panch Karma) (Reserved for ST) (Post code 2817) in the Department of Kayachikitsa, Faculty of Ayurveda, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 9,000/- and be placed on probation for one year
38. Dr. Vijay Laxmi Gautam be appointed as Associate Professor (Reserved for SC) (Post code 2835) in the Department of Rachana Sharir, Faculty of Ayurveda, Institute of Medical Sciences, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 9,000/- and be placed on probation for one year
39. Dr. Sanjeev Kumar be appointed as Assistant Professor (Reserved for SC) (Post code 3318) in the Department of Dravyaguna, Faculty of Ayurveda, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
40. Dr. Dinesh Kumar Meena be appointed as Assistant Professor (Reserved for ST) (Post code 3275) in the Department of Siddhant Darshan, Faculty of Ayurveda, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
41. One found suitable for the post of Assistant Professor (Reserved for OH) (Post code 3964) in the Department of Philosophy & Religion, Faculty of Arts, BHU.
42. The following be appointed as Assistant Professor (Post code 3965) in the Department of Philosophy & Religion, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

1. Dr. Shruti Dubey
2. Dr. Haridatt Tripathi

Waitlist: Dr. Vivek Kumar Pandey

43. Dr. Baleshwar Prasad Yadav be appointed as Assistant Professor(Reserved for OBC) (Post code 3965) in the Department of Philosophy & Religion, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

Waitlist: Dr. Kalpana Yadav

44. Dr. Sarita Rani be appointed as Assistant Professor of Philosophy & Religion (Reserved for SC) (Post code 3041) in the Mahila Mahavidyalaya, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

Waitlist: Dr Sanjay Kumar Ram

45. Dr. Shruti Dubey be appointed as Assistant Professor of Philosophy & Religion (Post code 3093) in the Mahila Mahavidyalaya, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

Waitlist:

1. Dr. Haridatt Tripathi
2. Dr. Vivek Kumar Pandey

46. None found suitable for the TWO posts of Assistant Professor(Reserved for SC) (Post code 3952) in the Department of History of Art, Faculty of Arts, BHU.

47. Dr. Nishant be appointed as Assistant Professor (Post code 3245) in the Department of History of Art, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

Waitlist: Shailendra Kumar

48. Dr. Nishant be appointed as Assistant Professor of History of Art (Post code 3040) in the Mahila Mahavidyalaya, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

Waitlist: Dr. Shailendra Kumar

49. Ms. Shikha Misra be appointed as Assistant Professor(Tourism Management/ Administration) (Post code 3951) in the Department of History of Art, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

Waitlist: 1. Dr. Pravin Singh Rana
2. Dr. Syed Ahmad Rizwan

50. Candidate did not turn up for the post of Assistant Professor(Tourism Management/ Administration) (Reserved for ST) (Post code 3951) in the Department of History of

Art, Faculty of Arts, BHU, hence it may be re-advertised.

51. Dr. Pravin Singh Rana be appointed as Assistant Professor(Tourism & Travel Management) (Post code 3969) in the Department of History of Art, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

Waitlist: Shri Siddharth Singh

52. The following be appointed as Associate Professor (Reserved for SC) (Post code 2606) in the Department of AIHC & Archaeology, Faculty of Arts, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 9,000/- and be placed on probation for one year

1. Dr. Sujata Gautam
2. For Re-advertisement

53. Dr. Gautam Kumar Lama be appointed as Associate Professor (Reserved for ST) (Post code 2606) in the Department of AIHC & Archaeology, Faculty of Arts, BHU, on a salary as per rules in PB-IV (Rs.37,400-67,000) with AGP Rs. 9,000/- and be placed on probation for one year

54. Dr. Sarvesh Kumar be appointed as Assistant Professor(Reserved for SC) (Post code 3938) in the Department of AIHC & Archaeology, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

Waitlist: 1.Dr. Anand Shankar Chaudhary

55. Dr. Abhay Kumar be appointed as Assistant Professor(Reserved for ST) (Post code 3938) in the Department of AIHC & Archaeology, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

56. The following be appointed as Assistant Professor(Post code 3938) in the Department of AIHC & Archaeology, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

1. Dr. Amit Kumar Upadhyay
2. Dr. Sachin Kumar Tiwary
3. Dr. Vikas Kumar Singh

57. The following be appointed as Assistant Professor(Reserved for OBC)(Post code 3938) in the Department of AIHC & Archaeology, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

1. Ms. Prachi Virag Sontakke
2. Dr. Priyanka Singh
3. Dr. Vinay Kumar

Waitlist: Shri Vinod Kumar Jaiswal

58. Ms. Prachi Virag Sontakke be appointed as Assistant Professor(Reserved for OBC) (Post code 3078) in the Department of AIHC & Archaeology, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on

probation for one year

Waitlist: Dr. Priyanka Singh

59. Dr. Sujeet Kumar Singh be appointed as Assistant Professor of AIHC & Archaeology(Specially Abled) (Reserved for OH) (Post code 3037) in the Mahila Mahavidyalaya, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
60. Dr. Arati Pandey be appointed as Assistant Professor(Museology) (Post code 3961) in the Museology Section, Department of AIHC & Archaeology, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
61. Shri Thakur Shivlochan Shandilya be appointed as Assistant Professor (Post code 3968) in the Department of Sanskrit, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
62. Shri Rajesh Sarkar be appointed as Assistant Professor (Reserved for SC) (Post code 3968) in the Department of Sanskrit, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
63. None found suitable for the post of Assistant Professor (Reserved for ST) (Post code 3968) in the Department of Sanskrit, Faculty of Arts, BHU.
64. Dr. Shilpa Singh be appointed as Assistant Professor (Reserved for OBC) (Post code 3968) in the Department of Sanskrit, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
65. Dr. Siddhidatri Bhardwaj be appointed as Assistant Professor (Post code 3259) in the Department of Sanskrit, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year

Waitlist: Shri Gyanendra Sapkota

66. Shri Pradeep Kumar be appointed as Assistant Professor(Reserved for SC) (Post code 3244) in the Department of Sanskrit, Faculty of Arts, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.6,000/- and be placed on probation for one year
67. Dr. Himanshu Tripathi be appointed as Medical Officer(Dental) (Post code 3330) in the Trauma Centre, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.5400/- and be placed on probation for one year
68. Dr. Kishlay Bhartiya be appointed as Medical Officer(Dental) (Reserved for SC) (Post code 3330) in the Trauma Centre, Institute of Medical Sciences, BHU, on a salary as per rules in PB-III (Rs.15,600-39,100) with AGP Rs.5400/- and be placed on probation for one year

Part - B

- (I) The following Associate Professors(Stage-4) be promoted as Professors(Stage-5) in the Departments noted against each by upgrading the posts held by them (on personal basis) under Career Advancement Scheme in the PB-IV (Rs.37400-67000) with AGP Rs. 10000/- and be placed on probation for one year, on a salary to be fixed as per rules:

Sl. No.	Name of the teachers	Department
1.	Dr. Anil Prasad Singh	Anaesthesiology
2.	Dr. Ram Badan Ram	Anaesthesiology
3.	Dr. Lalit Mohan Aggarwal	Radiotherapy & Radiation Medicine
4.	Dr. O.P. Singh	Kayachikitsa
5.	Dr. Jyotsna Srivastava	Philosophy, MMV
6.	Dr. Pradosh Kumar Mishra	History of Art
7.	Dr. Dinesh Kumar Ojha	AIHC & Archaeology

- (II) The following Assistant (Stage-3) be promoted as Associate Professors(Stage-4) in the Departments noted against each by upgrading the posts held by them (on personal basis) under Career Advancement Scheme in the PB-IV (Rs.37400-67000) with AGP Rs.9000/- and be placed on probation for one year, on a salary to be fixed as per rules:

Sl.No.	Name of selected candidates	Department
1.	Dr. Ravi Shankar	Community Medicine
2.	Dr. Rani Singh	Siddhant Darshan
3.	Dr. P.S. Byadgi	Vikriti Vigyan
4.	Dr. Jyoti Rohilla Rana	History of Art
5.	Dr. Abha Rani Pathak	History of Art, MMV
6.	Dr. Archana Sharma	AIHC & Archaeology
7.	Dr. Meenakshi Singh	AIHC & Archaeology

- (III): The following Assistant Professor/Lecturer be promoted as Assistant Professor (Stage - 3) in the Departments noted against each by upgrading the post held by them (on personal basis) under Career Advancement Scheme in the PB-III (Rs.15600 to 39100) with AGP Rs.8000/- and be placed on probation for one year on a salary to be fixed as per rules:

1.	Dr. Ragini Srivastava	Biochemistry, IMS
2.	Dr. Satish Chandra Dubey	Philosophy & Religion
3.	Dr. Durgesh Chaudhary	Philosophy & Religion
4.	Dr. Pramod Kumar Bagde	Philosophy & Religion
5.	Dr. Jyoti Rohilla Rana	History of Art
6.	Dr. Nidhi Pandey	AIHC & Archaeology
7.	Dr. Arpita Chatterjee	AIHC & Archaeology
8.	Dr. Gautam Kumar Lama	AIHC & Archaeology
9.	Dr. Sujata Gautam	AIHC & Archaeology
10.	Dr. Sheetal Rana	AIHC & Archaeology (Museology Section)
11.	Dr. Shiv Ram Sharma (Sanskrit)	Musicology
12.	Dr. Karunanand Mukhopadhyay	Sanskrit
13.	Dr. Mitali Deb	Sanskrit, MMV
14.	Dr. Gayatri Rai	Geography
15.	Dr. Kaushalendra Prakash Goswami	Geography
16.	Dr. Suman Singh	Geography
17.	Dr. Seema Tiwari	Geography, MMV
18.	Dr. Vivek Kumar Singh	Computer Science

(IV): The following Assistant Professor be promoted as Assistant Professor (Stage - 2) in the Departments noted against each by upgrading the post held by them (on personal basis) under Career Advancement Scheme in the PB-III (Rs.15600 to 39100) with AGP Rs.7000/- and be placed on probation for one year on a salary to be fixed as per rules:

<i>Sl.No.</i>	<i>Name of selected candidates</i>	<i>Department</i>
1.	Dr. Shashi Prakash	Anaesthesiology
2.	Dr. Ratna Shanker Mishra	Office Mgt & Company Secretaryship, RGSC, Barkachha(Mirzapur)
3.	Dr. Patient Philips	AIHC & Archaeology, MMV
4.	Dr. Vivek Kumar Singh	Computer Science



BANARAS HINDU UNIVERSITY
Institute of Science
DEPARTMENT OF GEOGRAPHY

20.4.2016.

To
Hon'ble Vice-Chancellor of Banaras Hindu University,

Respected Sir,

Two of my colleagues became eligible for Promotion as Assistant Professor (Stage 3) in PB with AGP Rs.8000/- w.e.f. 15th May 2013 in case of Dr.Mrs.Gayatri Rai and 1st June 2013 in case of Dr.K.P.Goswami along with several other colleagues (Dr.Srabani Sanyal, Dr.Sarfaraz Alam and Dr.Archana K.Roy).

All these colleagues of mine were called for interaction on 22nd May 2014. One of the colleagues Dr.Mrs.Archana K.Roy couldn't attend the interaction. Technically all of them fulfilled the requirements for promotion.

All of them including Dr.Archana K.Roy (who couldn't attend interaction) were promoted leaving these two colleagues of mine (Dr.Mrs.Gayatri and Dr.K.P.Goswami) in the lurch for no mistake of theirs when they fulfilled all the requirements necessary for promotion.

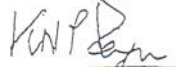
Sir, interaction is not a requirement at all in case of these promotions to Stage 3!! Earlier too a couple of my colleagues—Dr.M.L.Meena and Dr.N.Verma--were promoted in absentia into Stage II).

Sir, I am glad you saw to it that the Screening and Evaluation Committee is put in place to consider the cases of these two colleagues (Dr.Mrs.Gayatri and Dr.K.P.Goswami) for promotion to Stage 3 and that they were promoted to stage 3.

Sir, I would be doubly glad and my colleagues would be forever grateful to you if you could kindly use your good offices and impress upon the EC to consider the cases of promotion of these two colleagues--Dr.Mrs.Gayatri and Dr.K.P.Goswami--w.e.f. their original dates of eligibility i.e., 15th May 2013 in case of Dr.Mrs.Gayatri Rai and 1st June 2013 in case of Dr.K.P.Goswami so that what was denied to them earlier in spite of fulfilling all the requirements, is given to them.

With Regards,

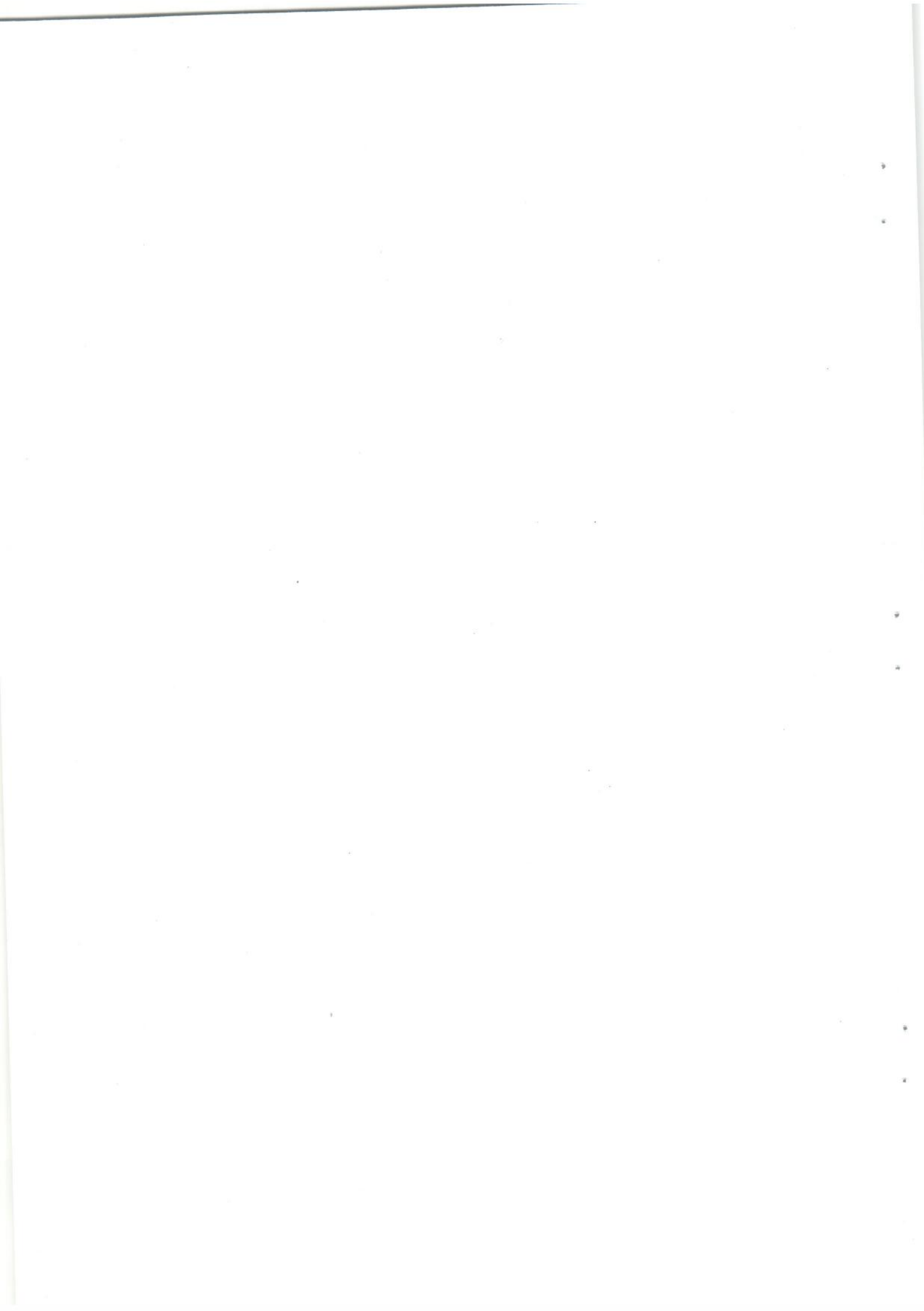
Yours faithfully,



(K.N.Prudhvi Raju)
Head

20/4/16

अध्यक्ष/Head
भूगोल विभाग/Deptt. of Geography
विज्ञान संस्थान/Institute of Science
का० हि० वि० वि०/B.H.U.
वाराणसी/Varanasi-221005



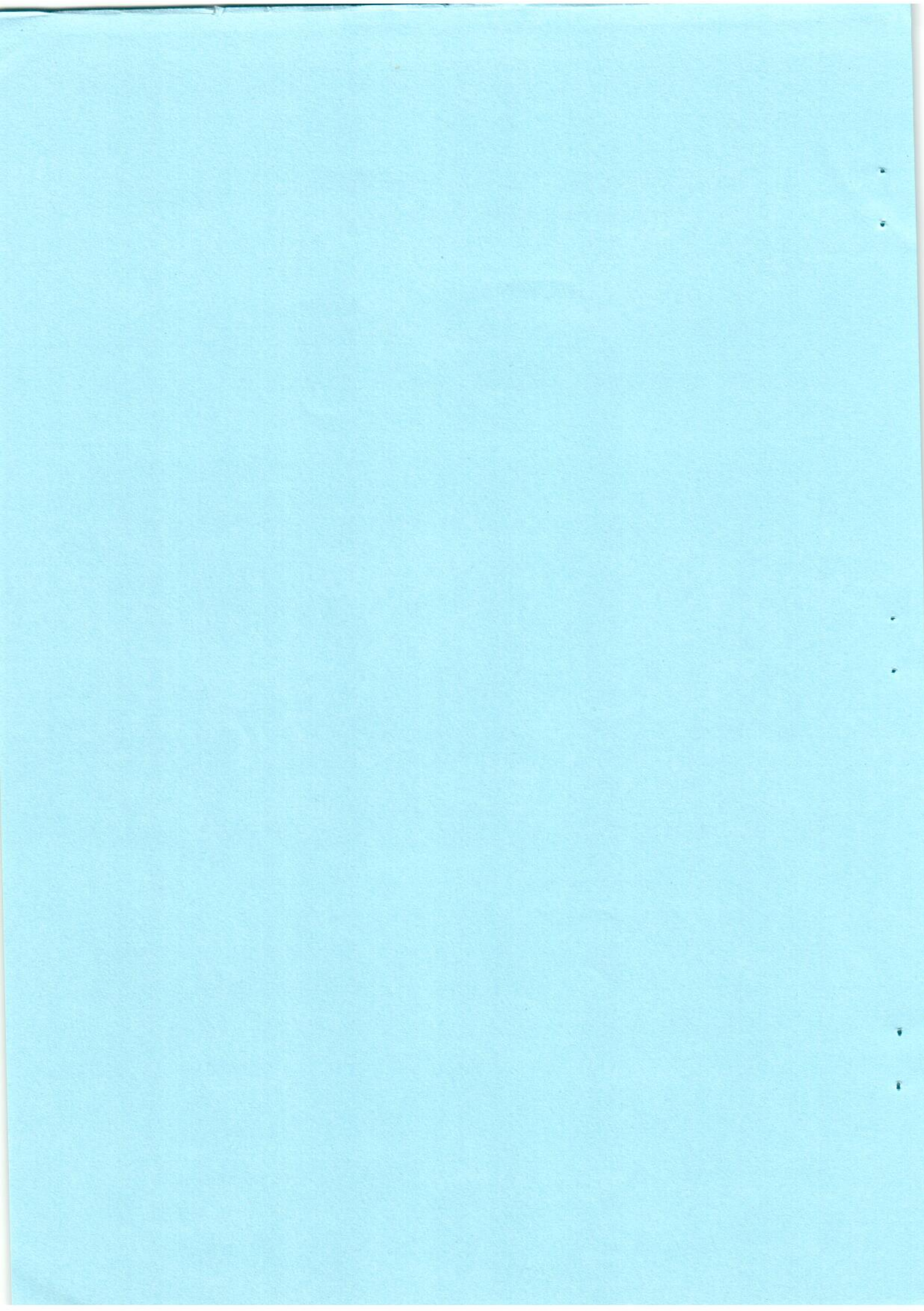
ITEM 2

TO CONSIDER the action taken on the decisions of the Executive Council in its meeting held on April 23, 2016.

NOTE

The action taken report is placed at **APPENDIX-2.**

The Executive Council may kindly peruse.



ACTION TAKEN REPORT
on the decisions of the Executive Council meeting held on
April 23, 2016.

APPENDIX-2

ITEM No.	PARTICULARS	ACTION TAKEN
1.	CONSIDERED confirmation of Minutes of the Executive Council Meeting held on February 21, 2016.	RESOLVED THAT the Minutes of the Executive Council meeting held on February 21, 2016 be confirmed. Decision of the Executive Council recorded.
2.	CONSIDERED the action taken on the decisions of the Executive Council in its meeting held on February 21, 2016.	RESOLVED THAT the action taken on the decisions of the Executive Council in its meeting held on February 21, 2016 placed at <u>APPENDIX-2</u> of the Agenda be recorded. Decision of the Executive Council recorded.
3.	CONSIDERED the orders of the Vice-Chancellor regarding confirmation of teachers and Group 'A' Officers of the University.	RESOLVED THAT the orders of the Vice-Chancellor regarding confirmation of the teachers and Group 'A' Officers of the University as per <u>APPENDIX-3</u> of the Agenda be approved. Decision of the Executive Council recorded and appointment letters have been issued.
4.	CONSIDERER and approve the decision of the Investment Committee for investing funds of Banaras Hindu University during the period from 24.02.2016 to 17.03.2016	RESOLVED THAT the decisions of the Investment Committee for investing funds of Banaras Hindu University during the period from 24.02.2016 to 17.03.2016 be approved. Approval of the Executive Council communicated to Finance Section for record.
5.	CONSIDERED the Investment of Provident Fund of Banaras Hindu	The Executive Council recorded the status of Investment of Provident Approval of the Executive Council communicated to Finance Section for

	University as on 31.03.2016.	Fund of Banaras Hindu University as on 31.03.2016 as per <u>APPENDIX-5</u> of the Agenda.	record and needful action.
6.	CONSIDERED accrued/actual interest earned on the investment of BHU in the financial year 2015-16.	The Executive Council recorded the status of the accrued/actual interest earned on the investment of BHU funds in the financial year 2015-16 as per <u>APPENDIX-6</u> of the Agenda.	Approval of the Executive Council communicated to Finance Section for record.
7.	CONSIDERED the re-joining of Dr. Kamlesh Manohar Palandurkar on the post of Assistant Professor in the Department of Biochemistry, Institute of Medical Sciences.	RESOLVED THAT the orders of the Vice-Chancellor dated 26.02.2016 accepting the request of Dr. Kamlesh Manohar Palandurkar for revoking his resignation and allowing him to re-join under the stated circumstances be ratified and approved.	Decision of Executive Council noted
8.	CONSIDERED recommendations of Selection Committees made for direct recruitment of teaching positions, nonteaching positions and promotion of teachers under Career Advancement Scheme in Institute of Medical Sciences/Trauma Center and Faculty of Arts.	RESOLVED THAT the recommendation of the Selection Committees for direct recruitment of teaching positions, non-teaching positions and promotion of teachers under Career Advancement Scheme in Institute of Medical Sciences/Trauma Center and Faculty of Arts be approved as per <u>APPENDIX-II</u> of the Minutes.	The decision of Executive Council communicated to Joint Registrar (Recruitment And Assessment Cell). Appointment letters have been issue to the selected candidates.

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The Executive Council perused the letter dated 20.4.2016 written by the Head of the Department of Geography regarding promotion of two Assistant Professors of the department to the Assistant Professor Stage-3 under CAS (APPENDIX-III).

The Executive Council noted that under the provisions of UGC regulation 2010 for promotion of Assistant Professor to the Stage-2 and Stage-3 under CAS there is no requirement of interview with the candidates the screening committee has to verify the API scores of the candidates. In the instant case the two candidates namely Dr. Gyatri Rai and Dr. Goswami though fulfilled the requirement of API scores for promotion to Stage-3, were interviewed by the selection committee and were not recommended for promotion on the ground that their performance in the interview was not satisfactory. This does not appear to be a tenable reason when

there was no requirement for interview under the provisions of rules. Those candidates who did not appear for interview were promoted to Stage-3 but these two who appeared were denied on the ground that their performance in the interview was not satisfactory. The aforementioned two candidates have now applied afresh and have been recommended by the screening committee for promotion to the Stage-3 of Assistant Professor under CAS.

In the normal course their date of eligibility for promotion to the Stage-3 in the subsequent assessment would be one year after the date of the meeting of the Selection Committee which did not recommend their case for promotion. However under the aforementioned circumstances it was found desirable that they should not be put to any loss when they fulfilled all the requirements of promotion but were denied the same for the reasons not provided for

		<p>in the UGC Regulations.</p> <p>In the light of the above Executive Council further resolved as under:</p> <p>RESOLVED FURTHER THAT the promotion of Dr. Gyatri Rai and Dr. P.K. Goswami as Assistant Professor (Stage-3) under CAS be effected from the date they fulfilled all the requirements of the promotion in the first instance ignoring their rejection (which was for the reasons not provided in the rules) by the earlier Selection Committee.</p>	
9.	<p>CONSIDERED the approval of the recruitment rules for Law Officer, Training Placement Officer, Student Career Counsellor and Manager Guest House Complex and Canteens as per decision of the Executive Council vide ECR no. 295 dated 8 July, 2015.</p>	<p>Executive Council noted that the Committee while making recommendations for the post of Student Career Counselor had recommended that the career counseling is a highly specialized job and requires senior professionals in that field. The objective may not be achieved by appointing a Student Career Counselor at junior level rather senior professionals in the field be engaged for short-term duration for the said purpose. The</p>	<p>The decision of Executive Council communicated to Joint Registrar (Recruitment And Assessment Cell) for further action. The matter has been reconsidered by the committee which has submitted its recommendations for consideration and approval of Executive Council.</p>

		<p>Executive Council though appreciated the concern of the Committee and was in agreement with its recommendation yet it was of the opinion that the post of the Student Career Counselor at the level of Assistant Registrar is not meant for performance of the job counseling all by himself / herself but the major job requirement would be coordinating such activities for the large and diverse student population including engaging and inviting the professionals for career guidance. Basically it is for creating a nucleus around which the all counseling activities would revolve. After deliberating over the issues in detail the Executive Council resolved as under:</p> <p>RESOLVED THAT the entire matter be referred back to the Committee for a review in its entirety including the qualifications suggested.</p>	
10.	<p>CONSIDERED the proposal for conducting the Written/Skill test (wherever required) by the Selection Committees constituted vide ECR no.</p>	<p>RESOLVED THAT recruitments to all non-teaching Group B, C and D posts would now be made by the Selection Committees constituted</p>	<p>The decision of Executive Council communicated to Joint Registrar (Recruitment And Assessment Cell) for further action.</p>

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	<p>203 dated 31 August, 2010 for recruitments to all non-teaching Group B, C and D posts and send its recommendations to the Vice-Chancellor for appointment on the concerned posts in accordance with merit based on the scores of the written test.</p>	<p>vide ECR no.203 dated 31 August, 2010 through a process of written test and/or skill test instead of through interviews. The Examination for Written Test would be conducted by the Registrar in accordance with the directions and guidelines framed by the Selection Committees. Based on the scores obtained by the candidates in the written test of the respective posts, the Selection Committee would recommend the candidates to the Vice-Chancellor for approval for appointment to the concerned posts.</p> <p>RESOLVED FURTHER that as regards requirement of putting any minimum cut-off marks in the written test required, if any for the appointment to the posts in Group B, C, & D, the matter may be put up after getting information in this regard from the other recruiting agencies such as UPSC, SSC, etc.</p>	<p>Decision of Executive Council has been implemented.</p>
<p>11.</p>	<p>CONSIDERED the orders of the Vice-Chancellor, BHU dated 01.03.2016 and 04.03.2016 for issuing</p>	<p>RESOLVED THAT orders of the Vice-Chancellor dated 01.03.2016 and 04.03.2016 for issuing</p>	<p>The decision of Executive Council communicated to Joint Registrar (Recruitment And</p>

	extending the cut-off date for receipt of on-line application from 31.03.2016 to 20.04.2016 in Rolling Advt.No.08/2015-2016.	extending the cut-off date for receipt of on-line application from 31.03.2016 to 20.04.2016 in Rolling Advt.No.08/2015-2016 be recorded and approved.	for further action.
12.	CONSIDERED the constitution of Special Committee for appointment of Centenary Chair Professors and Centenary Visiting Fellows in the University in the Area of Indian Studies.	RESOLVED THAT the Vice-Chancellor be authorized to nominate a Member of Executive Council and other members to serve as members on the Selection Committee for the Centenary Chair Professors and Centenary Visiting Fellows. RESOLVED FURTHER that the Vice-Chancellor be also authorized to nominate members on the Special Committees for other Chairs.	Decision of the Executive Council communicated to Joint Registrar (Recruitment And Assessment Cell) for further action.
13.	CONSIDERED for nomination of member of Executive Council to serve on the Special Committee constituted for selection on the Malaviya Chair in the Malaviya Centre for Ethics & Human Values, BHU.	RESOLVED THAT the Vice-Chancellor be authorized to nominate a member of the Executive Council and other members to serve as members on Selection Committee for Malaviya Chair in the Malaviya Centre for Ethics & Human Values, BHU.	
14.	CONSIDERED the report of the Committee	The Executive Council noted that Dr.	Dr. Ramashrey Prasad Singh has

<p>14.</p>	<p>CONSIDERED the report of the Committee constituted by the Executive Council vide ECR No.282 dated 21.04.2015 on the appeal dated 08.04.2015 of Prof. Ramashrey Prasad Singh, Ex-Professor, Department of Geology, Faculty of Science, BHU.</p>	<p>The Executive Council noted that Dr. Ramashrey Prasad Singh was proceeded against departmentally under Rule 14 of CCS (CCA) Rules, 1965 for the charges of making unsubstantiated, mischievous, malicious, frivolous and derogatory allegations against the then Vice-Chancellor, Dr. Lalji Singh. The Inquiry Committee constituted to inquire into the charges leveled against Prof. R.P. Singh found the charges to be established and the Executive Council based on the report of the inquiry imposed the penalty of dismissal from service on Dr. R.P. Singh vide ECR No.167 dated 30.11.2013. Accordingly Dr. R.P. Singh was dismissed from service vide order No.R/V&CS/2014/137/04 dated 03.01.2014. Aggrieved with this Dr. R.P. Singh made an appeal dated 08.04.2015 to the Vice-Chancellor & Chairman, Executive Council to review the aforesaid decision of the Executive Council imposing penalty of dismissal from service on him. The Executive</p>	<p>Dr. Ramashrey Prasad Singh has been reinstated in the University services w.e.f. 03.01.2014 with a penalty of withholding of two increments with non-cumulative effect vide letter no. R/V&CS/2016/137/109 dated 30 May, 2016.</p>
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Council while considering the appeal Dr. R.P. Singh resolved to constitute a Committee to look into the facts of the matter in his appeal and give its recommendation for consideration of Executive Council. Accordingly, a Committee under the chairmanship of Prof. R.K. Mishra, Ex. Professor, Faculty of Law and former Vice-Chancellor Gorakhpur University was constituted which after consideration of the entire matter has submitted its report emphasizing *inter alia* that the quantum of penalty imposed on charged Professor is grossly disproportionate to the charges leveled against him. The elementary law adheres to the principle of proportionality in prescribing punishment according to the degree of culpability of the conduct. This principle has not been adhered to in the present case. The Committee feels that the charges established in the present case do not attract the extreme penalty of dismissal from

service.

After perusing the report of the Committee and deliberating over the issue in detail the Executive Council was of the opinion that the penalty of dismissal from service on Dr. Ramashrey Prasad Singh is not commensurate with the charges leveled against him and hence is not just and appropriate. The appeal of Dr. Ramashrey Prasad Singh, thus has merit and hence deserves consideration. The Executive Council therefore resolved as under:

RESOLVED THAT the appeal of Dr. Ramashrey Prasad Singh be accepted and the penalty imposed on him be reviewed.

RESOLVED FURTHER THAT the penalty of withholding two increments with noncumulative effect be imposed on him in supersession to the penalty imposed on him vide ECR No. 167 Dt. 30.11.2013.

<p>15.</p>	<p>CONSIDERED letter no.F.No.8/C150003660/2015/NCW/SS/SJ dated 1st April, 2016 of Ms. Laldingliani Sailo, Member, National Commission for Women, Government of India, New Delhi in the cases of Dr. Supriya Shah and Dr. Swarna Khuntiya Assistant Professor, Department of Instrumental Music Faculty of Performing Arts, BHU.</p>	<p>The Executive Council noted that a complaint of sexual harassment was made by two teachers of Faculty of Performing Arts against Dr. V. Balaji and a student of Faculty of Management Studies against a Professor of the Faculty Dr. S.C. Singh respectively. The complaints were inquired into by the Complaints Committee of the University which did not find the allegations to be true. However, on receipt of inquiry report by the complainants they made a representation to the Executive Council citing many discrepancies in the reports and dismissing the report accordingly. The Executive Council too found certain discrepancies and some unexplained decisions without elaborating the reasons for arriving at them. The Executive Council discussed the reports of the inquiry in the light of the provisions of Sexual Harassment of Women at Workplace (Prohibition, Prevention and Redressal) Act, 2013 as also the representation of the complainant, legal</p>	<p>As per decision of Executive Council Complaints Committee has been reconstituted vide notification no.R/GAD/Comm.(40)/12491 dated 17.06.2016.</p>
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advice and considering the sensitivity involved in such cases and decided to seek the advice of the National Commission for Women in the matter. In response to the letter of the University seeking advice of the Commission, Ms. Laldingliani Sailo, Hon'ble Member of the Commission vide her letter No.F.No.8/C150003660/2015/NCW/SS/SJ dated 01.04.2016 has sent the advice/observation of the Commission. The observations made by the Commission clearly state that the report of the Complaint Committee was vague and does not even discuss the evidence in any detail besides rejecting the accounts of the eyewitnesses without assigning any cogent reason. The Report also has the infirmity of absence of the outside NGO Member in any of its hearings of the cases though it bears her signature. The Executive Council further noted that the Commission in its observation has *inter-alia* made the following

final observation:

- *The aggrieved complainant can also file an appeal against the recommendation made by the internal committee to the Court or Tribunal in accordance with the provision of the service rule applicable to the said person (Section 18 of Sexual Harassment at Workplace Act) within a period of 90 days of the order.*
- *Though the Act is silent on whether the Internal Committee which carried out the enquiry can be reconstituted this can be done if there was a clear irregularity in the manner in which it was conducted without the NGO representative or if the eye witness evidence was disregarded for no cogent reason.*

After deliberating over the observation/advice of National Commission for Women, the facts on record, the representation of the complainants and fact that the Complaint Committee did not properly review its inquiry even when the Executive Council reverted the report to it pointing the

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aforementioned infirmities in it, and submitted the same report again, the Executive Council is of the opinion that the blatant and obvious infirmities in the inquiry of the Complaints Committee into the aforesaid complaints may not be overlooked for a fair, transparent and reasoned inquiry into the cases and to arrive at just and appropriate decisions. The Executive Council therefore resolved as under:

RESOLVED THAT the Complaints Committee be reconstituted which will make an inquiry into all the three complaints viz., complaint of Dr. Supriya Shah and Dr. Swarna Khuntia against Dr. V. Balaji, Faculty of Performing Arts and complaint of Ms. Jyotsna Bala against Prof. S.C. Singh, Faculty of Management Studies afresh in accordance with the provisions of Sexual Harassment of Women at Workplace (Prohibition, Prevention and Redressal) Act, 2013 in a transparent, judicious and reasoned manner.

16.	CONSIDERED the appointment of Director, Institute of Science, Banaras Hindu University.	RESOLVED THAT consideration of the matter be deferred.	
17.	CONSIDERED the appointment of Director, Institute of Management Studies, Banaras Hindu University.	RESOLVED THAT consideration of the matter be deferred.	
18.	TO CONSIDER the appointment of Director, Institute of Environment and Sustainable Development, Banaras Hindu University.	RESOLVED THAT consideration of the matter be deferred.	
19.	CONSIDERED acceptance of donation made by Mr. K.S. Prasad, Managing Director, Sri Balaha Chemicals Pvt. Ltd.	RESOLVED THAT the donation of Rs.3,00,000.00 made by Mr. K.S. Prasad, Managing Director, Sri Balaha Chemicals Pvt. Ltd. for the purchase of Five Cows be accepted.	Decision of Executive Council noted for record.

ITEM 3

TO RECORD AND APPROVE the orders of the Vice-Chancellor regarding confirmation of teachers and Group 'A' Officers of the University.

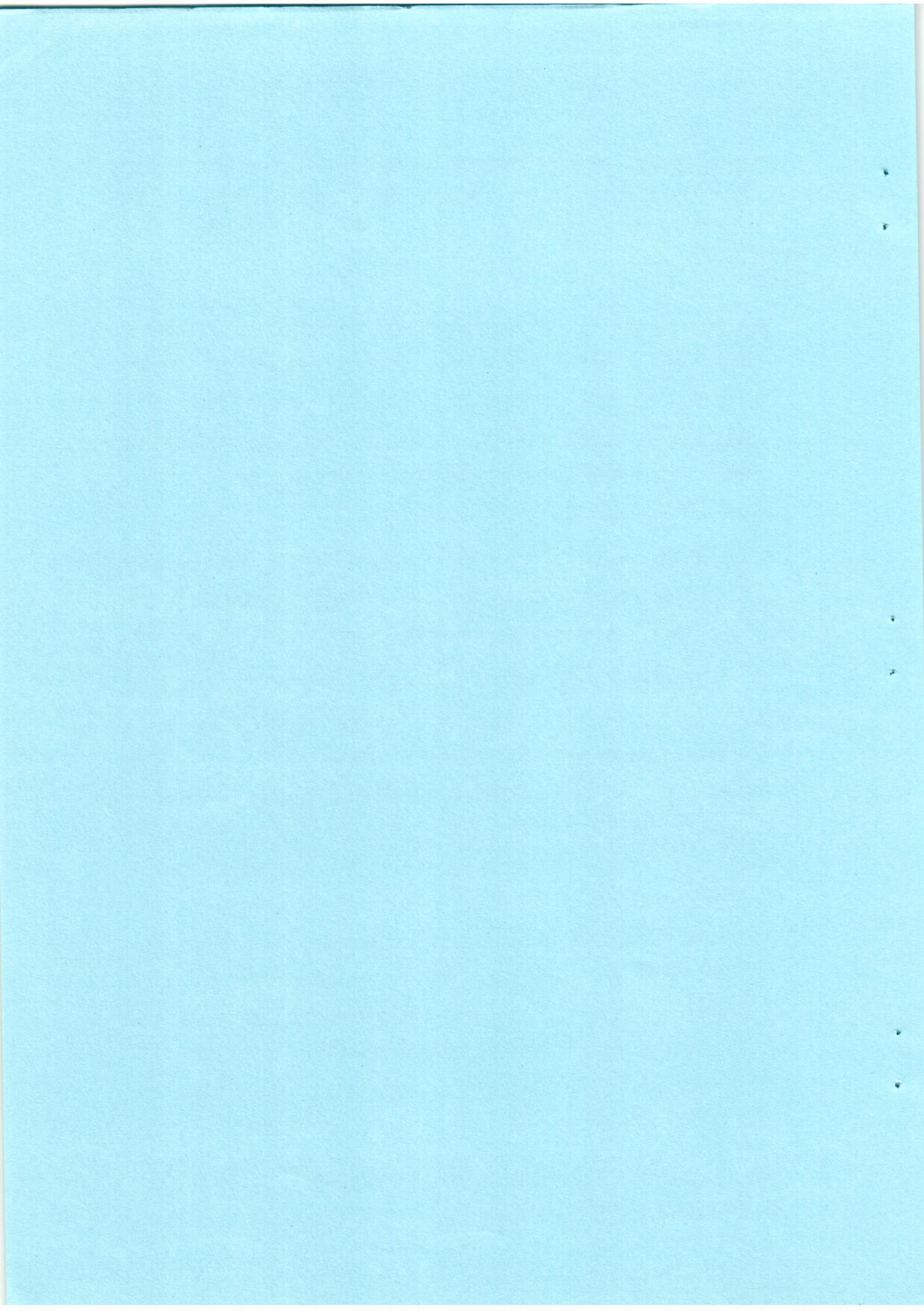
NOTE

Based on the recommendations of the Statutory Selection Committees, the Executive Council appoints Faculty members/Group 'A' officers on probation for one year. After successful completion of the probation period and subject to satisfactory *APAR/ACR* these faculty members/Group 'A' officers are confirmed on their respective posts.

A list of the University teachers who have been confirmed under the orders of the Vice-Chancellor between **05.04.2016 to 20.10.2016** is placed at **APPENDIX-3**.

The performance of these teachers/ Group 'A' officers was reported upon by their respective controlling officers, who are the Heads of Departments and Deans in the case of teachers and Heads of the Office / immediate superior officers in the case of Group 'A' officers. These reports of the teachers along with the comments of the office with respect to the fulfillment of other requirements were then placed before the Vice-Chancellor, who has been authorized by the Executive Council vide its Resolution No.29 dated May 15, 1977 for deciding the confirmation cases of teachers/Group 'A' officers and reporting the same to the Executive Council. The Vice-Chancellor has approved the confirmation of these teachers and Group 'A' Officers in accordance with the aforesaid Executive Council Resolution.

The Executive Council may peruse the cases, record and approve the orders of the Vice-Chancellor.



CONFIRMATION OF THE TEACHERS AND OFFICERS OF THE UNIVERSITY
(LETTER ISSUED DURING THE PERIOD FROM 05.04.2016 TO 20.10.2016)

SL. NO.	NAME OF FACULTY MEMBER	DESIGNATION	DEPARTMENT	DATE OF CONFIRMATION	ORDERS DATED OF THE VICE-CHANCELLOR.	DATE OF ISSUE OF LETTER
1.	Dr. Arvind	Assistant Professor	Centre of Food Science & Technology, I. Ag. Sc.	10.11.2015	14.05.2016	18.05.2016
2.	DR. Abhishek Dutt Tripathi	Assistant Professor	Centre of Food Science & Technology, I. Ag. Sc.	10.11.2015	10.05.2016	12.05.2016
3.	Dr. Thakur Prasad Yadav	Scientist	Hydrogen Energy Centre, Deptt. Of Physics	01.04.2015	20.06.2016	
4.	Dr. Arupa Majumdar	Assistant Librarian	Bharat Kala Bhawan	20.01.2006	20.07.2016	26.07.2016
5.	Dr. Naresh Kumar Singh	Associate Professor	Faculty of Veterinary & Animal Sciences (RGSC-Barkachha)	01.12.2015	22.07.2016	05.08.2016
6.	Dr. (Mrs.) Amrita Poonia	Assistant Professor	Dairy Technology, Centre of Food Science & Technology, I. Ag. Sc.	10.11.2015	23.05.2016	30.05.2016
7.	Shri. Durga Shankar Bunkar	Assistant Professor	Fermentation & Enzyme Technology, Centre of Food Science & Technology, I. Ag. Sc.	10.11.2015	23.05.2016	30.05.2016

8.	Dr. Rajendra Prasad	Associate Professor	Deptt. Of Kayachikitsa, IMS	28.12.2014	16.08.2016	03.09.2016
9.	Dr. Arun Kumar Dwivedi	Assistant Professor	Deptt. Of Shalya Tantra, IMS	10.07.2016	05.09.2016	16.09.2016
10.	Dr. Santosh Kumar Singh	Senior Research Officer	Centre for Experimental Medicine & Surgery, IMS	10.07.2016	09.09.2016	16.09.2016
11.	Dr. Pankaj Sureka	Assistant Professor	Department of Psychiatry, Faculty of Medicine, IMS	27.07.2016	12.09.2016	20.09.2016
12.	Dr. Awadhesh Kumar Pandey	Assistant Professor	Department of Shalya Tantra, Faculty of Ayurveda, IMS	13.7.2016	16.08.2016	21.09.2016
13.	Dr. Anurag Pandey	Assistant Professor	Department of Vikriti Vigyan, Faculty of Ayurveda, IMS	11.07.2016	05.10.2016	20.10.2016
14.	Dr. Rakesh Kumar Jaiswal	Assistant Professor	Department of Sangyahan, Faculty of Ayurveda, IMS	10.07.2016	05.10.2016	15.10.2016
15	Kishor Patwardhan	Associate Professor	Department of Kriya Sharir, Faculty of Ayurveda, IMS	05.12.2014	26.09.2016	04.10.2016
16	Sanjay Kumar Saroj	Assistant Professor	Minimal Access Surgery, Department of General Surgery (For Trauma Centre) Faculty of Medicine, IMS	06.12.2015	29.08.2016	04.10.2016

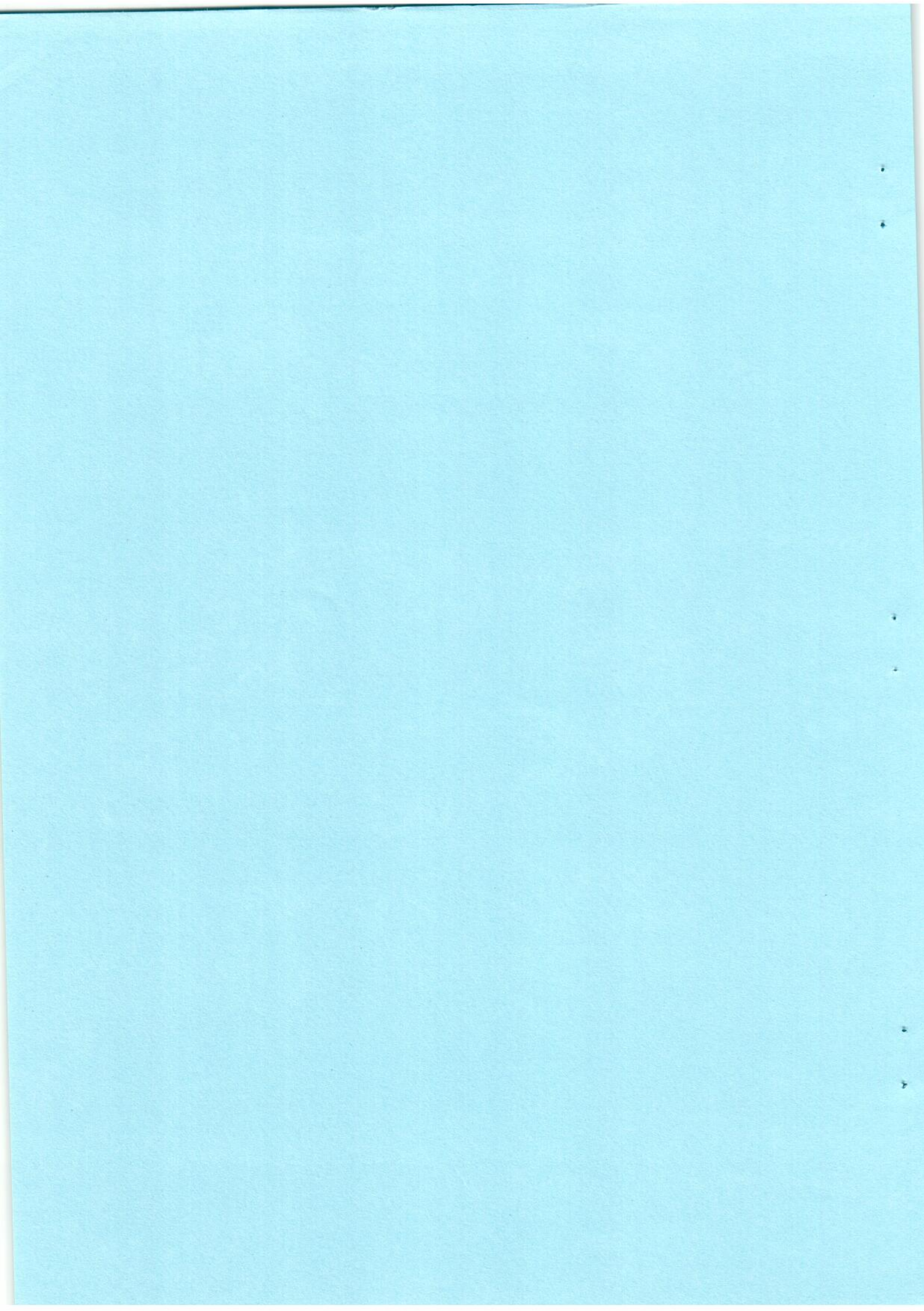
ITEM 4

TO CONSIDER and approve the decision of the Investment Committee for investing funds of Banaras Hindu University during the period from 05.04.2016 to 09.07.2016.

NOTE

As laid down under para 137(i) of the Accounts Rule, all investments made with the approval of the Investment Committee are required to be reported to the Executive Council at short intervals. A gist of investments made with the approval of Investment Committee during the period from 20th February, 2016 to 17th March, 2016 out of different funds of the University is placed at **APPENDIX-4**.

The Executive Council may peruse and approve.




(1) Funds invested in the meeting of the Investment Committee Meeting held on 05-04-2016

Sl. No.	Nature of Investible Fund	Fund/Amount of Investment (Crores)	Period / Duration of Investment	Decision made for Investment/Renewal/Encashment	% of Interest	Amount of interest earned/acrued interest till 31-03-2016
1.	SPECIAL FUND	8.09	13 months	Dewan Housing Finance Corporation Ltd.	9.25%	73,80,740.00
		20.00	1 year	Yes Bank Ltd., Sigra	7.75%	1,53,30,137.00
		6.00	1 year	Bandhan Bank Ltd., Bisheshwarganj	8.90%	52,66,849.00
		4.00	1 year	State Bank of Patiala, Brij-enclave	7.65%	30,26,466.00
		5.00	271 days	State Bank of Patiala, Brij-enclave	7.55%	28,50,132.00
		5.00	271 days	State Bank of Patiala, Brij-enclave	7.55%	28,50,132.00
		10.00	175 days	Karnataka Bank Ltd., Godowlia	7.65%	36,67,808.00
		5.00	175 days	Reliance Money Managae Fund	-----	-----
		5.00	175 days	IDFC Ultra Short Term Fund	-----	-----
		5.00	175 days	Kotak Low Duration Fund	-----	-----
		20.00	7 days	HDFC Bank Ltd., Trauma Centre, BHU	6.00%	2,29,508.00
2.	PROVIDENT FUND	19.75	14y1m 23d	Chhattisgarh Special SDL Bond 2031	8.70%	1,69,94,199.00
		22.00	2 years	Bandhan Bank Ltd., Bisheshwarganj	8.85%	1,92,03,288.00
		3.00	1 year	Reliance Gilt Securities Fund	-----	-----
3.	DEVELOPMENT FUND	25.00	2 years	J & K Bank Ltd., Madanpura	7.75%	1,91,62,671.00
		55.00	91 days	Syndicate Bank, Chowk	7.41%	1,01,88,750.00
		35.00	7 days	HDFC Bank Ltd., Trauma Centre, BHU	6.00%	4,01,639.00
4.	REVENUE FUND	42.00	55 days	State Bank of India, BHU	6.50%	41,13,699.00
		15.00	32 days	Yes Bank Ltd., Sigra	7.00%	9,18,032.00
		50.00	24 days	Syndicate Bank, Chowk	6.00%	19,72,602.00
5.	PROJECT FUND	4.00	61 days	State Bank of India, BHU	7.00%	4,67,945.00
6.	NEW PENSION SCHEME	1.00	1 year	State Bank of Patiala, Brij-enclave	7.65%	7,87,227.00
		364.84			Total :	11,48,11,824.00

संयुक्त कुलपति (लेखा)
 Joint Registrar (A/CS.)
 काशी हिन्दू विश्वविद्यालय
 Banaras Hindu University


(2) Funds invested in the meeting of the Investment Committee Meeting held on 10-05-2016

Sl. No.	Nature of Investible Fund	Fund/Amount of Investment (Crores)	Period / Duration of Investment	Decision made for Investment/Renewal/Encashment	% of Interest	Amount of interest earned/accrued interest till 31-03-2016
1.	SPECIAL FUND	5.00	1 year	Yes Bank Limited, Sigra	7.65%	33,95,342.00
		3.6285	1 year	Bandhan Bank Ltd., Bisheshwarganj	8.40%	27,05,569.00
		29.00	181 days	Syndicate Bank, Chowk	7.21%	1,03,68,572.00
		20.00	14 days	HDFC Bank Ltd., Trauma Centre, BHU	6.00%	4,59,016.00
2.	PROVIDENT FUND	17.00	40 months	Dewan Housing Finance Corporation Ltd.	9.15%	1,38,07,726.00
		10.00	1 year	Bandhan Bank Ltd., Bisheshwarganj	8.40%	74,56,438.00
		4.00	1 year	SBI Corporate Bond Fund	-----	-----
		3.00	1 year	Reliance Corporate Bond Fund	-----	-----
		3.00	1 year	Birla Sun Life Medium Term Plan	-----	-----
3.	DEVELOPMENT FUND	22.00	181 days	Syndicate Bank, Chowk	7.21%	78,54,904.00
		23.00	91 days	Syndicate Bank, Chowk	7.00%	40,13,972.00
4.	REVENUE FUND	0.30	1 year	Bandhan Bank Ltd., Bisheshwarganj	8.40%	2,23,693.00
5.	PROJECT FUND	0.03	73 days	Karur Vysya Bank Ltd., Jangambari	7.25%	4,339.00
				Total :		5,02,89,571.00


 सयुक्त कुलसचिव (लेखा)
 Joint Registrar (A/CS.)
 काशी हिन्दू विश्वविद्यालय
 Banaras Hindu University

(3) Funds invested in the meeting of the Investment Committee Meeting held on 09-06-2016

Sl. No.	Nature of Investible Fund	Fund/Amount of Investment (Crores)	Period / Duration of Investment	Decision made for Investment/Renewal/Encashment	% of Interest	Amount of interest earned/accrued interest till 31-03-2017
1.	SPECIAL FUND	0.32	1 year	Bandhan Bank Ltd., Bisheshwarganj	8.25%	2,13,370.00
		14.20	200 days	Oriental Bank of Commerce, Sigra	7.01%	55,00,724.00
2.	PROVIDENT FUND	10.00	20 months	Housing Development Finance Corp. Ltd.	8.55%	67,46,301.00
		8.50	11 years	Uttar Pradesh SDL SPL 2027 Bond	8.38%	56,20,340.00
		1.00	3 years	SBI Mutual Fund (Corporate Bond Fund)	-----	-----
3.	DEVELOPMENT FUND	19.00	90 days	Punjab & Sind Bank, Gurubagh	7.00%	32,79,452.00
		6.00	90 days	Indusind Bank Ltd., Sigra	7.20%	10,65,205.00
4.	PROJECT FUND	4.00	90 days	Indusind Bank Ltd., Sigra	7.20%	7,10,137.00
		2.00	61 days	Andhra Bank, Luxa Road	6.50%	2,17,261.00
		2.00	61 days	Bank of India, Mahmooarganj	6.50%	2,17,260.00
5.	NEW PENSION SCHEME	8.50	1 year	Bandhan Bank Ltd., Bisheshwarganj	8.25%	56,86,849.00
					Total :	2,92,56,899.00


 संयुक्त कुलसचिव (लेखा)
 Joint Registrar (A/C.S.)
 काशी हिन्दू विश्वविद्यालय
 Banaras Hindu University

(4) Funds invested in the meeting of the Investment Committee Meeting held on 09-07-2016

Sl. No.	Nature of Investible Fund	Fund/Amount of Investment (Crores)	Period / Duration of Investment	Decision made for Investment/Renewal/Encashment	% of Interest	Amount of interest earned/accrued interest till 31-03-2017
1.	SPECIAL FUND	14.323	15 years	Uttar Pradesh SDL SPL 2031 Bond	8.58%	88,88,579.00
		10.637	10 years	Rajasthan SDL SPL 2026 Bond	8.19%	63,01,067.00
		10.00	20 months	Housing Development Finance Corp. Ltd.	8.55%	61,84,110.00
		1.84	1 year	Bank of Maharashtra, Sibra	7.50%	9,99,222.00
		2.00	1 year	Bajaj Finance Ltd., Fixed Deposit	8.45%	12,22,356.00
		9.00	180 days	Karnataka Bank Ltd., Godowlia	7.70%	34,17,534.00
		22.00	91 days	Indusind Bank Ltd., Sibra	7.15%	39,21,726.00
2.	PROVIDENT FUND	8.00	6 years	Rajasthan SDL SPL 2022 Bond	8.27%	47,85,271.00
		8.00	7 years	Rajasthan SDL SPL 2023 Bond	8.27%	47,85,271.00
		1.34	14 years	Jharkhand SDL SPL 2030 Bond	8.62%	8,35,455.00
		1.50	3 years	Reliance Gilt Securities Fund	-----	-----
		2.00	1 year	DSP B.R. Income Opportunities Fund	-----	-----
		2.00	1 year	Reliance Regular Saving Fund	-----	-----
3.	DEVELOPMENT FUND	22.00	181 days	Yes Bank Ltd., Sibra	7.40%	81,25,668.00
		10.00	121 days	Syndicate Bank, Hathua Market	6.75%	22,23,801.00
		30.00	91 days	Bank of India, Mahmoorganj	6.75%	50,48,629.00
		15.75	91 days	Yes Bank Ltd., Sibra	7.10%	27,80,348.00
4.	REVENUE FUND	36.00	18 days	Syndicate Bank, Hathua Market	5.75%	10,20,822.00
		10.00	18 days	State Bank of India, BHU	5.50%	2,71,233.00
5.	PROJECT FUND	2.00	121 days	Syndicate Bank, Hathua Market	6.75%	4,44,760.00
				Total :		6,12,55,852.00

(Signature)
6/8/16

संयुक्त कुलपति (लेखा)
Joint Registrar (A/C.S.)
काशी हिन्दू विश्वविद्यालय
Banaras Hindu University

ITEM 5

TO CONSIDER AND APPROVE the Minutes of the meeting of the Finance Committee held on 23rd April, 2016 and on 5th November, 2016

NOTE

A Meeting of the Finance Committee of Banaras Hindu University was held on 23rd April, 2016 at India International Centre, New Delhi. The Minutes of the meeting were circulated to the members vide email dated June 11, 2016 for their perusal and approval by circulation. Six members conveyed their approval on the minutes of the Finance Committee. Accordingly the Annual Accounts and Balance Sheet of the University for the Financial year 2015-16 which have been approved by the Finance Committee were sent to the Comptroller and Auditor General of India for their audit. Minutes of the meeting are enclosed as **APPENDIX-5**. A brief summary of the decisions taken by the Finance Committee in this meeting is given below:

1. Routine matters, viz. The minutes of the Finance Committee meeting held on November 21, 2015 (FCR.No.1) and the action taken report on the minutes of the meeting dated November 21, 2015 (FCR No.2) etc. were approved.
2. The proposal for consideration and approval of the Annual Accounts and Balance Sheet of the University for the Financial year 2015-16 were approved (FCR No.3).
3. The proposal for consideration and approval of the Minutes of Building Committee meeting held on 29th February, 2016 (FCR No.4).

4. The Proposal for consideration of creation of Technical/non-technical positions and head of accounts under 'R' A/c for Centre of Food Sciences & Technology, Institute of Agricultural Sciences (FCR No.5).
5. The Proposal for consideration of Guidelines and ambulance hire charges at Trauma Centre.
6. The Proposal for consideration for establishment of Institute of Higher Education for Women (IHEW).
7. The Proposal for creation of nine departments under Faculty of Dental Sciences, IMS, BHU.
8. The Proposal for Revised Detailed Project guidelines of newly created Bharat Adhyayan Kendra.
9. The proposal for running Munshi Premchand Smarak Shodh evam Adhyayan Kendra at Lamhi, Varanasi.
10. The proposal for installation of Solar Power Plant at the University.
11. The proposal for write off the store valued to Rs. 1,59,85,129.00.

Another meeting of the Finance Committee is scheduled on 05th November, 2016 at New Delhi. The decisions that may be taken will be placed on the table for perusal and approval of the Executive Council.

The Executive Council may consider and approve.

APPENDIX- 5

MINUTES OF THE FINANCE COMMITTEE MEETING HELD ON SATURDAY, THE 23RD APRIL, 2016 AT 4.00 P.M. AT INDIA INTERNATIONAL CENTRE, 40, MAX MULLER MARG, LODHI ESTATE, NEW DELHI – 110 003.

The meeting of the Finance Committee was held on 23rd April, 2016 at 4:00 p.m. at Conference Room No. 01, India International Centre, New Delhi under the Chairmanship of Prof. G.C.Tripathi, Vice-Chancellor, Banaras Hindu University.

The following Members were present :

1. Ms. Darshana M. Dabral, Joint Secretary (FA), Integrated Finance Division (IFD), MHRD. New Delhi.
2. Dr. K.P.Singh, Joint Secretary (CU), University Grants Commission, New Delhi.
3. Sri Surat Singh, Deputy Secretary, (CU), MHRD, New Delhi (Representative of Joint Secretary Central University, MHRD)
4. Prof. Asha Ram Tripathi, Dean, Faculty of Commerce, BHU.
5. Prof. Hira Lal Prajapati, Dean, Faculty of Visual Arts, BHU.
6. Shri Abhay Kumar Thakur, Finance Officer, B.H.U.

Dr. K.P.Upadhyay, Registrar, BHU also attended the meeting as Special Invitee. Prof. J.N. Mishra and Prof. S.K. Srivastava nominee of Executive Council could not attend the meeting due to their pre occupation.

At the outset Prof. G.C.Tripathi, Vice-Chancellor, Banaras Hindu University welcomed all members of the Finance Committee and introduced new members Prof. Asha Ram Tripathi, Dean, Faculty of Commerce and Prof. Hira Lal Prajapati, Dean, Faculty of Visual Arts with other members of the Committee. Thereafter, agenda items were discussed and resolved as under:

FCR. – 01 Confirmation of the meeting of the Finance Committee held on 21st November, 2015 at Banaras Hindu University (BHU), Varanasi.

RESOLVED that the minutes of the Finance Committee Meeting held on 21st November, 2015 at B.H.U., Varanasi be confirmed.

FCR. – 02 Action Taken Report (ATR) of the Resolution of the Finance Committee meeting held on 21st November, 2015.

RESOLVED that the Action Taken Report on the resolutions of the Finance Committee Meeting held on 21st November, 2015 at B.H.U., Varanasi be approved and recorded.

FCR. – 03 To consider & approve the Annual Accounts and Balance Sheet of the University for the financial year 2015-16.

RESOLVED that the Annual Accounts of the Banaras Hindu University for the financial year 2015-16 be approved for placement before the Executive Council in the next meeting.

FCR. – 04 To consider and approve the Minutes of the Building Committee meeting held on 29th Feb., 2016.

RESOLVED that the Minutes of the Building Committee meeting held on 29.2.2016 be approved except for the work related to Special repair of slab by guniting work and other miscellaneous work of Panchkarma side and kyachikitsa side of Ayuurveda wing SS Hospital, BHU and first floor office (old CHC) side wing of Arts faculty of BHU mentioned at Sl.No.10 of Resolution No. BCR-9/2016 of the Building Committee meeting dated 29.02.2016. The said work shall be completed within the sanctioned amount of Rs. 58,28,550/- sanctioned vide letter No. R/Dev/D/XII Plan/Building/List-VII/09392 dated February 8, 2016 by the University. Further the work mentioned at Sl.No.11- 'Repair & renovation work Radiotherapy and Radiation Medicine, 1st Floor, providing/fixing wall tiles, false ceiling etc. shall also be completed within estimated cost of Rs.25,28,000/- as mentioned in Resolution No. BSR-9/2016.

It is further resolved that only those works shall be awarded wherein financial sanctions have been issued by the University. It is also resolved that total expenditure on the building projects from UGC Plan grant should not exceed 50% of the total Plan allocation as provided in the guidelines.

The COMMITTEE RESOLVED FURTHER that in future a summary be enclosed, wherein details of the total sanctions communicated by UGC or other funding agency under 'Buildings head' be mentioned along with total financial implication involved in proposed projects. The balance amount available with the University shall also be mentioned so that at a glance amount already spent and available for construction can be ascertained.

FCR. 05 To consider creation of technical/non-teaching positions and head of accounts under 'R' A/c for Centre of Food Science & Technology, Institute of Agricultural Sciences, BHU.

RESOLVED that the new heads of account under non-plan non establishment be created with following nomenclature and fund be provided as per availability :

Consumables	Rs.10,00,000.00
Contingency	Rs.4,00,000.00

Resolved further that non-teaching & technical positions the University shall send a complete proposal to the U.G.C. for sanction of Technical

& Clerical Positions for the Centre for food Science and Technology. Posts like Peon, Chaukidar, Safaiwala and Mali should be outsourced as per UGC guidelines.

FCR – 06 To consider the guidelines and ambulance hire charges at Trauma Centre, Institute of Medical Sciences, BHU.

RESOLVED that the guidelines and ambulance hire charges at Trauma Centre, IMS, be approved.

FCR. – 07 To consider proposal for sanction of Maintenance grant as well as one time development grant for the newly created Faculty of Veterinary and Animal Sciences, Institute of Agricultural Sciences.

RESOLVED that the proposal for one time development grant to the tune of Rs. 1037.00 lacs be sent to the funding agencies for sanction of fund for newly created Faculty of Veterinary and Animal Sciences.

RESOLVED FURTHER that new recurring head of accounts under non plan, non establishment for newly established Faculty of Veterinary and Animal Sciences and its department be create d. The committee also suggested that all efforts should be made to generate substantial income from the fees and other allied services so that activities of the faculty shall be self sustainable to the extant possible.

FCR. – 08 To consider the proposal for establishment of Institute of Higher Education for Women (IHEW) by up gradation of Mahila Maha Vidyalaya.

RESOLVED that the proposal for establishment of Institute of Higher Education for Women (IHEW) by up-gradation of Mahila Maha Vidyalaya be sent to the U.G.C. and M.H.R.D.

RESOLVED FURTHER that as per resolution of the Academic Council, duly approved by the Executive Council, fund required under non recurring and recurring heads will be arranged with the available resources of the University.

FCR. – 09 To consider proposal for creation of nine departments under Faculty of Dental Sciences, IMS, BHU.

RESOLVED that the proposal for creation of following nine departments under Faculty of Dental Sciences, IMS, BHU be approved :

1. Deptt. of Prosthodontics and Crown & Bridge
2. Deptt. of Conservative Dentistry and Endodontic
3. Deptt. of Orthodontics and Dental Orthopaedics
4. Deptt. of Periodontology
5. Deptt. of Oral Medicine & Radiology

6. Deptt. of Oral & Maxillofacial Surgery
7. Deptt. of Public Health Dentistry
8. Deptt. of Paediatrics and Preventive Dentistry
9. Deptt. of Oral Pathology & Microbiology

RESOLVED FURTHER that head of account for maintenance of newly created departments under 'R' A/c, Non-Establishment be opened with an amount mentioned against each subject to appropriate fund provided by the U.G.C.

Contingency	Rs. 20000 per deptt x 9	Rs.1.80 lakhs
Lab. Expenses	Rs. 1 lakh per deptt x 9	Rs.9.0 lakhs
Postage and Telegram	Rs.5000 per deptt x 9	Rs.0.45 lakhs
Printing & Stationery	Rs.14000 per deptt x 9	Rs.1.26 lakhs
Teaching Aid	Rs.10000 per deptt x 9	Rs.0.90 lakhs
AMC of Equipments	Rs.30000 per deptt x 9	Rs.2.70 lakhs

RESOLVED STILL FURTHER that proposal for non-teaching clerical staff shall be sent to the UGC. Posts like Peon/multitasking should be outsourced as per UGC guidelines.

- FCR. – 10 To consider and approve the Revised Detailed Project guidelines of newly created Bharat Adhyayan Kendra.

RESOLVED that the Revised Detailed Project Report (DPR) of Rs. 2509.10 lacs and guidelines of newly created Bharat Adhyayan Kendra be approved except fund allocated for an amount of Rs. 50.00 Lakh and 10.00 lakh under Mahamana Study and TA/DA to complete works of Mahamana respectively. The said fund i.e. Rs.60.00 lakh may be allotted for the Building Projects of the said Kendra, if required.

RESOLVED FURTHER that detailed requirement for creation of new teaching and research positions be submitted to the U.G.C. for sanction of the above positions on the regular basis.

- FCR. – 11 To consider the proposal for running Munshi Premchand Smarak Shodh ebam Adhyayan Kendra at Lamhi, Varanasi.

RESOLVED that the proposal for creation of new posts such as Jr. Research Officer, Semi Professional Assistant and Junior Clerk for newly established Munshi Premchand Smarak Shodh ebam Adhyayan Kendra be sent to the U.G.C. Posts like Peon, Chaukidar, Safaiwala, Library Attendant and Mali should be outsourced as per UGC guidelines.

RESOLVED FURTHER THAT the following new sub-heads be opened under 'R' A/c Non-Establishment with an initial provision under the following head of account, subject to appropriate fund provided by the U.G.C.

Birthday Celebration	Rs.6,00,000
Special Lecture	Rs.1,00,000
National & International Seminar	Rs.6,00,000
Other Charges	Rs.50,000
Honorarium	Rs.5,000
Stationery	Rs.20,000

FCR. – 12 To consider and approve wood auction policy of the University.

RESOLVED that the wood auction policy of the University be noted and approved.

FCR. – 13 To consider and approve the project of installation of Solar Power Plant at the University.

RESOLVED that initially the University shall allocate the requisite fund, out of the interest earned from the Corpus Fund in the financial year 2015-16, for setting up the Solar Power Plant in the University campus.

FCR. – 14 To consider the proposal for write off the stores valued to Rs. 1,59,85,129.00 (Rs. One Crore fifty nine lakh eighty five thousand one hundred twenty nine only).

RESOLVED that the proposal for write off of un serviceable items, as approved by the Vice-Chancellor be approved.

FCR. – 15 To consider and approve the orders of the Vice-Chancellor.

RESOLVED that the orders of the following orders of the Vice-Chancellor be ratified :

1. Opening new sub-head under Spl. Fund A/c styled as 'Dance Fee-M.M.V.' to deposit the Dance fee received from the students of M.M.V. and transfer of Rs. 3,33,425/- from the S.F.-'Music Diploma Course of Performing Arts' to newly created head SF-Dance Fee-M.M.V.'
2. Opening new sub-head under Spl. Fund A/c styled as SF-Revolving Fund-Trauma Centre Share 10% (SF-11/0079)' to deposit share of receipt of Trauma Centre.
3. Sanction of Rs. 50.00 lacs as additional, over and above the Budgetary Provision of Rs. 44.00 lacs in R.B.E. 2015.16. (Ref. No. F(A)1-1(C-1)/ 2205 dated 11.2.2016.)
4. Opening new subhead under Spl. Fund A/c styled as 'Madhav Mathematics Competition, Centre for Interdisciplinary Mathematical Science (CIMS), both in Receipt and Expenditure side of cash book.
5. Opening new subhead in Spl. Fund A/c styled as 'SF-Income from EPMA Facility Charges, Deptt. of Geology (SF-04/0057), both in

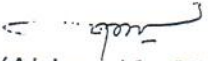
Receipt and Expenditure side of the Cash Book alongwith the charges for users of EPMA facility.

6. Sanction of additional fund of Rs. 3.21 lacs and Rs. 3.82 lacs respectively under 'R' A/c Advertisement grant over and above the allowed provision of Rs. 80.00 lacs in the R.B.E. 2015-16.
7. Approval of rates of the Physiotherapy Unit, Vacuum Therapy with TENS, LASER Therapy in Trauma Centre, IMS.


FCR. - 16 Outstanding Audit Paras of the University.

RESOLVED that the University should make efforts to settle the pending audit paras at the earliest.

The meeting ended with vote of thanks to the Chair.


(Abhay Kr. Thakur)
Member Secretary



(H.L. Prajapati)
Member


(Asha Ram Tripathi)
Member

(Surat Singh)
Member

(K.P. Singh)
Member

(Darshna M. Dabral)
Member


(G.C. Tripathi)
Chairman



Finance Officer <fo-bhu@bhu.ac.in>

Minutes of the Finance Committee meeting dated 23.04,2016.

K P Singh <kpsingh.ugc@nic.in>
To: Finance Officer <fo-bhu@bhu.ac.in>

Mon, May 9, 2016 at 10:16 AM

Dear Sir,

Thank you for your mail dated 6th May, 2016 clarifying the points. We agree with the minutes of the Finance Committee with the condition that total expenditure on the building projects from UGC Plan grant should not exceed 50% of the total Plan allocation as provided in the guidelines.

(K.P. Singh)
[Quoted text hidden]

25



Finance Officer <fo-bhu@bhu.ac.in>

Minutes of the Finance Committee meeting dated 23.04.2016

Surat Singh <surats.edu@nic.in>

Mon, Apr 25, 2016 at 6:05 PM

To: Finance Officer <fo-bhu@bhu.ac.in>

Dear Sir,

FCR05 and FCR-11 refers. Since there is no question of filling up of posts like Mali, Chowkidar, etc, on contract basis but these services will be outsourced. Accordingly, the necessary corrections may be made in the draft minutes.

[Quoted text hidden]

S/b

ITEM 6

TO CONSIDER AND APPROVE sending of five (05) Art objects of Bharat Kala Bhavan, Banaras Hindu University to be displayed in the Gupta Art and China exhibition through Ministry of Culture, Government of India, New Delhi w.e.f. September, 2016 to January, 2018 at different places in China.

NOTE

Gupta Art and China exhibition was held from September, 2016 to January, 2018 at different places in China. On demand of Ministry of Culture, Government of India, New Delhi five (05) Art objects as per list placed at **APPENDIX-6** were sent to the Gupta Art and China for being displayed in the Exhibition with the prior approval of the Vice-Chancellor on following terms and conditions:

1. **All art objects from the collection of Bharat Kala on loan would be insured on nail to nail basis by the museum.**
2. **The premium of insurance would be paid by the host museum.**
3. **All packing and freight charges will be paid by the host museum.**
4. **One officer from Bharat Kala Bhavan will be deputed as courier to escort the consignment of art objects from the Bharat Kala Bhavan to New Delhi and New Delhi to China.**
5. **TA and DA of the deputed officer will be paid by the host museum.**

The Executive Council vide ECR No. 37 dated 1st July, 1950, while defining the Management Committee of Bharat Kala Bhavan and its Standing Rules, had *inter-alia* provided that:

XXX

XXX

XXX

The Committee shall in the interest of Kala Bhavan have the right, subject to special sanction of the Executive Council, to alter, destroy or send in exhibition one or more articles of the Kala Bhavan.

XXX

XXX

XXX

In the light of the aforesaid provisions of ECR 37 dated 1st July, 1950, the Executive Council may consider and approve sending Art Objects of Bharat Kala Bhawan for display in the Gupta Art and China exhibition.



Ref. No.: BKB/2016-17/148

Dated: 31.08.2016

The Registrar
Banaras Hindu University

Subject: Permission for inclusion of the matter in the agenda of ensuing meeting of the Executive Council of BHU of sending 05(five) art objects to be displayed in the "Gupta Art and China" exhibition through the Ministry of Culture, Govt. of India, New Delhi w.e.f. September, 2016 to January, 2018 at different places in China.

Dear Sir,

Kindly refer to the letter No. 19-249/2014-NM (EC) dated 25.02.2016 from Dy. Curator (Arms)/ I/c. Dy. Curator (Exhibitions) Ministry of Culture, Govt. of India, New Delhi and approved by Hon'ble Vice Chancellor, BHU vide letter no. R / GAD/Misc. (22) / 521 dated 04.04.2016 (photocopy attached) regarding "Gupta Art and China" exhibition from September, 2016 to January, 2018 at different places in China.

In this connection this is to inform you that 05 (five) art objects are to be sent to the Ministry of Culture, Govt. of India, New Delhi as per list enclosed for display in this exhibition.

As per previous practice (photocopy attached for ready reference), it is therefore, requested that the above matter may kindly be included in the agenda of the ensuing meeting of the Executive Council of Banaras Hindu University. Photocopy of the insurance paper will be sent at the time of handing over the art objects. Necessary information may be sent to the office of the undersigned.

Thanking you,

Yours,



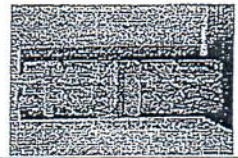

 DIRECTOR

Encl.: As above.

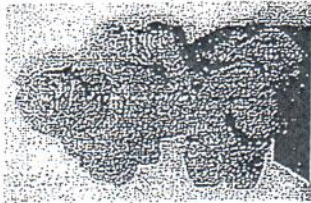
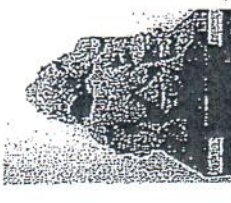
JR (EC) ul

M/S

Exhibition entitled "Gupta Art and China" to be held at Palace Museum, Beijing, China w.e.f. 28th September, 2016 to 28th December, 2016.

S. No.	Acc. No.	Lender	Nature of objects	Title of object	Measurement	Photograph	Notional Price in INR
1.	150	Bharat Kala Bhawan, Varanasi, U.P.	Sandstone	Amorous Couple 5 th Varanasi, U.P.	30x18x13 cm		20 lacs
2.	03.343	Bharat Kala Bhawan, Varanasi, U.P.	Sandstone	Vasudeva, Yashoda and Krisna 5 th Bhitari, U.P.	62x80x17 cm		25Lacs
3.	151	Bharat Kala Bhawan, Varanasi, U.P.	Sandstone	A couple 5 th 6 th Varanasi, U.P.	26.5x18x13 cm		25 Lacs

Dr. S. Singh
22/10/16

1.	215 20	Bharat Kala Bhawan, Varanasi, U.P.	Sandstone	Man Lion Incarnation of Visnu 6 th Varanasi, U.P.	45x26x21 cm		02 Lacs
5.	361.81 20	Bharat Kala Bhawan, Varanasi, U.P.	Sandstone	Varaha 5 th Central India	38x31x16 cm		03lacs

Handwritten signature and date: 22/10/16

COPY OF ECR NO.286 DATED JULY 8, 2015

**ECR 286
ITEM 4**

CONSIDERED sending of seven(07) Art objects/Antiquities of Bharat Kala Bhavan, Banaras Hindu University to be displayed in the Yoga-Exhibition organised by Sangeet Natak Academy, Ministry of Culture, Government of India, New Delhi from 21 to 27th June, 2015.

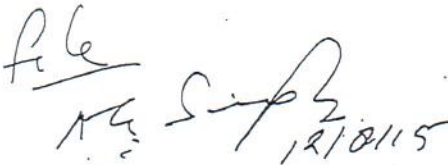
RESOLVED THAT the orders of the Vice-Chancellor for sending seven(07) Art objects/Antiquities of Bharat Kala Bhavan, Banaras Hindu University for displaying them in yoga exhibition organised by Sangeet Natak Academy, Ministry of Culture, Government of India, New Delhi from 21 to 27th June, 2015 be approved.

Dated: August 10, 2015

Copy forwarded to the Director, Bharat Kala Bhavan, BHU for information and necessary action.



**JOINT REGISTRAR
EXECUTIVE COUNCIL CELL**


12/08/15

E-C Agenda item no 4 dated 8 July 2015

ITEM 4

TO CONSIDER AND APPROVE sending of seven(07) Art objects/Antiquities of Bharat Kala Bhavan, Banaras Hindu University to be displayed in the Yoga-Exhibition organised by Sangeet Natak Academy, Ministry of Culture, Government of India, New Delhi from 21 to 27th June 2015.

NOTE

On the occasion of International Yoga Day an Exhibition was held at Sangeet Natak Academy "Ministry of Culture, Government of India, New Delhi from 21st to 27th June 2015. On the demand of Sangeet Natak Academy seven (07) Art objects/ Antiquities as per list placed at APPENDIX-4 have been sent to the Sangeet Natak Academy, New Delhi to be displayed in the Exhibition with the prior approval of the Vice-Chancellor on following terms and conditions:

1. The Academy will get the objects insured as regards their safety and security as per its notional value during the exhibition. A brief description of the objects will also accompany the exhibits.
2. The packing/unpacking & freight charges from Bharat Kala Bhavan, BHU, Varanasi to New Delhi and back shall be borne by the Sangeet Natak Academy.
3. While sending the objects, two officers of the Bharat Kala Bhavan, BHU shall be deputed to take these objects to the Exhibition at New Delhi and bring them back to the Bharat Kala Bhavan, BHU when the exhibition is over.
4. The T.A. & D.A. of these deputed officers shall be borne by the Sangeet Natak Academy, as per rules and entitlement.

The Executive Council vide ECR No. 37 dated 1st July, 1950, while defining the Management Committee of Bharat Kala Bhavan and its Standing Rules, had *inter-alia* provided that:

xxx

xxx

xxx

The Committee shall in the interest of Kala Bhavan have the right, subject to special sanction of the Executive Council, to alter, destroy or send in exhibition one or more articles of the Kala Bhavan.

xxx

xxx

xxx

The Executive Council may consider and approve.

ITEM 7

TO CONSIDER AND APPROVE the orders of the Vice-Chancellor for the induction of In-Charge of University Science Instrumentation Centre (USIC) Level-II in the existing Departmental Promotion Committee of central/ Main registry as one of the member.

NOTE

As per the order of the Vice-Chancellor the In-Charge of University Science Instrumentation Centre (USIC) Level-II has been included in the existing Departmental Promotion Committee of central/ Main registry as one of the members in addition the existing members (like other 20 auxiliary units/offices) vide notification no. AB/CC/D-4/2016/7649 dated 20.05.2016 (**APPENDIX-7**). This was necessitated because the earlier composition of DPC notified in May 2010 contained core members from the then IT BHU which is now a separate organization. The orders regarding composition of DPC of Central/Main Registry was ratified by Executive Council vide ECR No. 173 dated June 23, 2010)

The Executive Council may consider and approve the orders for inclusion of In-Charge, USIC as a member of DPC of Central/Main Registry.



कार्यकारी परिषद प्रकोष्ठ
डायरी सं०... 111/2
दिनांक... 23/5/16

No.: AB/CC/D-4/2016/

Dated: 17/05/2016

NOTIFICATION

In partial modification of the Notification No. AB/CC/D-4/DPC-Constitution (2010)/8269 dated 24/05/2010, regarding constitution of DPC of various Institutes/Faculties/Colleges/Schools/Offices etc. & Auxiliary Units of the University, it is notified that the In-Charge of University Science Instrumentation Centre (USIC) Level-II will be a member in the existing Departmental Promotion Committee of Central / Main Registry (in addition to the existing members like other 20 auxiliary units / offices of central registry) mentioned in referred to notification.

This issues under the orders of the Vice-Chancellor.


REGISTRAR

No.: AB/CC/D-4/2016/ 7649

, of date: 20-5-2016

Copy forwarded to the following for information :

1. The Director, Institute of Science, BHU.
2. The Dean, Faculty of Science, BHU.
3. The In-Charge, USIC Level-II, BHU.
4. The Finance Officer, BHU.
5. The Joint Registrar & Secretary to the V.C., BHU.
6. The Joint Registrar, Executive Council with the request to report the same in the next meeting of the EC.
7. The Joint Registrar (Admin.), Non-Teaching, BHU.


REGISTRAR



काशी हिन्दू
विश्वविद्यालय



BANARAS HINDU
UNIVERSITY

An Institution of National Importance established by an Act of Parliament

APPENDIX - 7

कार्यालय कुलसचिव
(प्रशासन) - गैर-शिक्षण

Office of the Registrar
(Administration) - Non-Teaching

कार्यकारिणी परिषद प्रकोष्ठ
डायरी सं०... 111/2
दिनांक... 23/5/16

No.: AB/CC/D-4/2016/

Dated: 17/05/2016

NOTIFICATION

In partial modification of the Notification No. AB/CC/D-4/DPC-Constitution (2010)/8269 dated 24/05/2010, regarding constitution of DPC of various Institutes/Faculties/Colleges/Schools/Offices etc. & Auxiliary Units of the University, it is notified that the **In-Charge of University Science Instrumentation Centre (USIC) Level-II** will be a member in the existing Departmental Promotion Committee of Central / Main Registry (in addition to the existing members like other 20 auxiliary units / offices of central registry) mentioned in referred to notification.

This issues under the orders of the Vice-Chancellor.


REGISTRAR

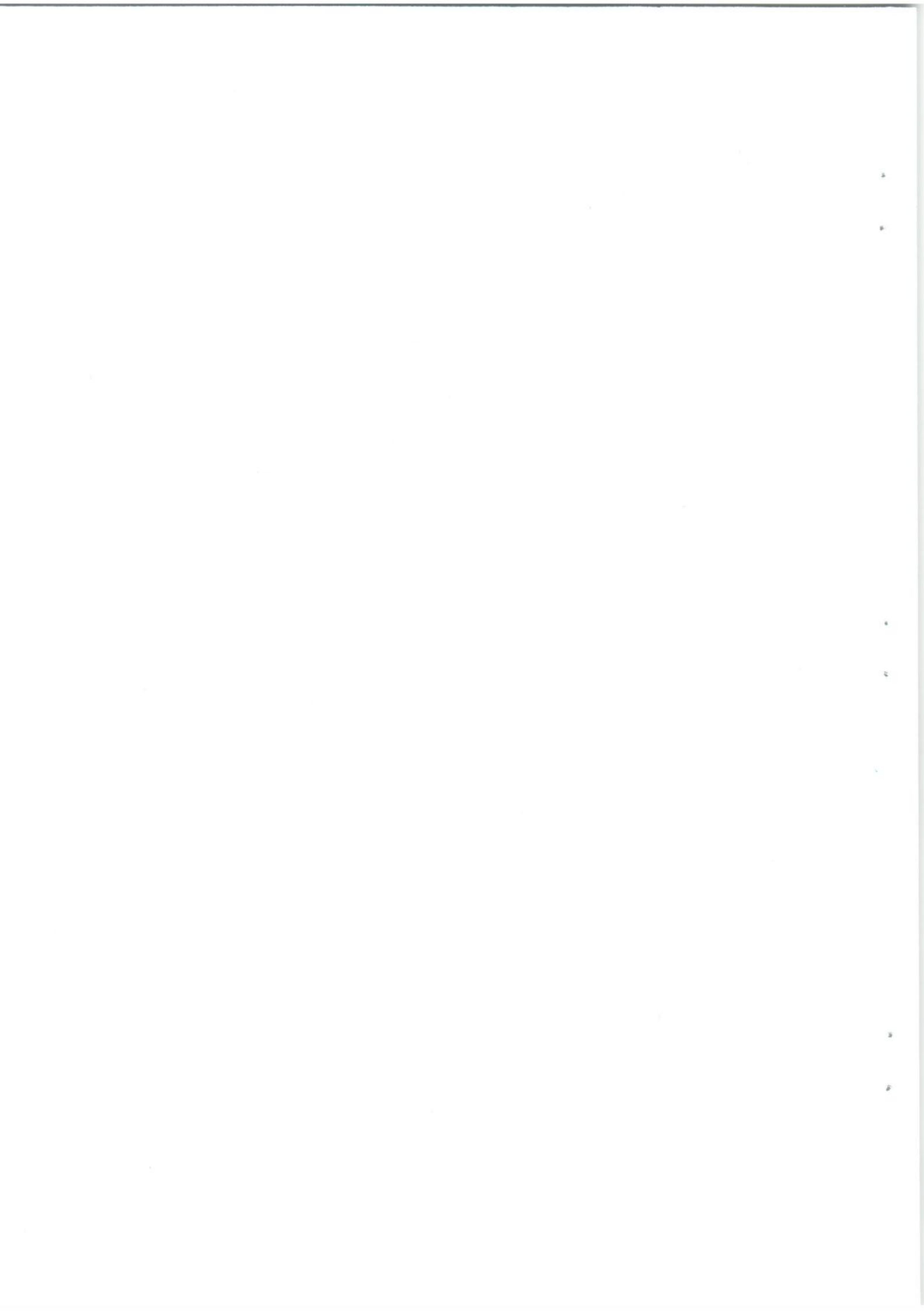
No.: AB/CC/D-4/2016/ 7649

, of date: 20-5-2016

Copy forwarded to the following for information :

1. The Director, Institute of Science, BHU.
2. The Dean, Faculty of Science, BHU.
3. The In-Charge, USIC Level-II, BHU.
4. The Finance Officer, BHU.
5. The Joint Registrar & Secretary to the V.C., BHU.
6. The Joint Registrar, Executive Council with the request to report the same in the next meeting of the EC.
7. The Joint Registrar (Admin.), Non-Teaching, BHU.


REGISTRAR



ITEM 8

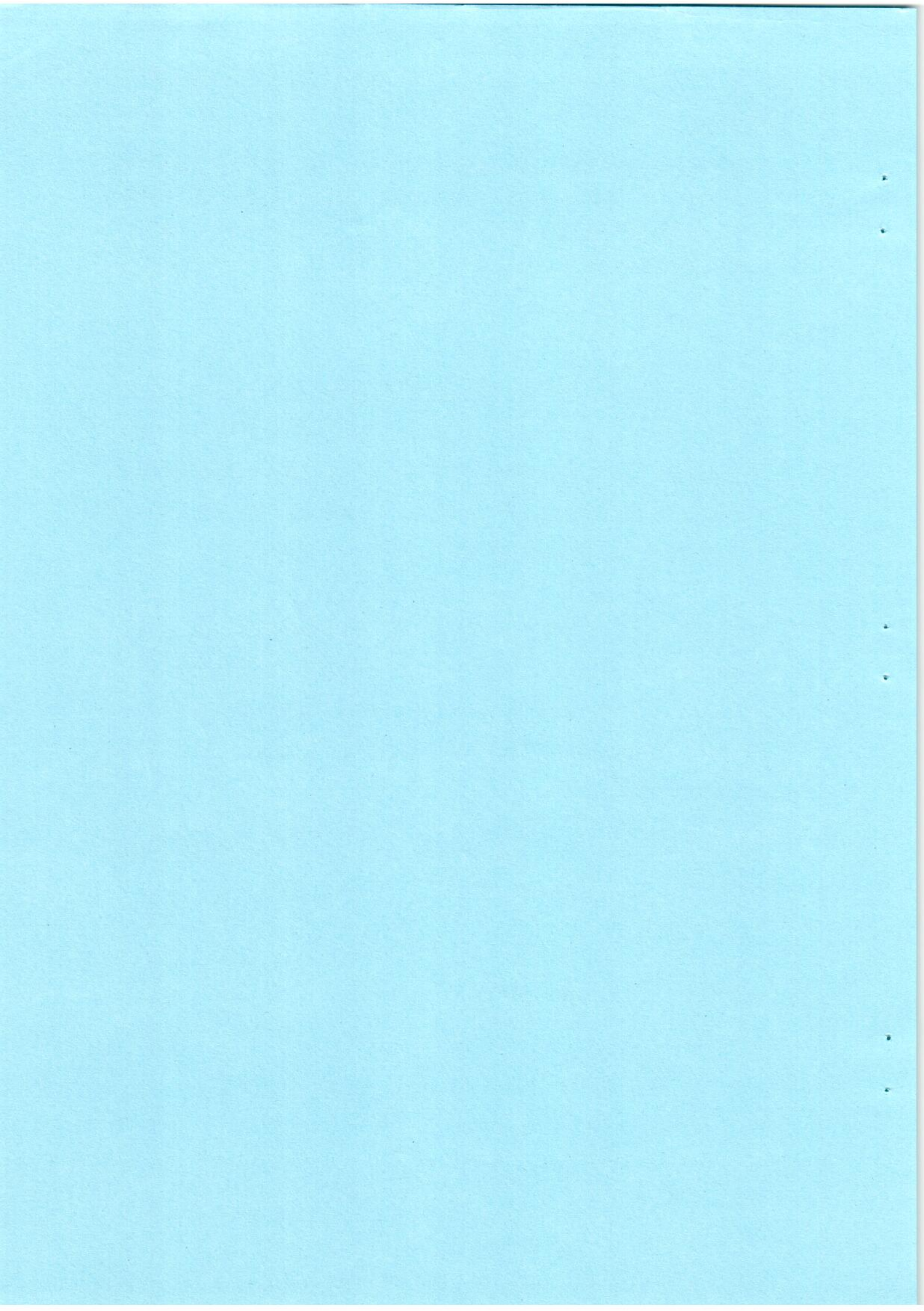
TO CONSIDER AND APPROVE the orders of the Vice-Chancellor for implementation of the order dated 29 July, 2016 of MHRD, enhancing the age of superannuation of the Non-Teaching Medical posts to 65 years.

NOTE

Shri Surat Singh, Deputy Secretary, Ministry of Human Resource Development, Department of Higher Education vide his letter no.F.65-7/2016-Desk U dated 29th July, 2016 (**APPENDIX-8A**) had communicated Enhancement of age of superannuation in respect of Non-Teaching Medical Posts in Central Universities.

Accordingly, as per the orders of the Vice-Chancellor the age of superannuation of the Non-Teaching Medical posts has been enhanced to 65 years which was notified vide notification no. AB/SB & P/Sup. Age-Medical posts/2016/19745 dated 30.07.2016 (**APPENDIX-8B**).

The Executive Council may consider and approve the order of the Vice-Chancellor.



F. No. 65-7/2016-Desk (U)
Government of India
Ministry of Human Resource Development
Department of Higher Education
Central Universities Division

APPENDIX-8A

Shastri Bhawan, New Delhi
Dated: 29th July, 2016

To,
The Secretary
University Grants Commission,
2 Bahadurshah Zafar Marg,
New Delhi-110 002

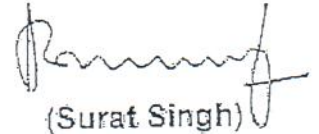
Subject: Enhancement of age of superannuation in respect of Non-Teaching Medical Posts in Central Universities-reg.

Sir,
I am directed to state that the Ministry of Health & Family Welfare vide Order No. A.12034/1/2014-CHS-V dated 31.05.2016, has enhanced the age of superannuation of the specialists of Non-Teaching and Public Health sub-cadres of Central Health Service (CHS) and General Duty Medical Officers of CHS to 65 years.

2. The age of superannuation of the Non-Teaching Medical posts in Central Universities under this Ministry has also been enhanced to 65 years, subject to the conditions that these posts are similarly situated as the counterparts in CHS in terms of qualifications and the nature of functions.

3. This issues with the approval of Ministry of Finance, Department of Expenditure vide their I.D. No. 21(1)/EV/2014 dated 29th July, 2016.

Yours faithfully,

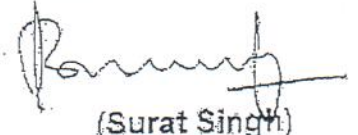


(Surat Singh)

Deputy Secretary to the Government of India

Copy to:

1. Registrars of all Central Universities,
2. Shri Amar Nath Singh, Director, Department of Expenditure, Ministry of Finance, New Delhi.
3. PS to HRM/PS/to MoS(MNP)/PS to MoS(UK)
4. PSO to Secretary, HE/ PS to JS (CU&L)/ PS to JS(HE)/ PS to JS&FA



(Surat Singh)

Deputy Secretary to the Government of India



ITEM 9

TO CONSIDER nomination of three members to the Senate of IIT (BHU).

NOTE

In terms of the provision of Section 9 of the Institute of Technology (Amendment Act) 2012, three members of the Senate, not being employees of the IIT (BHU), are to be nominated by the Executive Council, BHU.

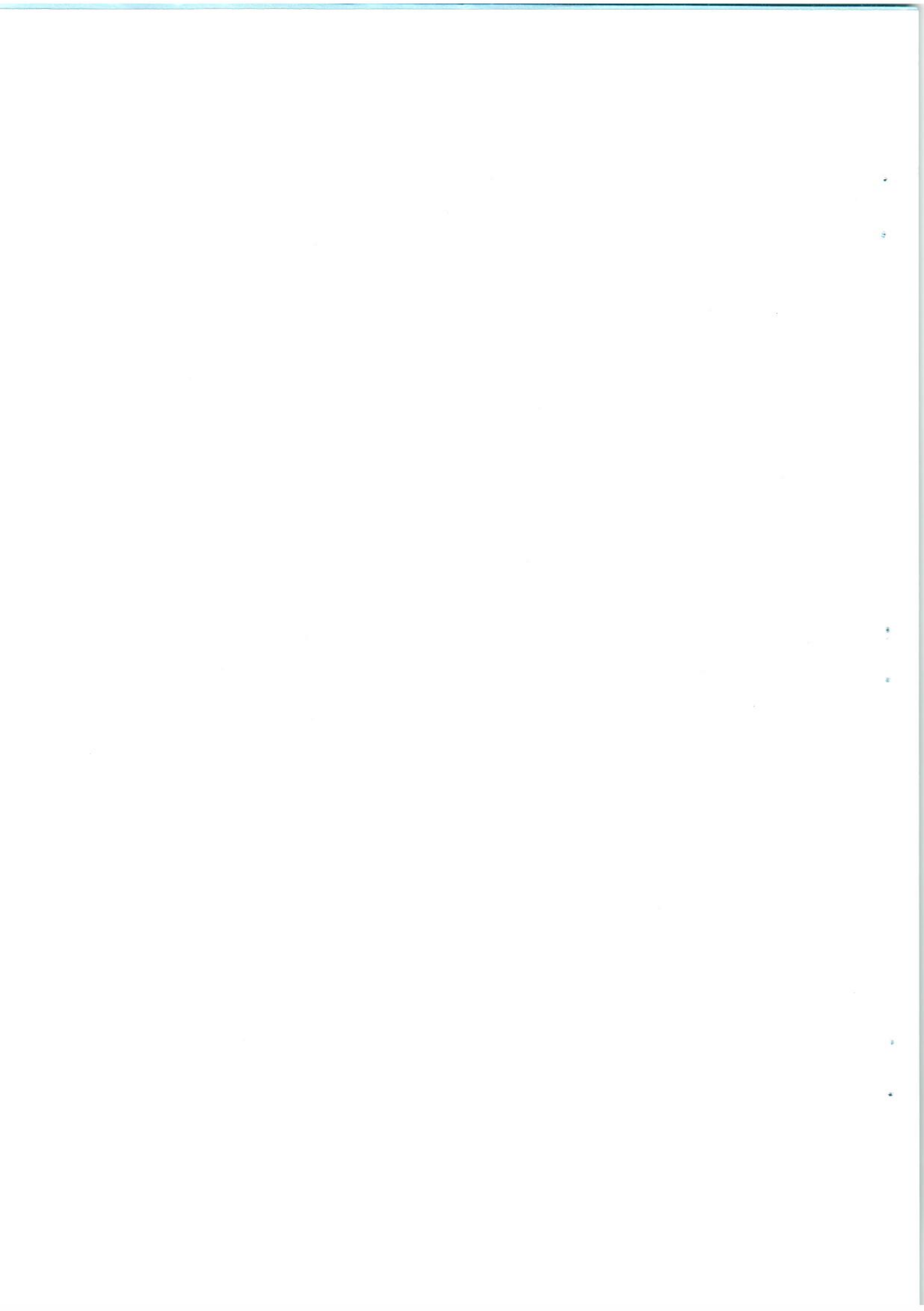
In accordance with the aforesaid provision the Executive Council vide ECR no.310 dated 29.09.2015 had nominated the following as members of the Senate of IIT(BHU) for a period of one year w.e.f. 09.09.2015:

1. Prof. Anand Kumar, Department of General Surgery, IMS, BHU.
2. Prof. R.R. Jha, Department of Political Science, Faculty of Social Sciences, BHU.
3. Prof. Madhoolika Agrawal, Department of Botany, Faculty of Science, BHU.

Now their one year term has expired on 08.09.2016. The Director, IIT(BHU) vide his letter no. IIT (BHU)/2016-17/8224 dated September 7, 2016 (**APPENDIX-9**) has proposed the following names for consideration of the Executive Council for nomination of three members to the Senate of IIT(BHU) for a period of one year:

1. Prof. Anand Kumar, Department of General Surgery, IMS, BHU.
2. Prof. A.K. Kaul, Department of Sociology, Faculty of Social Sciences, B.H.U.
3. Prof. Madhoolika Agrawal, Department of Botany, Faculty of Science, BHU.

The Executive council may consider and nominate three members to the Senate, IIT(BHU).



ITEM 10

TO CONSIDER approval of recommendation of Selection Committees for promotion of teachers under CAS, re-employment of teachers and appointment of Group 'A' non-teaching officers.

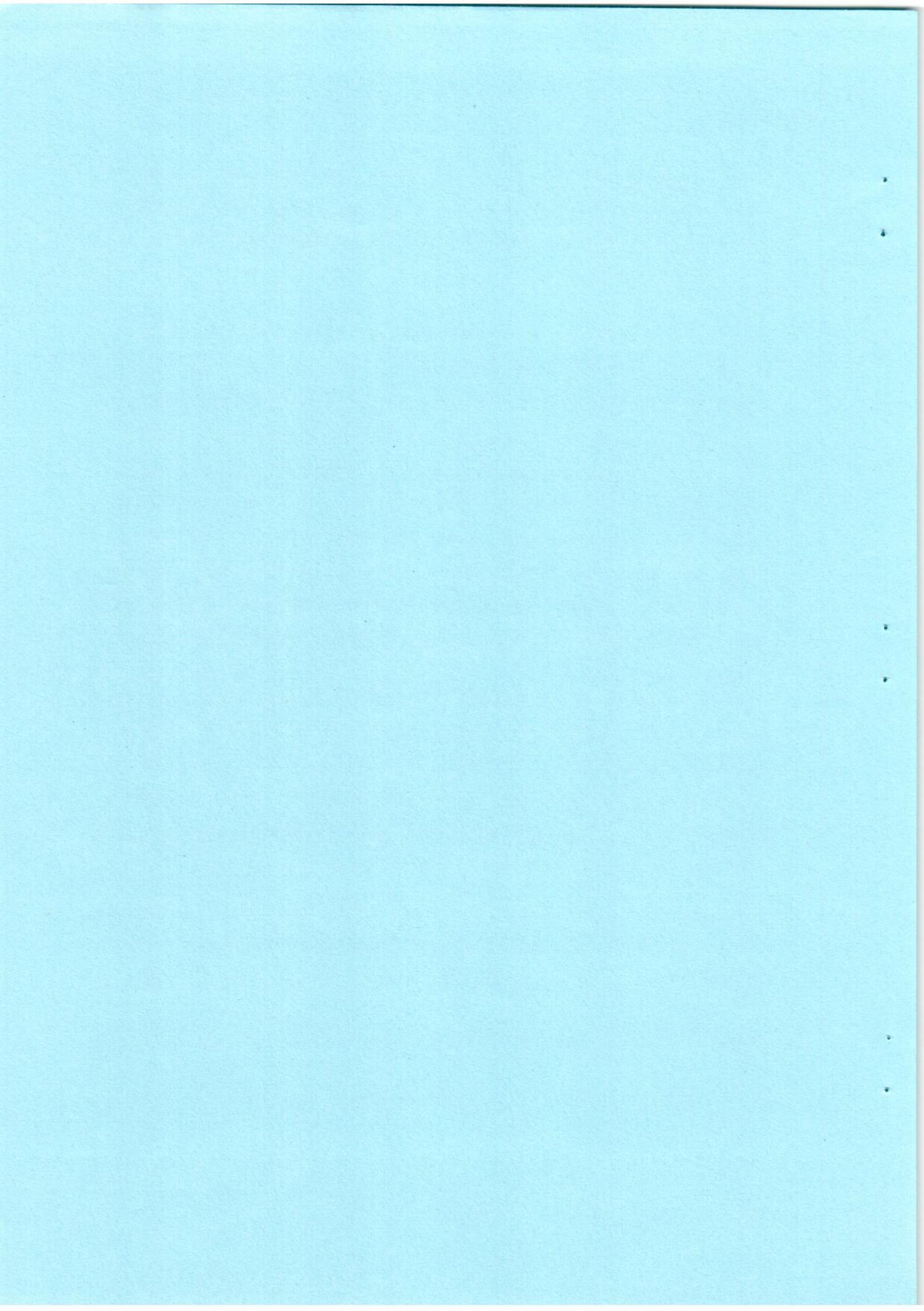
NOTE

Meetings of Selection Committee for direct recruitment/promotion under Career Advancement Scheme as Assistant Professor, Associate Professor and Professor and re-employment of retired teachers in Institute of Science, Institute of Management Studies, Faculty of Social Sciences and Department of Education BHU were held from **30.06.2016 to 24.08.2016** and from 02.07.2016 29.07.2016 for recruitment of various posts in Institute of Medical Sciences, Principal Central Hindu Boy's School, Principal Central Hindu Girl's School and Pracharya Ranvir Sanskrit Vidyalaya. Details of the recruitments made are enclosed as **APPENDIX-10**.

As per the provisions of the Statute 15 of BHU Statutes the Executive Council is vested with the power to appoint Teachers and Group 'A' Officers. Accordingly in terms of the provisions of Ordinance 11 A (1 & 2) the recommendations of the Selection Committees for appointment to the teaching positions are placed before Executive Council for its consideration and approval.

The sealed envelopes containing recommendations of the Selection Committee for the aforesaid appointments will be placed on table for consideration and approval of the Executive Council.

The Executive Council may consider and approve the recommendations.



BANARAS HINDU UNIVERSITY
(Recruitment & Assessment Cell)

List of Selection/Screening/Expert Committee meetings held during 30.6.2016 to 24.8.2016

Department	Post code	Name of the positions	Post & Category	No. of Candidates called for SCM	No of candidates appeared	Date of SCM
Zoology-MMV		Promotion as Professor of Zoology(Stage-5)		2	2	30.06.2016
Zoology-MMV		Promotion as Associate Professor of Zoology (Stage-4)		1	1	
Zoology		Promotion as Associate Professor (Stage-4)		4	4	
Zoology		Promotion as Assistant Professor (Stage-2)		1	1	
Chemistry-MMV		Promotion as Professor of Chemistry (Stage-5)		1	1	
Chemistry-MMV		Promotion as Assistant Professor of Chemistry (Stage-3)		1	1	
Chemistry-MMV		Promotion as Assistant Professor of Chemistry (Stage-2)		1	1	
Chemistry		Promotion as Associate Professor (Stage-4)		5	5	
Chemistry		Promotion as Assistant Professor (Stage-3)		6	6	
Chemistry		Re-employment		2	2	
Botany-MMV		Promotion as Professor of Botany (Stage-5)		1	1	
Botany-MMV		Promotion as Associate Professor of Botany (Stage-4)		1	1	
Physics-MMV		Promotion as Professor of Physics(Stage-5)		1	1	
Physics-MMV		Promotion as Associate Professor of Physics (Stage-4)		1	1	
Physics		Promotion as Associate Professor (Stage-4)		2	2	
Physics		Promotion as Assistant Professor (Stage-3)		4	4	

Advt. No. 6/2015-16

Department	Post Code	Name of the post	Post & Category	No. of Candidates	Candidates called for SCM	Date of SCM
SS Hospital	3095	Medical Officer (Anaesthesia)	01 Gen., 01 SC	08 Gen. 02 SC	4 2	2.7.2016
	3096	Medical Officer (PSM)	01 Gen.	05	3	
	3097	Medical Officer(Obst. & Gyn.)	01 Gen.	09	4	



ITEM 11

TO CONSIDER the recommendation of the Screening Committee for conferment of Emeritus Professorship and Distinguished Professorship to the retired Professors of the University.

NOTE

The provision contained in Ordinance 12 regarding making recommendations with regard to conferment of Emeritus Professorship are quoted below:

SELECTION:

Proposal for conferment of such a title may emanate from the Vice-Chancellor/Departmental and/or Academic Council but the final selection shall be made by the Executive Council, who

- (i) will consult his senior colleagues in the relevant field in the University and**
- (ii) will obtain the views of the Consultative Committee constituted for the purpose and will place their views/observations/ recommendations in writing before the Executive Council.**

THE CONSULTATIVE COMMITTEE:

A Committee consisting of the Vice-Chancellor as Chairman and two persons of eminence in the relevant field (to be nominated by the Vice-Chancellor from the panel approved by the Executive Council) as members, may invite suggestions, consider the names suggested for Emeritus Professorship and make recommendations to the Executive Council in this regard.

In the meeting of the Executive Council held on 11 November 2014, the Hon'ble members deliberated the issue at length and perused the relevant provisions of Ordinance 12 and were of the view that recommendations of the PPC of the Department concerned is not mandatory in consideration of the cases of conferment of such titles and resolved as under:

RESOLVED THAT due process for conferment of Emeritus Professorship and Distinguished Professorship on the

After deliberation at length and taking into account the facts, relevant provision of rules and scholastic merit of the candidates and recommendations of PPC/DC of the Departments and other eminent persons, the Committee recommended the following names for conferment of title of Emeritus Professorship:

Emeritus Professorship:

1. Prof. Rajiv Raman
Deptt. Of Zoololgy
Institute of Science, BHU
2. Prof. V.B. Singh
Department of Chemistry
Institute of Science, BHU
3. Prof. S.B. Rai
Department of Physics
Institute of Science, BHU
4. Prof. C.M. Chaturvedi
Department of Zoology
Institute of Science, BHU.

Distinguished Professorship

The provisions contained in Ordinance 12 regarding making recommendations with regard to conferment of status of Distinguished Professorship are quoted below:

SELECTION

The conferment of life-long distinguished scholarship by the Banaras Hindu University shall be done only on such scholars who have earned national/global recognition with their distinguished work. Active Academics/ Researchers of such repute, who are performance oriented (writing books, writing essays, comments etc.) and have potential to contribute to the academic environment of the University shall be eligible for conferment of said status under this scheme. The procedure of selection of scholars for award of the said status shall be as follows:

- a) There shall be no age bar for consideration of life-long Distinguished scholarship of the university
- b) Proposals (that meet the aforesaid broad selection parameters) for conferment of such a title, may emanate from the PPC of the concerned Department.

The Vice-Chancellor will consult experts in the relevant field

and obtain their views on the merit of the proposal and will place the same before the Executive Council for consideration.

- c) Notwithstanding the provision at para 2(b) above the Vice-Chancellor may place the proposal of conferment of said status on an acclaimed academic/researcher along with his views on its merit before the Executive Council for consideration.

The Committee went through the Bio-Data of following twelve Scholars for the conferment of title of Distinguished Professor:

1. Prof. B.D. Tripathi
Department of Botany
Institute of Science, BHU
2. Prof. S.C. Lakhotia
Department of Zoology,
Institute of Science, BHU
3. Prof. B.D. Singh
School of Biotechnology
Institute of Science, BHU
4. Prof. Hridayranjan Sharma
Department of Veda,
Faculty of SVDV
5. Prof. L.C. Rai
Department of Botany
Institute of Science, BHU
6. Prof. Chandra Bhal Diwivedi
Department of Psychology
Faculty of Social Sciences, BHU
7. Prof. M.K. Agarwal
Department of E.N.T.
Institute of Medical Sciences, BHU
8. Prof. B.N. Singh
Department of Zoology
Centre of Advanced Study
Institute of Science, B.H.U.
9. Prof. Sushila Singh
MMV, B.H.U.

- 10 Dr. L.K. Pandey
Department of Obstetrics & Gynaecology
Institute of Medical Sciences, B.H.U.
- 11 Prof. Shri Singh
Department of Physics
Institute of Science, B.H.U.
- 12 Prof. P.C. Mishra
Department of Physics,
Institute of Science, BHU

After deliberation at length and considering the scholarship of the candidates and their standing in respective field of studies, Committee recommended the names of following Professors for the conferment of the Distinguished Professorship:

1. **Prof. S.C. Lakhotia**
Department of Zoology
Institute of Science, BHU.
2. **Prof. L.C. Rai**
Department of Botany
Institute of Science, BHU
3. **Prof. P.C. Mishra**
Department of Physics
Institute of Science, BHU
4. **Prof. Shri Singh**
Department of Physics
Institute of Science, BHU
5. **Prof. Hridayranjan Sharma**
Faculty of Sanskrit Vidya Dharm Vigyan, BHU

A copy of the Minutes of the above Committee is placed at **(APPENDIX-11)**.

The Executive Council may consider and decide.

Minutes of the meeting of the Committee constituted for screening of the applications received for conferment of 'Emeritus Professorship' and 'Distinguished Professorship' held on October 28, 2016 at 12.00 noon at the Vice-Chancellor's Lodge, Banaras Hindu University.

The following were present:

- | | | |
|----|---|-------------------|
| 1. | The Vice-Chancellor | - Chairman |
| 2. | Prof. O.N. Srivastava,
Emeritus Professor(Physics), | - Member |
| 3. | Prof. T.V. Ramakrishnan
Emeritus Professor (Physics) | - Member |
| 4. | Prof. K.D. Tripathi,
Emeritus Professor(SVDV), | - Member |
| 5. | The Joint Registrar
& Secretary to Vice-Chancellor | -Member Secretary |

At the outset Hon'ble Vice-Chancellor the Chairman of the Committee extended a warm welcome to all the members of the Committee.

The Committee perused the provisions regarding making recommendations with regard to conferment of Emeritus Professorship and Distinguished Professorship on retired teachers.

The Committee further noted that the Vice-Chancellor constituted the following Committee for screening of the applications and making its recommendation to the Executive Council and the Executive Council vide ECR no. 248 dated November 11, 2014 while approving the constitution of the Committee resolved as under:

RESOLVED THAT due process for conferment of Emeritus Professorship and Distinguished Professorship on the teachers of the University under the provisions contained in Ordinance 12be followed.

RESOLVED FURTHER THAT the proposal for constitution of the Committee for consideration of the applications before making the recommendation for conferment of the title of Emeritus Professorship and Distinguished Professorship to the Executive Council be approved. Committee should make its independent assessment of application and may not be guided by the recommendation of the PPC/Departmental Council of the departments.

The Committee thereafter, went through the Bio-Data of the eighteen scholars received for conferment of the title of '**Emeritus Professorship**' and twelve scholars for conferment of the title of '**Distinguished Professor**'.

After deliberation at length and taking into account the facts, relevant provision of rules and scholastic merit of the candidates, the Committee recommended the following names for conferment of title of Emeritus Professorship and Distinguished Professorship:

Emeritus Professorship:

1. Prof. Rajiv Raman
Deptt. Of Zoololgy
Institute of Science, BHU
2. Prof. V.B. Singh
Department of Chemistry
Institute of Science, BHU
3. Prof. S.B. Rai
Department of Physics
Institute of Science, BHU
4. Prof. C.M. Chaturvedi
Department of Zoology
Institute of Science, BHU

Distinguished Professor:

1. Prof. S.C. Lakhotia
Department of Zoology
Institute of Science, BHU.
2. Prof. L.C. Rai
Department of Botany
Institute of Science, BHU

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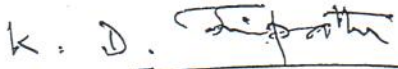
3. Prof. P.C. Mishra
Department of Physics
Institute of Science, BHU
4. Prof. Shri Singh
Department of Physics
Institute of Science, BHU
5. Prof. Hridayranjan Sharma
Faculty of Sanskrit Vidya Dharm Vigyan, BHU

The aforesaid appointments shall be subject to the condition that no other remunerating ^{or} assignment should be accepted by the aforesaid persons.


The meeting ended with a vote of thanks to the Chair.

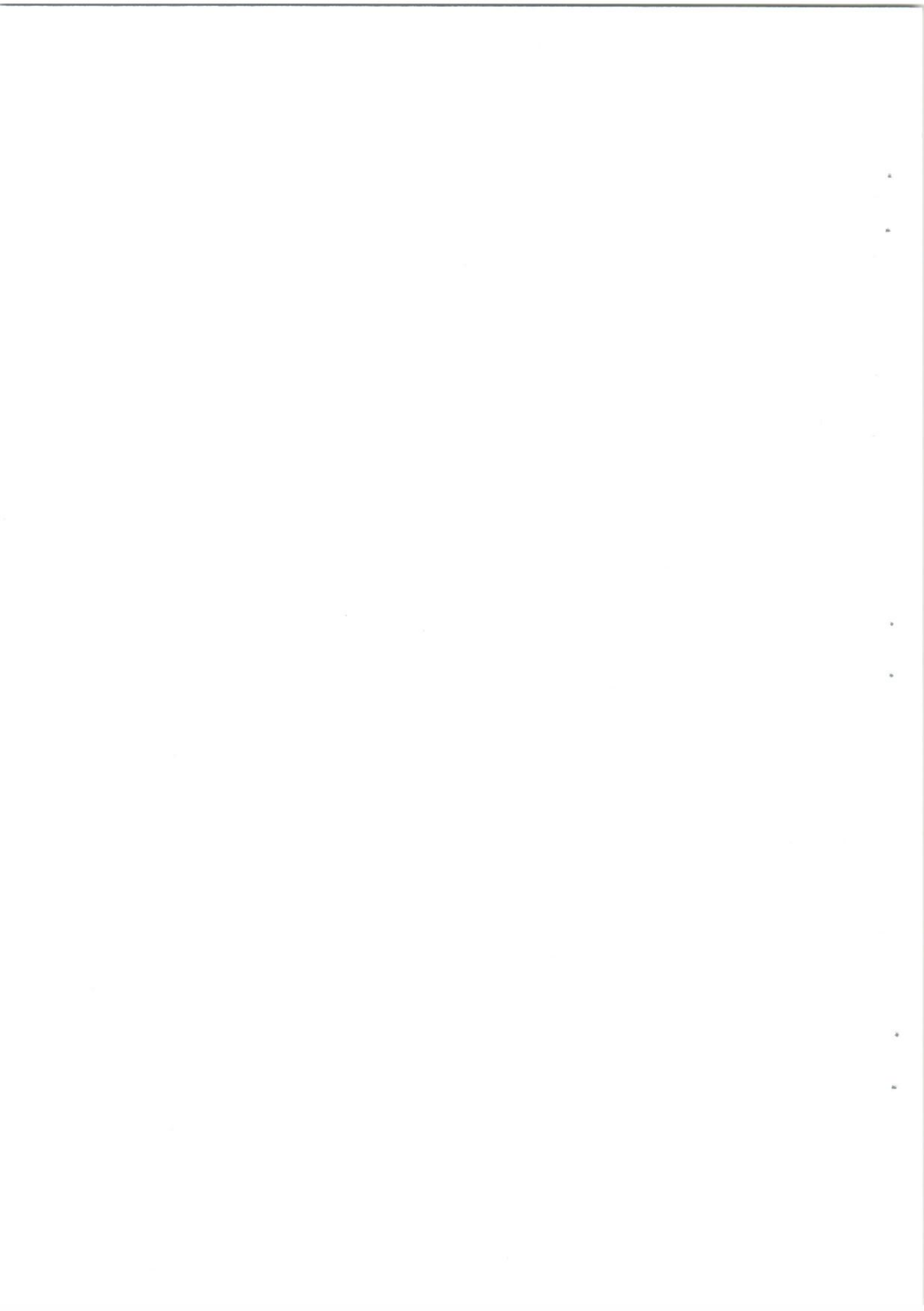

(PROF. G.C. TRIPATHI)
CHAIRMAN


(PROF. O.N. SRIVASTAVA)
MEMBER


(PROF. K.D. TRIPATHI)
MEMBER


(Prof. T.V. Ramakrishnan)
Member


(DR. NEERA TRIPATHI)
MEMBER SECRETARY



ITEM 12

TO CONSIDER AND APPROVE the recommendation of Special Committee constituted by the Vice-Chancellor for appointment of Centenary Chair Professor in Bharat Adhyayan Kendra of the University.

NOTE:

The Executive Council at its meeting held on July 8, 2015 has approved creation of five Centenary Chairs in Bharat Adhyayan Kendra vide ECR No.302 reproduced below:-

RESOLVED THAT five (05) posts of Centenary Chair Professors and ten (10) positions of Centenary Visiting fellows be established in the University out of its own resources and on the terms and condition as per Appendix-I of the Minutes specifically to steer various long term proposals forming part of centennial celebrations.

Further the Executive Council in its meeting held on 23.4.2016 considered the nomination of Special Committee for appointment of Centenary Visiting Fellows in the University in the Area of Indian Studies and passed following resolutions vide ECR No.371:-

RESOLVED THAT the Vice-Chancellor be authorized to nominate a Member of Executive Council and other members to serve as members on the Selection Committee for the Centenary Chair Professors and Centenary Visiting Fellows.

RESOLVED FURTHER that the Vice-Chancellor be also authorized to nominate members on the special committees for other chairs.

Accordingly the Vice-Chancellor has nominated following members on the Selection Committee for the Centenary Chair Professors as per suggestive composition of terms and conditions prescribed for Centenary Chair Professor:-

1. **Vice-Chancellor**
2. **Prof. Dhananjay Pandey, Member of the Executive Council**
- 3.(a). **Professor Nageshwar Rao (Expert member)
Vice-Chancellor
Uttarakhand Technical University
Uttarakhand**
- (b). **Prof. Yadunath Dubey (Expert member)
Vice-Chancellor
Sampurnanand Sanskrit Vishwavidyalaya
Varanasi**
4. **Registrar, BHU**

A meeting of the above mentioned selection committee was held at 1.30 PM on 28.10.2016 at Vice-Chancellor's Lodge which made recommendation for the selection of Centenary Chair Professor.

The recommendations of the committee for the aforesaid appointment are kept in sealed envelope and will be placed on table for consideration and approval of the Executive Council.

The Executive Council may consider and approve the recommendation.

ITEM 13

TO CONSIDER the letter no. F.1-5/2010(SA-II) dated 23.05.2016 of Deputy Secretary, Selection & Awards Bureau, UGC regarding counting of seniority from the date of joining as Research Scientists 'B' & 'C' absorbed as Associate Professor & Professor.

NOTE

In terms of ECR no. 296 dated 08.07.2015 the UGC Research Scientists working in various Departments had been absorbed in the University as Professor/Associate Professor w.e.f. 08.07.2015. On their absorption, the seniority of such Research Scientists in their respective cadres has been counted from the date of decision of the Executive Council, i.e. 08.07.2015. The above absorption was subject to the final outcome of the Civil Writ Petition no. 8890 of 2008 [Dr. Swaroopa Mukherjee & Others Vs. UGC & others] at the Hon'ble Delhi High Court (**APPENDIX-13A**).

The request of Dr. M.R. Mehta, Professor, Dept. of Philosophy & Religion and Prof. V.N. Tripathi, Dept. of Hindi for counting of their seniority from the date of their joining as Research Scientist 'C' in the Cadre of Professor was again placed before the EC for decision. The Executive Council vide ECR No. 317 dated 09.01.2016 resolved that since the requests of Dr. Mehta & Dr. Tripathi are not covered under existing guideline/rules therefore reiterated its earlier decision taken vide ECR No. 296 dated 08.07.2015 (**APPENDIX-13B**).

In the meantime UGC has sent a letter dated 23.05.2016 addressed to the Registrar, BHU in reference of letter dated

28.01.2016 of Dr. M.R. Mehta, UGC, Research Scientist to the UGC (**APPENDIX-13C**) in which it has been informed that:

The Commission in its 451st meeting held on 10.09.2008 had decided that the rules of seniority of the respective Institutions/Universities/ Colleges where the Research Scientists are working be made applicable in case of their absorption in respective University/Institution/College.

Further, it is clarified that to maintain the complete parity with Assistant Professor, Associate Professor and Professor, the past service rendered by the absorbed Research Scientist A, B and C in the University are to be counted as same rank and grade with that of the corresponding teaching cadre of Assistant Professor, Associate Professor and Professor for the purpose of fixing their seniority as teacher as per University rule.

The Executive Council may consider and decide.

IN THE HIGH COURT OF DELHI AT NEW DELHI
W.P.(C) 8890/2008

DR. SUROOPA MUKHERJEE and ORS. Petitioners

versus

THE UNIVERSITY GRANTS COMMISSION and ANR. Respondents

Through: Ms. Mamta Tiwari, Advocate for UGC.

Mr. Samar Bansal, Advocate for respondent No.5.

CORAM:

HON'BLE MR. JUSTICE VALMIKI J. MEHTA

ORDER

19.07.2013

C.M. No.10431/2013 (early hearing by respondent No.5)

This writ petition was admitted for hearing on 16.7.2009. It is not possible to grant early hearing to a matter of the year 2008 when presently regular matters of the year 1998 are going on. There is no reason why people who have been silently waiting for their turn in queue for years together should not be heard and later cases be given preference considering the fact that in service matters issues are similar of promotion or seniority or monetary emoluments or departmental proceedings and the like.

In view of above, application for early hearing is dismissed.

VALMIKI J. MEHTA, J

JULY 19, 2013

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Status of cases

Search Result For Type : W.P.(C) No : 8890 year : are : 1

S.No	Diary No./Case No [STATUS]	Petitioner Vs Respondent Advocate	Listing Date/Court No
1.	W.P.(C) 8890/2008 [REGULAR]		

	DR. SUROOPA MUKHERJEE & ORS. Vs. THE UNIVERSITY GRANTS COMMISSION & ANR. Advocate : MR. ROHIT KUMAR	Court No. : 14 REGULAR on 19/07/2013
Page : 1		

IN THE HIGH COURT OF DELHI AT NEW DELHI

25.01.2010

Present:- None.

W.P.(C.) No. 8890/2008

This is a rule matter. Rule was issued on 16.07.2009.

List this writ petition for hearing in the category of 'Regular Matters' on its turn.

JANUARY 25, 2010 S.N.AGGARWAL, J

'a'

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COPY OF ECR NO. 296 DATED JULY 8, 2015

ECR 296

ITEM 14

CONSIDERED the request of Dr. Mukul Raj Mehta Research Scientist 'C' (Professor) Department of Philosophy & Religion, Faculty of Arts, BHU regarding re-designation of Research Scientists 'A', 'B' & 'C' as Assistant Professor, Associate Professor and Professor, and fixing of seniority in their respective cadre and to reconsider the ECR 136 of August 17, 2013 regarding absorption of UGC Research Scientists.

Executive Council noted that the UGC vide its letter dated November 28, 2008 asked the University to absorb the Research Scientist (Pre revised scheme) working in our University/Institution/College in the grade of Lecturer, Reader and Professor respectively. In case, the Research Scientist does not wish to be absorbed as teacher he/she may be continued as Research scientist. The University has absorbed the Research Scientists of UGC in its regular establishment and has extended all the benefits to them at par with the teachers of the University but had yet not designated them as Professor, Associate Professor, Assistant Professor.

Against the aforesaid direction of UGC some persons filed a Writ Petition no.8890 of 2008-Dr. Swaroopa Mukherjee & Others vs. UGC and Others in High Court of Delhi. Final decision on the writ petition is yet not announced. In the meantime the University of Delhi vide its notification no.Estab. T/V/RS/10 dated September 1, 2010 absorbed the Research Scientist of the UGC in the

respective cadres of Professor, Associate Professor, Assistant Professor and designated them as such in accordance with the direction of the UGC given by in letter dated 28th November, 2008 subject to the final outcome of the Writ Petition no. 8890 of 2008 Dr. Swaroopa Mukherjee & Others vs. UGC and Others.

It also noted that the office of Comptroller and Auditor General of India vide its Audit memo dated 16.04.2015 had observed the following:

1. UGC in its letter no. F 1-5/83 (SA), dated 28.11.2008 had directed to absorb Research Scientists- A, B & C in the grade and designations of Lecturer, Reader and Professor, barring those who do not wish to be absorbed. Under ECR 136 dated 17.08.2013, the University did not implement in to the willing Research Scientist on the plea of the pending case in Delhi High Court although from Court jurisdictional point of view, University has no restrictions. Moreover, neither BHU nor the aggrieved Research Scientists of BHU was a party in the case but the aggrieved Scientists were denied the opportunity of promotion in Asst. Professor, Associate Professor Professor grade with their seniority.

In the light of the aforementioned facts the Executive Council resolved as under:

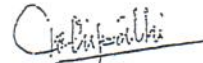
RESOLVED THAT the UGC Research Scientists be absorbed in the cadre of Professor, Associate Professor, Assistant Professor and be designated as Professor, Associate Professor, Assistant Professor as the case may be, subject to the final outcome

of the Writ Petition no.8890 of 2008 of Dr. Swaroopa Mukherjee & Others vs. UGC and Others.

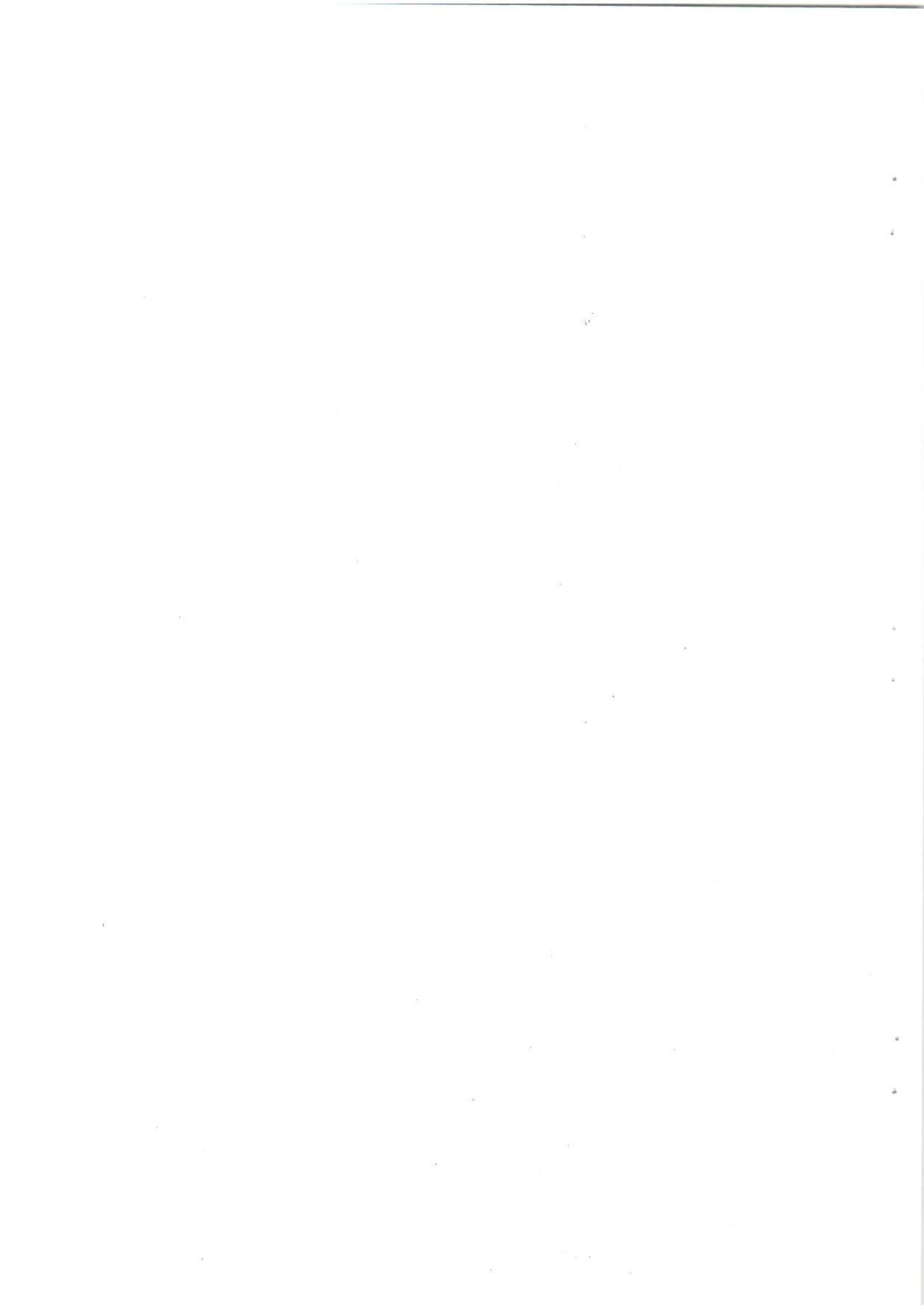
RESOLVED FURTHER THAT the seniority of such Research Scientists in their respective cadres be counted from the date of the decision of the Executive Council, i.e. from 08.07.2015.

Dated: August 10, 2015

Copy forwarded for information and necessary action to the Deputy Registrar(Admin.-Teaching), BHU with the request to send the compliance report to the undersigned immediately to place the same before the Executive Council in its next meeting.



JOINT REGISTRAR
EXECUTIVE COUNCIL CELL



काशी हिन्दू विश्वविद्यालय
Banaras Hindu University
सचिव कार्यालय (प्रशासन)
Office of the Registrar (Admin.)
File No. 1292
Date 28-05-16



APPENDIX - 13C



UNIVERSITY GRANTS COMMISSION
(SELECTION & AWARDS BUREAU)
BAHADUR SHAH ZAFAR MARG,
NEW DELHI-110002

BY SPEED POST

No. F. 1-5/2010 (SA-II)

May, 2016

The Registrar,
Banaras Hindu University,
Varansi-221005;

23 MAY 2016

Subject: UGC Research Scientist Scheme – Regarding Effective Date – Counting of Seniority in Case of Research Scientists B & C, Absorbed as Associate Professor & Professor in BHU

Sir,

With reference to a letter received from Dr. Mukul Raj Mehta, UGC Research Scientist, dated 28.01.2016 on the above subject, I am directed to inform that the Commission in its 451th meeting held on 10.09.2008 had decided that the rules of seniority of the respective Institutions/Universities/Colleges where the Research Scientists are working be made applicable in case of their absorption in respective University/Institution/College.

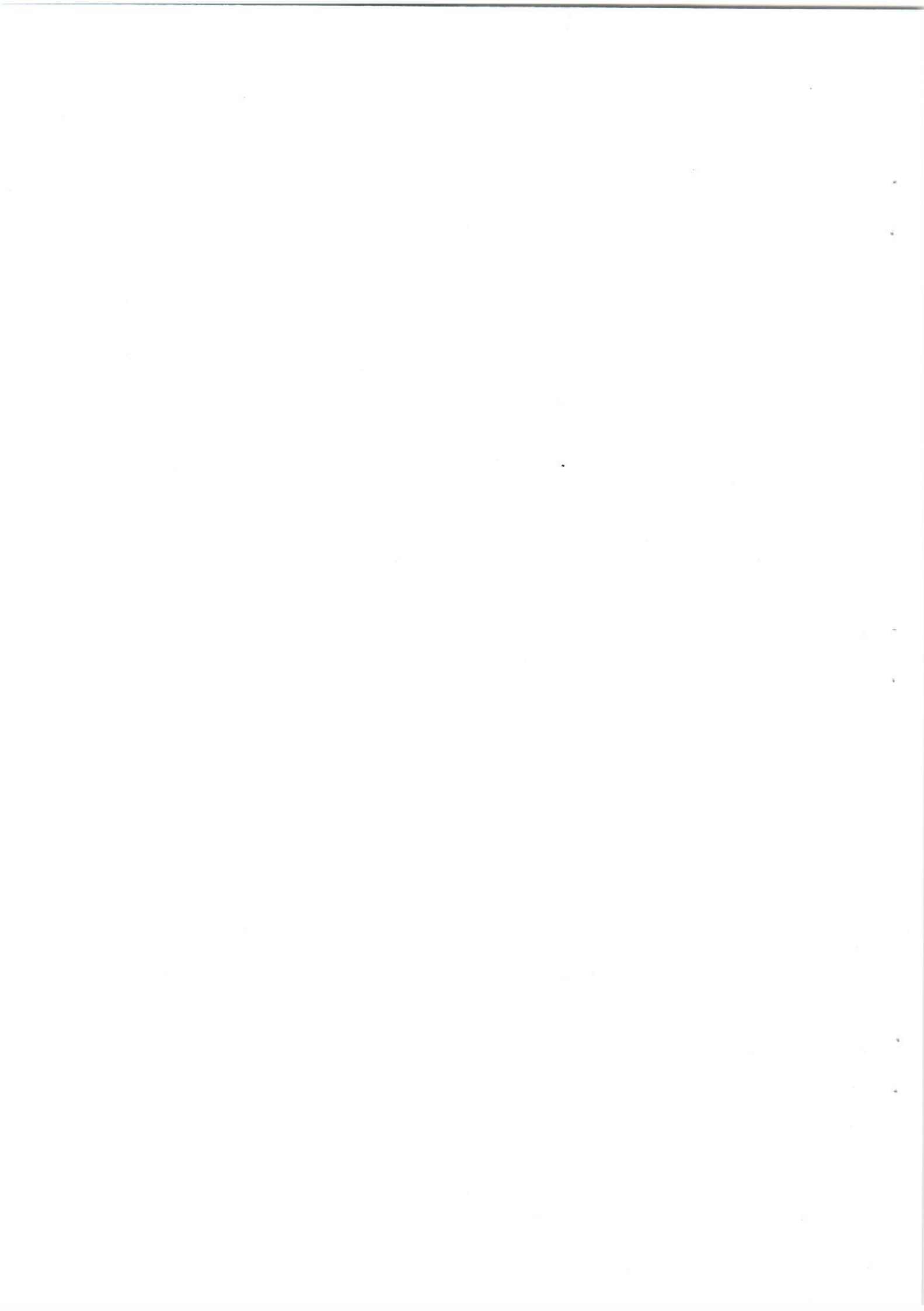
Further, it is clarified that to maintain the complete parity with Assistant Professor, Associate Professor and Professor, the past services rendered by the absorbed Research Scientist A, B and C in your University are to be counted as same rank and grade with that of the corresponding teaching cadre of Assistant Professor, Associate Professor and Professor for the purpose of fixing their seniority as teacher as per University rule.

DR (T)

Yours faithfully
Siwach
(Dr. Sunita Siwach)
Deputy Secretary

WMS
50 (T) | 50 (CC) 30/5

DKP
at



ITEM 14

TO CONSIDER approval of cadre recruitment rules for re-designated post of Law Officer, Training & Placement Officer, Manager, Guest House Complex/Canteens and Student Career Counsellor as per decision of the Executive Council vide ECR No.368 dated April 23, 2016.

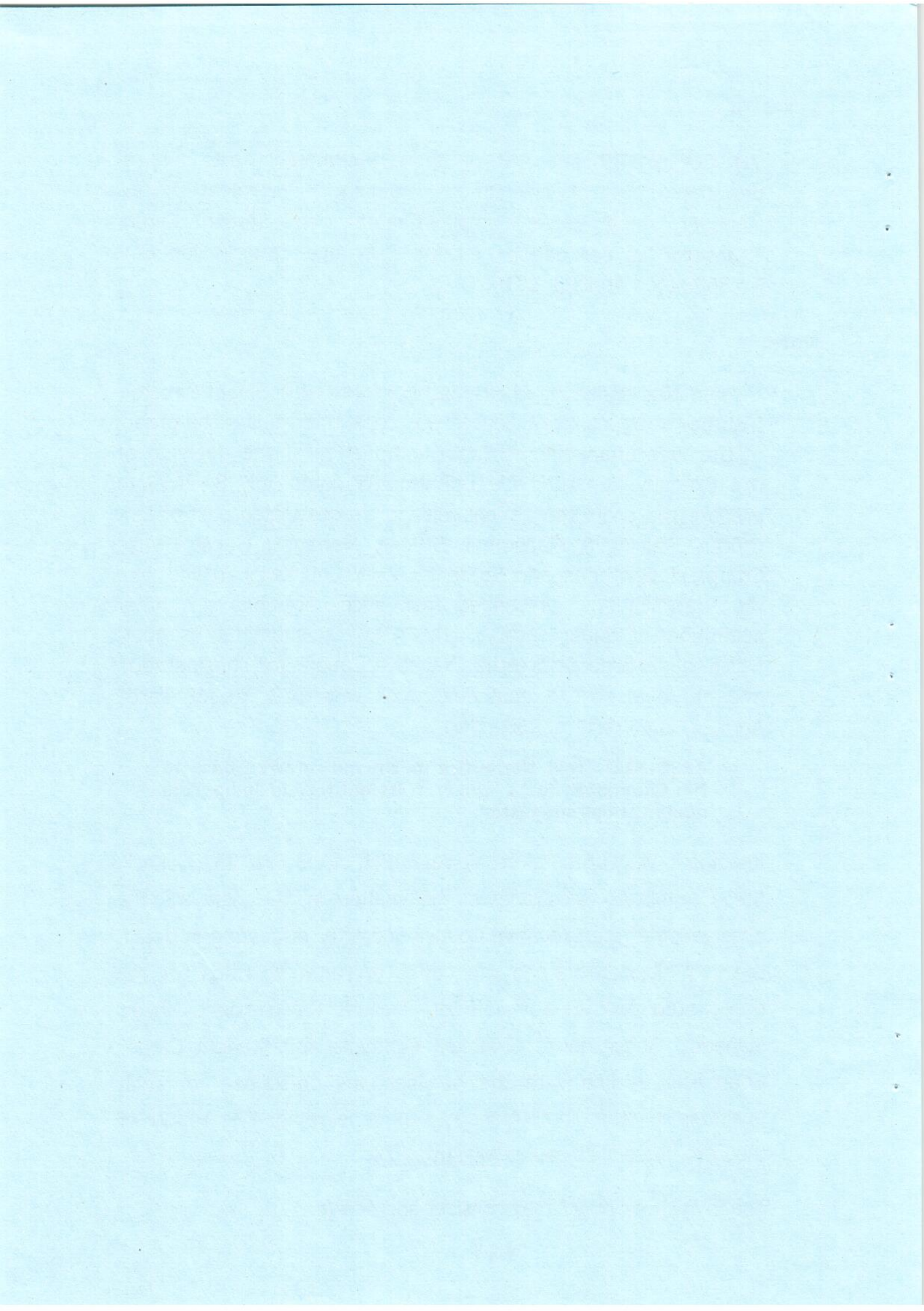
Note:

A committee under the Chairmanship of Prof. R.P. Singh, Director, Institute of Agriculture Sciences was constituted under the orders of the Vice-Chancellor, BHU in compliance of the decision of the Executive Council vide ECR No.295 dated July 8, 2015 to prepare the cadre recruitment rules for re-designated post of Law Officer, Training & Placement Officer, Manager, Guest House Complex! Canteens and Student Career Counsellor in line with the qualifications prescribed for such positions in other institutions of higher learning. The Committee submitted its report which was placed before the Executive Council for consideration and approval. The Executive Council vide ECR No.368 dated April 23, 2016 resolved as under:

RESOLVED THAT the entire matter be referred back to the Committee for a review in its entirety including the qualifications suggested.

Keeping in view of the aforesaid resolution of the Executive Council, the Committee re-considered the matter in the light of the observation of the Executive Council and after discussing in detail, the committee re-framed the cadre recruitment rules for re-designated post of Law Officer, Training & Placement Officer, Manager, Guest House Complex! Canteens and Student Career Counsellor in line with the qualifications prescribed for such positions in other institutions of higher learning. The report of the Committee is placed at **APPENDIX-14**.

The Executive Council may consider and decide.



BANARAS HINDU UNIVERSITY

REPORT of the meeting of the Committee consisting of the following constituted by the Vice-Chancellor in compliance of the decision of the Executive Council vide ECR No.295 dated July 8, 2015, to prepare the cadre recruitment rules in line with the qualifications prescribed for such positions in other institutions of higher learning for re-designated post of **Law Officer, Training & Placement Officer, Manager, Guest House Complex/Canteens and Student Career Counselor** in the University. The Committee held its meeting on **Saturday, the 13th August, 2016 at 3.30 P.M. in Holkar House, BHU** to re-consider the matter in terms of the decision of Executive Council vide ECR No.368 dated April 23, 2016..

Members Present :

1.	Prof. R.P. Singh Director, IAS, BHU	- Chairman
2	Prof. R.K. Pandey Institute of Management Studies, BHU	- Member
3	Prof. D.K. Sharma Dean, Faculty of Law, BHU	- Member
4	Dr. K.P. Upadhyay Registrar, BHU	- Member
5	Dr. Neeraj Tripathi Joint Registrar (RAC), BHU	- Member Secretary

Dr. Nand Lal, Deputy Registrar (Recruitment & Assessment Cell), BHU was also present in the meeting.

At the outset, the Chairman welcomed the members of the Committee and apprised them of the decision taken by the Executive Council vide ECR No.368 dated April 23, 2016, wherein the Executive Council while considering the first report of the Committee on the matter appreciated the concern of the Committee and was in agreement with its recommendation yet it was of the opinion that the post of the Student Career Counsellor at the level of Assistant Registrar is not meant for performance of the job counselling all by himself/herself but the major job requirement would be coordinating such activities for the large and diverse student population including engaging and inviting the professionals for career guidance. Basically it is for creating a nucleus around which the all counselling activities would revolve.

With this view the Executive Council referred the matter back to the Committee for its review.

Keeping in view the aforesaid resolution of the Executive Council, the Committee re-considered the matter in the light of the observation of the Executive Council and after discussing in detail it was decided that the post of Student Career Counsellor should also be advertised on regular basis. Considering the nature of job and job requirement of the post, its qualification was decided as given hereunder :

- E.Q.** Masters' degree in Management/ Psychology/Law (or LL.B. with Postgraduate Degree in any discipline with 55% marks) from a recognized Indian Universities/ Institutions with at least 55% of marks or an equivalent grade point in the grade point scale. .
- D.Q.** At least 2 years administrative experience in the relevant field/ area

The Committee kept the E.Q. for all the posts which are in Group-'A' as Masters' Degree with 55% of marks at par with the post of Assistant Registrar. However, the Committee noted that in the Engineering Stream B.Tech. and M.Sc. degree are considered at par for admission in M.Tech or Ph.D.programme, hence, wherever Engineering stream has been included in the qualification, B.Tech./B.E. with 55% of marks should be taken in E.Q. instead of M.Tech. On the same analogy, It was also decided to replace M.Tech. with B.Tech./B.E. in E.Q. for the post of Training & Placement Officer. Accordingly, the qualifications for the posts would thus be as follows :

Essential Qualification :

Law Officer :

- (i) LL.B. from a recognised Indian Universities/ Institutions with at least 7 years experience of law practice in a Court of Law in the civil/criminal/ service matter duly certified
- (ii) LL.M. from the recognized Indian Universities/ Institutions with at least 55% of marks or an equivalent grade point in the grade point scale.

Training & Placement Officer :

- (i) MBA(HR)/ B.Tech from a recognized Indian Universities/ Institutions with at least 55% of marks or an equivalent grade point in the grade point scale.
- (ii) At least 7 years experience in the area of Training/ Placement in a University or big corporate of repute.

Manager, Guest House Complex/ Canteens :


- (i) Master in Hotel Management from a recognized Indian Universities/ Institutions with at least 55% of marks or an equivalent grade point in the grade point scale.
- (ii) At least 5 years of experience at the managerial level in a big hotel chain of repute.

The appointment shall be made on tenure basis initially for a term of 5 years, renewable for further terms based on the performance of the incumbent.

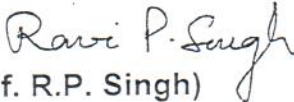
Students Career Counselor :

- E.Q.** Masters' degree in Management/ Psychology/Law (or LL.B. with Postgraduate Degree in any discipline with 55% marks) from a recognized Indian Universities/ Institutions with at least 55% of marks or an equivalent grade point in the grade point scale. .
- D.Q.** At least 2 years administrative experience in the relevant field/ area

The meeting ended with a vote of thanks to the chair.


(Prof. D.K. Sharma)


(Prof. R.K. Pandey)


(Prof. R.P. Singh)


(Dr. Neeraj Tripathi)


(Dr. K.P. Upadhyay)



ITEM 15

TO CONSIDER AND APPROVE the order dated 06.05.2016 of the Vice Chancellor, BHU regarding enhancement of application fee from Rs.200/- to Rs.500/- for non-teaching posts and introduction of application fee @ Rs.1000/- for teaching and Group 'A' non-teaching posts.

NOTE

Since long the application fee prescribed for applying for any non-teaching post in Group 'A', 'B' & 'C' was Rs.200/- only, and Rs. 50/- from those belonging to SC/ST and PWD categories. No application fee was charged from the applicants for recruitment on teaching posts.

O.M. No. 36011/3/84-Estt.(SCT) dated 1/7/1985 of the Ministry of Personnel, Public Grievances & Pension, Department of Personnel & Training, Government of India, New Delhi prescribed that the candidates belonging to Scheduled Castes/ Scheduled Tribes and Persons with Disabilities will not be required to pay any fee for admission to any recruitment examination/ selection.

Keeping in view the above guidelines, high inflation and to maintain parity with other Central Universities/ Institutions, it was decided to:

- (a) charge application fee @ Rs.1000/- from the candidates for recruitment on teaching posts and Group 'A' non-teaching posts and,
- (b) Rs. 500/- from the candidates for recruitment on Group 'B' and 'C' posts

- (c) No fee would be chargeable from SC/ST/PWD candidates.

The Executive Council may consider and approve the orders of the Vice-Chancellor.

ITEM 16

TO CONSIDER the amendment under Statute 4 and 5A for appointment of Registrar and Controller of Examinations respectively.

NOTE

While implementing the revision of pay scale under IV Pay Commission Recommendation, the MHRD vide letter No.F.10-52/87-Desk(U) dated 2nd November, 1988 duly forwarded by the UGC vide D.O.No.P.1-22/88(NP-1) dated 7th November, 1988 has inter-alia prescribed the following guidelines for appointment on the post of Registrar and Controller of Examinations **(APPENDIX-16A)**:

"2(v) The appointment of Registrars, controller of Examinations and Finance Officer should be on the basis of direct recruitment for a tenure of five years, which can be renewed for similar term."

The UGC/MHRD has not prescribed any such guidelines about the Registrar and Controller of Examinations. However, on revision of pay under 5th Pay Commission Recommendation, the UGC under Notification, 1998 at clause 7.1.5 says that **(APPENDIX-16B)**:

"7.1.5 The existing scheme of career advancement for non-academic staff namely Assistant Director of Physical Education, Assistant Registrar, Assistant Librarian would continue."

Further, the UGC under 6th Pay Commission Recommendation vide letter No.1-32/2006-U-II/U-I(ii) dated 31.12.2008 at para (i)(a) says that **(APPENDIX-16C)** :

".....The post of Registrar/ Finance Officer/ Controller of Examinations shall continue to be filled through direct recruitment as per the existing criteria."

Following the guidelines of MHRD/UGC, the MHRD vide its letter dated 18.12.2012 has communicated approval of the Visitor for the amendment in the Statute 4 regarding appointment of the Registrar but no amendment was made in the Statute 5A regarding appointment of the Controller of Examination. The amendment made in the Statute 4 is as given under:

- (I) He shall be appointed for a term of five years and shall be eligible for re-appointment for a second term.**
- (II) Notwithstanding his term of appointment/re-appointment, the Registrar shall retire at the age of 62 years or as amended by the UGC/MHRD from time to time.**
- (III) The qualification for the post of Registrar shall be as prescribed by the UGC/MHRD from time to time.**

However, the aforesaid amendment was not in accordance with the aforesaid guidelines of MHRD/UGC under its different regulations and also not in consonance with the provisions in this regard in other Central Universities wherein it has inter-alia been provided in the Statutes that Registrar shall be appointed for a term of 5 year and shall be eligible for re-appointment.

While amendment to the Statute 4 pertaining to the appointment of Registrar was made but similar amendment in Statute 5A pertaining to the appointment of Controller of Examination could not be made which needs to be made in accordance with the MHRD/UGC guidelines.

The post of the Registrar has been advertised as per the amended provisions of the Statute and keeping parity the post of Controller of Examinations has also been advertised with the similar provisions as of Registrar.

Accordingly, in the light of the aforesaid mentioned guidelines of the UGC on the subject the following amendment in the Statute 4 and 5A of the Banaras Hindu University for appointment of Registrar and Controller of Examinations respectively are proposed:

Statute 4 Registrar

Existing Statute	Proposed Statute
<p>4.(1)(I)The Registrar shall be appointed by the Executive Council on the recommendation of a Selection Committee constituted for the purpose and shall be a whole-time salaried officer.</p> <p>Following amendment made vide MHRD letter No. F.1-8/2009-Desk(U dated 18.12.2012) ECR No.212 dated 02nd November, 2010 and approved by the president of India.</p> <p>(II) He shall be appointed for a term of five years and shall be eligible for re-appointment for a second term.</p> <p>(III)Notwithstanding his term of appointment/re-appointment, the Registrar shall retire at the age of 62 years or as amended by the UGC/MHRD from time to time.</p> <p>(IV)The qualification for the post of Registrar shall be as prescribed by the UGC/MHRD from time to time.</p>	<p>4.(1)(I) The Registrar shall be appointed by the Executive Council on the recommendation of a Selection Committee for the purpose and shall be whole-time salaried officer.</p> <p>(II)The appointment of Registrars should be on the basis of direct recruitment for a tenure of five years, which can be renewed for similar terms.</p> <p>(III) Notwithstanding his term of appointment/ re-appointment, the Registrar shall retire at the age of 62 years or as amended by the UGC/MHRD from time to time.</p> <p>(IV) The qualification for the post of Registrar shall be as prescribed by the UGC/MHRD from time to time.</p>

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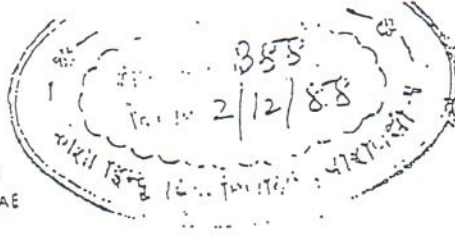
Statute 5A Controller of Examinations

Existing Statute	Proposed Statute
<p>5A.(1) The Controller of Examinations who is hereby declared under Section 6(j) of the Act to be an Officer of the University, shall be appointed by the Executive Council on the recommendation of a Selection Committee constituted for the purpose and shall be a whole-time salaried officer.</p>	<p>5A.(1)(I) The Controller of Examinations who is hereby declared under Section 6(j) of the Act to be an Officer of the University, shall be appointed by the Executive Council on the recommendation of a Selection Committee constituted for the purpose and shall be a whole-time salaried officer.</p> <p>(II)The appointment of Controller of Examinations should be on the basis of direct recruitment for a tenure of five years, which can be renewed for similar terms.</p> <p>(III) Notwithstanding his term of appointment/ re-appointment, the Controller of Examinations shall retire at the age of 62 years or as amended by the UGC/MHRD from time to time.</p> <p>(IV) The qualification for the post of Controller of Examinations shall be as prescribed by the UGC/MHRD from time to time.</p>

The Executive Council may consider and decide.

Prof. S. K. KHANNA
Ph D (Engg.), FIE, FNAsc., FNAE

SECRETARY
सचिव



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विश्वविद्यालय अनुदान आयोग
बहादुरशाह जफर मार्ग
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UNIVERSITY GRANTS COMMISSION
BAHADURSHAH ZAFAR MARG
NEW DELHI-110 002

November 7, 1988

D. O. No. F. 1-22/88(NP-1)

The Vice-Chancellor
Banaras Hindu University,
varanasi- 221005.

312-22-2
NOV 1988
15562
11.2.166

Sub: Registrars, Deputy Registrars, Assistant Registrars
and equivalent posts - Revision of pay scales.

Sir,

I enclose a copy of letter No. F.10-52/87-Desk (U)
dated 2nd November, 1988 from the Ministry of Human
Resource Development, Department of Education regarding
revision of pay scales of Registrars, Deputy Registrars,
Assistant Registrars etc. for further necessary action by the
University.

Yours faithfully,

(S. K. KHANNA)
Secretary.

No. F. 10-52/87-Desk (U)

Government of India
 Ministry of Human Resource Development
 Department of Education
 Desk (U)

Dated New Delhi the 2nd Nov., 1988.

To

✓
 The Secretary,
 University Grants Commission,
 Bahadurshah Zafar Marg,
 New Delhi-110002.

SUBJECT: Registrars, Deputy Registrars, Assistant Registrars
 and equivalent posts - Revision of pay scales.

Sir,

I am directed to refer to to your letter No. F. 1-2/87 (Secy) dated 8th January, 1988, regarding revision of scales of pay of the Registrars, Deputy Registrars, Assistant Registrars, etc. in the Central Universities, and to say that the Government of India have considered the recommendations carefully. It has now been decided that the pay scales of Registrars, and other Administrative Officers in the Central Universities may be revised as follows:-

Pay Scales

<u>Designation</u>	<u>Existing scale of pay</u>	<u>Revised scale of pay</u>
Registrar/ Controller of Examinations/ Finance Officer	1500-2500	4500-150-5700-200-7300
Dy. Registrar and their equivalents	1200-1900	3700-125-4950-150-5700
Asstt. Registrars and their equi- valents.	700-1600	2200-75-2800-100-4000

Contd.....2/-

2. The above revision of pay scales is subject to the following terms and conditions:-

- (i) The Assistant Registrars and their equivalents will have a senior scale of Rs.3000-100-3500-125-5000, placement in which will be after 8 years of service provided they have participated in two training programmes on Education Administration, University Management, Accounts and Finance etc. each, of approximately four weeks' duration and their performance appraisal reports are consistently satisfactory. The senior scale will be restricted to 50% of the total strength of Assistant Registrars and their equivalent grades.
- (ii) There will be no Selection Grade for Assistant Registrars and their equivalent grades.
- (iii) The minimum qualifications for recruitment of Assistant Registrars and their equivalent will be a Master's degree with 55% marks. All direct recruitment should be made through all-India advertisement and Selection, on the basis of a written test and interview. 50% of the posts at this level may be filled through promotion from the lower grades. The minimum Educational qualifications mentioned above will not apply in the case of promotion.
- (iv) Assistant Registrars and their equivalents will be eligible for promotion to the post of Deputy Registrars and their equivalents to the extent of 25% of the vacancies at that level on completion of 8 years in the senior scale. 75% of the posts of Deputy Registrars and their equivalents shall be filled by direct recruitment through all-India advertisement and Selection.
- (v) The appointment of Registrars, Controller of Examinations and Finance Officers should be on the basis of direct recruitment for a tenure of five years, which can be renewed for similar terms.3.

9

-: 3 :-

(vi) In the case of Finance Officers, the existing practice of appointment by drawing officers belonging to the Audit and Accounts or other similar Services on deputation will continue and their pay will be fixed according to rules within the approved scale mentioned in para 1.

(vii) The qualifications, experience, etc. to be prescribed for open recruitment to the posts of Registrars etc. should be as indicated in Annexure.

3. Date of Effect

The revised scales of pay will be effective from January 1, 1986.

4. Fixation of pay

The pay in the revised scale on 1.1.1986 will be fixed in accordance with the formula recommended by the Fourth Pay Commission while revising pay scales of the Central Government employees. The details of the pay fixation formula are given in Appendix I, to this Department's letter No. F.1-21/87-J.1 dated 22nd July, 1988 in respect of Universities and Colleges teachers.

5. The above decisions will also apply to the corresponding posts in the institutions deemed to be Universities which are fully funded by the University Grants Commission.

6. A further communication will follow in respect of the pay scale of Pro-Vice Chancellors in Central Universities.

Yours faithfully,


(C.R. PILLAY)

Deputy Secretary to Government of India

Copy to All the Vice-Chancellors
of the Central Universities.

UGC NOTIFICATION ON REVISION OF PAY SCALES, MINIMUM QUALIFICATIONS FOR APPOINTMENT OF TEACHERS IN UNIVERSITIES & COLLEGES AND OTHER MEASURES FOR THE MAINTENANCE OF STANDARDS, 1998.

The scheme of revision of pay scales, minimum qualifications for appointment, other service conditions of University and College Teachers, Librarians, Directors of Physical Education and Registrars of Universities as a measure for the maintenance of standards in higher education.

1.0.0 COVERAGE

1.1.0 The scheme applies to University and College Teachers, Librarians, Directors of Physical Education and Registrars of Universities (excluding Agricultural Universities), and Colleges (excluding Agricultural, Medical, and Veterinary Science Colleges) admitted to the privileges of the Universities unless they specifically exercise an option in writing to remain out of this scheme. However, the scheme will apply to the Teachers in the Faculty of Agriculture, Medicine and Veterinary Science in the Central Universities.

2.0.0 PAY SCALES

2.1.0 The revised scales of pay, as decided by the Government of India, Ministry of Human Resource Development (Deptt. of Education) may be seen at Appendix-I.

2.2.0 Pay scales for academic staff of the Departments of Adult and Continuing Education, Women's Studies, Academic Staff Colleges and University Science Instrumentation Centre should be equivalent to those of the Teachers of the corresponding levels and they should have same designation and channel of promotion provided that they have equivalent qualifications.

3.0.0 RECRUITMENT AND QUALIFICATIONS

3.1.0 The direct recruitment to the post of Lecturers, Readers and Professors in the Universities and Colleges shall be on the basis of merit through all India advertisement and selections by the duly constituted Selection Committees to be set up under the Statutes/Ordinances of the concerned university. Such Committees should have a minimum of three experts, the head of the concerned Department and the Principal of the concerned College (in case of selection of college teachers).

4.0 Consequences of failure of the Universities to comply with the recommendations of the Commission, as per provision of Section 14 of the University Grants Commission Act, 1956:

If any University grants affiliation in respect of any course of study to any college referred to in sub-section (5) of Section 12-A in contravention of the provisions of that sub-section, or fails within a reasonable time to comply with any recommendations made by the Commission under Section 12 or Section 13, or contravenes the provisions of any rule made under clause (f) of sub-section (2) of Section 25 or of any regulations made under clause (e) or clause (f) or clause (g) of Sub-Section (1) of Section 26, the Commission after taking into consideration the cause, if any, shown by the University for such failure or contravention, may withhold from the university the grants proposed to be made out of the fund of the Commission.

5.0 The University Grants Commission expects that the entire scheme of revision of pay scales, together with all the conditions attached to it, would be implemented by the State Governments as a composite scheme without any modifications, except the date of implementation and the scales of pay as indicated in Government of India notification No.F.1-22/97-U.I. dated 27.7.98, 22.9.98 and 6.11.98. It shall be necessary for the Universities and the management of Colleges to make the necessary changes in their statutes, ordinances, rules, regulations, etc. to incorporate the provisions of this scheme.

6.0 The UGC has sent the following schemes to the Ministry of Human Resource Development for consideration.

- 1) Scheme for providing incentives to lecturers for professional development.
- 2) Creation of posts of Professors in colleges
- 3) Scheme for rewarding meritorious teachers.
 - a. Super Time Scale to Professors.
 - b. Meritorious teachers who do not have M. Phil / Ph. D.

As soon as the required approval is received the schemes would be formally notified.

7.0 The receipt of this letter may kindly be acknowledged.

Yours faithfully,


(G.D. SHARMA)

- 2.0 The minimum qualifications required for the post of Lecturers, Readers, Professors, Principals, Assistant Directors of Physical Education, Deputy Directors of Physical Education, Directors of Physical Education, Assistant Librarians, Deputy Librarians, Librarians, Assistant Registrars, Deputy Registrars and Registrars, will be those as prescribed by the University Grants Commission from time to time.
- 3.0 The minimum requirements of a good academic record, 55% of the marks at the master's level and qualifying in the National Eligibility Test, or an accredited test, shall remain for the appointment of Lecturers. It would be optional for the University to exempt Ph.D. holders from NET or to require NET, in their case, either as a desirable or essential qualification for appointment as Lecturers in the University Departments and Colleges. The minimum requirement of 55% should not be insisted upon for Professors, Readers, Registrars, Deputy Registrars, Librarians, Deputy Librarians, Directors of Physical Education, Deputy Directors of Physical Education for the existing incumbents who are already in the University system. However, these marks should be insisted upon for those entering the system from outside and those at the entry point of Lecturers, Assistant Registrars, Assistant Librarians, Assistant Director of Physical Education.
- 3.4.0 A relaxation of 5% may be provided, from 55% to 50% of the marks, at the master's level for the SC/ST category.
- 3.5.0 A relaxation of 5% may be provided, from 55% to 50% of the marks to the Ph.D. degree ~~holders for the appointment of Lecturers, Readers, Professors, Principals, Assistant Directors of Physical Education, Deputy Directors of Physical Education, Directors of Physical Education, Assistant Librarians, Deputy Librarians, Librarians, Assistant Registrars, Deputy Registrars and Registrars.~~ However, for other categories, like those of Registrars, Librarians and Physical Education Directors, the Ph.D. should be a desirable and not an essential qualification.

4.0.0 DIRECT RECRUITMENT

4.1.0 PROFESSOR

An eminent scholar with published work of high quality, actively engaged in research, with

10 years of experience in postgraduate teaching, and/or experience in research at the University/National Level institutions, including experience of guiding research at doctoral level.

OR

An outstanding scholar with established reputation who has made significant contribution to knowledge.

In exceptional cases, the teachers with 15 years of UG teaching/research experience could also be considered.

4.2.1 PRINCIPAL (Professor's Grade)

1. A Master's Degree with at least 55% of the marks or its equivalent grade of B in the 7 point scale with latter grades O, A, B, C, D, E & F.
2. Ph.D. or equivalent qualification.
3. Total experience of 15 years of teaching/Research in Universities/Colleges and other institutions of higher education.

4.2.2 PRINCIPAL (Reader's Grade)

1. A Master's Degree with at least 55% of the marks or its equivalent grade of B in the 7 point scale with latter grades O, A, B, C, D, E & F.
2. Ph.D. or equivalent qualification.
3. Total experience of 10 years of teaching/Research in Universities/Colleges and other institutions of higher education.

4.3.0 READER

Good academic record with a doctoral degree or equivalent published work. In addition to these, candidates who join from outside the university system, shall also possess at least 55% of the marks or an equivalent grade of B in the 7 point scale with latter grades O, A, B, C, D, E & F at the Master's degree level.

Five years of experience of teaching and/or research **excluding** the period spent for obtaining the research degrees and has made some mark in the areas of scholarship as evidenced by quality of publications, contribution to educational innovation, design of new courses and curricula.

4.4.0 LECTURER

4.4.1 *Humanities, Social Sciences, Sciences, Commerce, Education, Physical Education, Foreign Languages and Law.*

Good academic record with at least 55% of the marks or, an equivalent grade of B in the 7 point scale with latter grades O, A, B, C, D, E & F at the Master's degree level, in the relevant subject from an Indian University, or, an equivalent degree from a foreign University.

Besides fulfilling the above qualifications, candidates should have cleared the eligibility test (NET) for lecturers conducted by the UGC, CSIR or similar test accredited by the UGC.

4.4.2 *Journalism and Mass Communication*

Good academic record with at least 55% of the marks, or, an equivalent grade of B in the 7 point scale with latter grades O, A, B, C, D, E & F at the Master's degree level in communication/mass communication, journalism, from an Indian University, or an equivalent degree from a foreign University.

Besides fulfilling the above qualifications, candidates should have cleared the eligibility test (NET) for lecturers conducted by the UGC, CSIR, or similar test accredited by the UGC.

OR

At least 55% of the marks, or an equivalent grade of B in the 7 point scale with latter grades O, A, B, C, D, E & F at the Master's degree level in the Humanities, Social Sciences/Sciences/ with at least a second class Bachelor's Degree, or Post-graduate Diploma in communication/mass communication or journalism, from a recognized Indian University/National Institute.

Besides fulfilling the above qualification, candidates should have cleared the eligibility test (NET) for Lecturers conducted by the UGC, CSIR, or similar tests accredited by the UGC.

4.4.3 Music

Good academic record with at least 55% of the marks, or an equivalent grade of B in the 7 point scale with latter grades O, A, B, C, D, E & F at the Master's degree level, in the relevant subject or an equivalent degree from an Indian/Foreign University.

Besides fulfilling the above qualifications, candidates should have cleared the eligibility test (NET) for Lecturers conducted by the UGC, CSIR, or similar test accredited by the UGC.

SEVEN POINT SCALE		
GRADE	GRADE POINT	PERCENTAGE EQUIVALENT
'O' = Outstanding	5.50-6.00	75-100
'A' = Very Good	4.50-5.49	65-74
'B' = Good	3.50-4.49	55-64
'C' = Average	2.50-3.49	45-54
'D' = Below Average	1.50-2.49	35-44
'E' = Poor	0.50-1.49	25-34
'F' = Fail	0-0.49	0-24

OR

A traditional or a professional artist with a highly commendable professional achievement in the concerned subject.

For professional subjects like education, social work and performing arts etc., a separate detailed regulation on qualifications would be issued. Till then, the Regulations, hitherto in force for direct recruitment to the post of Lecturer, Reader and Professor, will continue.

The minimum qualifications for the post of Librarians, Deputy Librarians, Assistant Librarians may be seen at Appendix-II.

The minimum qualifications for the post of Registrar, Deputy Registrar, Assistant Registrar may be seen at Appendix-III.

The minimum qualifications for the post of Director, Physical Education, Deputy Director, Physical Education and Assistant Director, Physical Education may be seen at Appendix-IV.

5.0.0 SELECTION COMMITTEES

University Grants Commission has separate guidelines on constitution of Selection Committees which may be referred to by Universities/Colleges (copy enclosed as Appendix-V). A representative of the SC/ST, women and physically handicapped persons, should be in the Selection Committee whenever a candidate from any of these categories appears for the interview.

It is optional for the University or College to utilise the Seminar or Colloquium as a method for the selection of Lecturer, Reader or Professor.

6.0.0 INCENTIVES FOR Ph.D./M.Phil.

- 6.1.0 Four and two advance increments will be admissible to those who hold Ph.D. and M.Phil degrees, respectively, at the time of recruitment as Lecturers. Candidates with D.Litt/D.Sc. should be given benefit on par with Ph.D. and M.Litt on par with M.Phil.
- 6.2.0 One increment will be admissible to those teachers with M.Phil who acquire Ph.D. within two years of recruitment.

6.3.0 A Lecturer with Ph.D. will be eligible for two advance increments when she/he moves into Selection Grade/Reader.

6.4.0 A teacher will be eligible for two advance increments as and when she/he acquires a Ph.D. degree in her/his service career.

7.0.0 CAREER ADVANCEMENT

7.1.1 Minimum length of service for eligibility to move into the grade of Lecturer (Senior Scale) would be four years for those with Ph.D., five years for those with M.Phil, and six years for others at the level of Lecturer, and for eligibility to move into the Grade of Lecturer (Selection Grade)/Reader, the minimum length of service as Lecturer (Senior Scale) shall be uniformly five years.

7.1.2 For movement into grades of Reader and above, the minimum eligibility criterion would be Ph.D. Those without Ph.D. can go up to the level of Lecturer (Selection Grade).

7.1.3 A Reader with a minimum of eight years of service in that grade will be eligible to be considered for appointment as a Professor.

7.1.4 The Selection Committees for Career Advancement shall be the same as those for Direct Recruitment for each category.

7.1.5 The existing scheme of Career Advancement for non academic staff namely, Assistant Director of Physical Education, Assistant Registrar, Assistant Librarian would continue.

7.2.0 LECTURER (SENIOR SCALE)

A Lecturer will be eligible for placement in a senior scale through a procedure of selection, if she/he has :

- (i) Completed 6 years of service after regular appointment with relaxation of one year and two years, respectively, for those with M.Phil. and Ph.D.
- (ii) Participated in one orientation course and one refresher course of approved duration, or engaged in other appropriate continuing education programmes of comparable quality as may be specified or approved by the University Grants Commission. (Those with Ph.D. degree would be exempted from one refresher course).
- (iii) Consistently satisfactory performance appraisal reports.

7.3.0 LECTURER (SELECTION GRADE)

Lecturers in the Senior Scale who do not have a Ph.D. degree or equivalent published work, and who do not meet the scholarship and research standards, but fulfil the other criteria given above for the post of Reader, and have a good record in teaching and, preferably, have contributed in various ways such as to the corporate life of the institution, examination work, or through extension activities, will be placed in the Selection Grade, subject to the recommendations of the Selection Committee which is the same as for promotion to the post of Reader. They will be designated as Lecturers in the Selection Grade. They could offer themselves for fresh assessment after obtaining Ph.D. and/or fulfilling other requirements for promotion as Reader and, if found suitable, could be given the designation of Reader.

7.4.0 READER (PROMOTION)

7.4.1 A Lecturer in the Senior Scale will be eligible for promotion to the post of Reader if she/he has :

- (i) Completed 5 years of service in the Senior Scale;
- (ii) Obtained a Ph.D. degree or has equivalent published work;
- (iv) Made some mark in the areas of scholarship and research as evidenced e.g. by self-assessment, reports of referees, quality of publications, contribution to educational innovation, design of new courses and curricula and extension activities.
- (v) After placement in the Senior Scale participated in two refresher courses/summer institutes of approved duration, or engaged in other appropriate continuing education programmes of comparable quality as may be specified or approved by the University Grants Commission, and
- (vi) Possesses consistently good performance appraisal reports.

7.4.2 Promotion to the Post of Reader will be through a process of selection by a Selection Committee to be set up under the Statutes/Ordinances of the concerned University or other similar Committees set up by the appointing authorities.

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7.5.0 PROFESSOR (Promotion)

In addition to the sanctioned position of Professors, which must be filled in through direct recruitment through all India advertisements, promotions may be made from the post of Reader to that of Professor after 8 years of service as Reader.

7.6.0 The Selection Committee for promotion to the post of Professor should be the same as that for direct recruitment. For the promotion from Reader to Professor, the following method of promotion may be followed.

The candidate should present herself/himself before the Selection Committee with some of the following :

- a) Self-appraisal reports (required).
- b) Research contribution/books/articles published.
- c) Any other academic contributions.
The best three written contributions of the teacher (as defined by her/him) may be sent in advance to the Experts to review before coming for the selection. The candidate should be asked to submit these in 3 sets with the application.
- d) Seminars/Conferences attended.
- e) Contribution to teaching/academic environment/institutional corporate life.
- f) Extension and field outreach activities.

7.7.0 The requirement of participation in orientation/refresher courses/summer institutes, each of at least 3 to 4 weeks duration, and consistently satisfactory performance appraisal reports, shall be the mandatory requirement for Career Advancement from Lecturer to Lecturer (Senior Scale) and from Lecturer (Senior Scale) to Lecturer (Selection Grade). Wherever the requirement of orientation/refresher courses has remained incomplete, the promotions would not be held up but these must be completed by the year 2000.

The requirement for completing these courses would be as follows :

- i) For Lecturer to Lecturer (Senior Scale) , one orientation course would be compulsory for University and College teachers. Those without Ph.D. would be required to do one refresher course in addition.
- ii) Two refresher courses for Lecturer (Senior Scale) to Lecturer (Selection Grade).

- iii) The senior teachers like Readers/Lecturers (Selection Grade) and Professors may opt to attend two Seminars/Conferences in their subject area and present papers as one aspect of their promotion/selection to higher level or attend refresher courses to be offered by ASCs for this level.

7.8.0 If the number of years required in a feeder cadre are less than those stipulated in this notification, thus entailing hardship to those who have completed more than the total number of years in their entire service for eligibility in the cadre, may be placed in the next higher cadre after adjusting the total number of years.
This situation is likely to arise as, in the earlier scheme, the number of years required in a feeder cadre were much more than those envisaged under this notification.

8.0.0 **COUNTING OF PAST SERVICE**

Previous service, without any break as a Lecturer or equivalent, in a university, college, national laboratory, or other scientific organisations, e.g. CSIR, ICAR, DRDO, UGC, ICSSR, ICHR and as a UGC Research Scientist, should be counted for placement of lecturer in Senior Scale/Selection Grade provided that :

- 8.1.0 The post was in an equivalent grade/scale of pay as the post of a Lecturer;
- 8.2.0 The qualifications for the post were not lower than the qualifications prescribed by the UGC for the post of Lecturer;
- 8.3.0 The candidates who apply for direct recruitment should apply through proper channels;
- 8.4.0 The concerned Lecturers possessed the minimum qualifications prescribed by the UGC for appointment as Lecturers;
- 8.5.0 The post was filled in accordance with the prescribed selection procedure as laid down by the University/State Government/ Central Government/Institution's regulations;
- 8.6.0 The appointment was not ad-hoc or in a leave vacancy of less than one year duration. Ad hoc service of more than one year duration can be counted provided -
- (a) the ad hoc service was of more than one year duration;
 - (b) the incumbent was appointed on the recommendation of duly constituted Selection Committee; and
 - (c) the incumbent was selected to the permanent post in continuation to the ad hoc service, without any break.

9.0.0 MERIT PROMOTION

Merit Promotion Scheme of 1983 which was terminated in 1987 for those who did not opt for it, stands abolished. However, Professors who were governed by the old merit promotion scheme of 1987 would be eligible for full scale of Professor w.e.f. 01.01.1996. The University can discuss in its academic body and decide inter-se-seniority between the merit promotees and direct recruits, based on the date of selection, and as per the existing/amended Acts and Statutes of the University.

10.0.0 REWARDING MERIT

- 10.1.0 A supertime scale of Rs.22000-500-24500 will be given to such Professors of Eminence who will be directly recruited and have completed 28 years of service in accordance with the scheme to be approved by the Government of India.
- 10.2.0 Meritorious teachers, who may not have M.Phil. or Ph.D. but who have made outstanding contributions, would be rewarded and recognized as per the scheme to be approved by the Government of India.

11.0.0 PERIOD OF PROBATION AND CONFIRMATION

- 11.1.0 Keeping in view the practice in some of the Universities, the minimum period of probation may continue to be 1 year, extendable by a maximum period of 1 more year in case of unsatisfactory performance. However, the Universities which are already having probation period of 2 years may continue to do so.
- 11.2.0 It is optional for the universities to introduce the provision that a teacher may offer herself/himself for assessment at any point of time for confirmation, within the period of two years, but the University may consider a minimum period after which such cases would be considered. At senior positions, it is optional for the university to decide on confirmation at any time from the time of appointment to the end of the statutory period of probation.
- 11.3.0 The confirmation should not be linked to the completion of orientation course, but efforts should be made to send the teacher either before joining, or immediately thereafter, but, in any case, the orientation course should be completed within a period of the first two years.
- 11.4.0 Since the time required for Career Advancement has now been reduced, an extension may be provided till 31.12.2000 to all candidates for completing refresher courses.

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11.5.0 The University may devise a mechanism for ensuring that the Head of the University Department/College Principal sponsors the teacher for the required orientation and refresher course, and such opportunity is not denied to the teacher, except on sufficient grounds to be specified in writing to the university. The university should also bring to the attention of the UGC any complaints received from university or college teachers that they did not get admission to the courses for which they applied with details (name of teacher, name of institution where employed, course applied for, ASC or Department where applied, dates of course and reasons given for refusal).

12.0.0 PART-TIME TEACHERS

The minimum qualifications for appointment of part-time teachers should be the same as that of regular teachers and selected by regularly constituted Selection Committees. The part-time teachers should be appointed only in exceptional circumstances when it is appropriate to the requirements of the institution in terms of subjects to be taught or workload. They can be appointed on a contract appointment if only for a short period or as permanent half-time/proportionate time employees against half/proportionate salary of the scale (and should include proportionate increments, dearness allowance and any other permissible benefits). Such permanent part-time teachers will also be entitled to the scheme of Career Advancement from Lecturer to Senior Scale Lecturer, Selection Grade Lecturer / Reader, and Professor. However, they will be entitled to half/proportionate amount of the basic of the scale and proportionate increments, dearness allowance and any other permissible benefits.

13.0.0 CREATION OF POST

13.1.0 UGC – recognized autonomous colleges may create posts of Professor on the basis of felt needs. In general, 1 post of Professor may be created if there are already at least 4 Readers and 12 Lecturers and it is felt that creation of a post of Professor is academically necessary. The procedure of selection of Professor will be through direct recruitment as in the university. Other colleges of similar standard will be identified by the UGC as per the scheme to be approved by the Government of India.

14.0.0. TEACHING DAYS

The Universities/Colleges must observe at least 180 actual teaching days, i.e., there should be a minimum of 30 weeks of actual teaching in a 6-day week. Of the remaining period, 12 weeks may be devoted to admission and examination activities, and non-instructional days (e.g. for sports, college day, etc.), 8 weeks for vacation and 2 weeks may be attributed to various public holidays. If the University adopts a 5 day week pattern, then the number of weeks should be increased correspondingly to ensure equivalent of 30 weeks with a 6 day week. The above is summarised as follows :

	<u>No. of weeks</u>	
	<u>University</u>	<u>College</u>
Teaching	30 (180 days)	30 (180 days)
Admissions/Examinations preparation for Examinations.	12	10
Vacation .	8	10
Public Holidays (to increase & adjust teaching days accordingly)	2	2
Total	52	52

In lieu of curtailment of vacation by 2 weeks, the university teachers may be credited with 1/3rd of the period of Earned Leave. However, the colleges may have an option of a total vacation of 10 weeks in a year and no Earned Leave except when asked to work during the vacations for which, as in the case of University teachers, 1/3 of the period will be credited as Earned Leave.

15.0.0 WORKLOAD

The workload of the teacher in full employment should not be less than 40 hours a week for 30 working weeks (180 teaching days) in an academic year. It should be necessary for the teacher to be available for at least 5 hours daily in the University/College for which necessary space and infrastructure should be provided by the University/College. The direct teaching hours should be as follows :

Lecturer/Sr.Lecturer/Lecturer(Sel.Grade)	16 hours
Readers & Professors	14 hours

However, a relaxation of two hours in the workload may be given to the Professors who are actively involved in Research, Extension and Administration.

16.0.0 SUPERANNUATION AND RE-EMPLOYMENT OF TEACHERS

16.1.0 Teachers will retire at the age of 62. However, it is open to a University or a college to re-employ a superannuated teacher according to the existing guidelines framed by the UGC up to the age of 65 years.

16.2.0 Age of retirement of Registrars, Librarians, Physical Education personnel, Controllers of Examinations, Finance Officers and such other university employees who are being treated at par with the teachers and whose age of superannuation was 60 years, would be 62 years. No re-employment facility is recommended for the Registrars, Librarians and Directors of Physical Education.

17.0.0 SUPERANNUATION BENEFITS

17.1.0 The benefit in service, up to a maximum of 3 years, should be provided for the teachers who have acquired Ph.D. degree at the time of entry, so that, almost all teachers get full retirement benefits which are available after 33 years of service, subject to the overall age of superannuation..

17.2.0 Other conditions with respect to Superannuation Benefits may be given as per Central/State Government Rules.

18.0.0 LEAVE RULES

The leave rules, as laid down by the University Grants Commission, may be followed for the University and College teachers (See Appendix-VI).

19.0.0 SERVICE AGREEMENT

At the time of recruitment in Universities and Colleges, service agreement should be signed between the University/College and the Teacher which should be lodged with the Registrar/Principal with a copy to the concerned teacher. The self-appraisal of performance should be a part of the service agreement.

20.0.00 CODE OF PROFESSIONAL ETHICS

Each University should evolve its own professional ethics after full discussion in the academic bodies and associations and should incorporate it in its Act, Statutes and Ordinances and it should be applicable to all teaching and non-teaching staff including administrators.

21.0.0 ACCOUNTABILITY

The self-appraisal of performance should be adopted as a mandatory part of the Career Advancement scheme and should be implemented with the new pay scales within the time-frame of 1 year, if not already implemented. It would be optional for the institution to consider introducing student evaluation as a method of assessment of the teacher, particularly in small institutions, post-graduate departments, professional colleges and autonomous colleges.

22.0.0 ANOMALIES

Anomalies, if any, may be brought to the notice of the UGC who would consider them with the help of a Committee constituted by University Grants Commission.

No.1-32/2006-U.II/U.I(ii)
 Government of India
 Ministry of Human Resource Development
 Department of Higher Education

New Delhi, dated the 31st December, 2008

To

The Secretary,
 University Grants Commission,
 Bahadur Shah Zafar Marg,
 New Delhi - 110 002.

Subject:- Scheme of revision of pay scales for the posts of Registrar, Deputy Registrar, Assistant Registrar, Controller of Examination, Deputy Controller of Examination, Assistant Controller of Examination, Finance Officer, Deputy Finance Officer and Assistant Finance Officer following the revision of pay scales of Central Government employees on the recommendations of the Sixth Central Pay Commission- regarding.

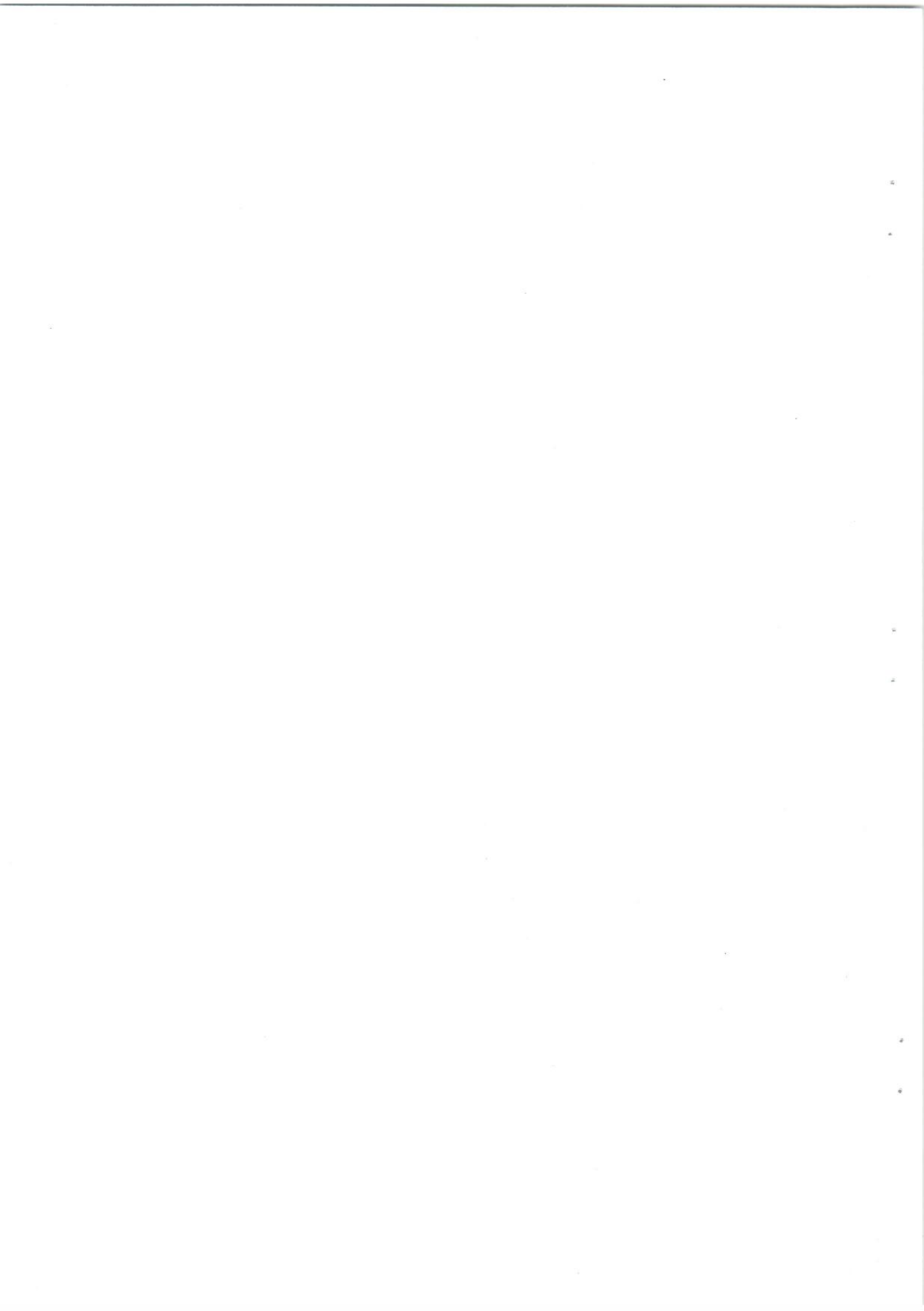
Sir,

I am directed to say that following the revision of the pay scales of central government employees in pursuance of the recommendations of the 6th Central Pay Commission, the Ministry of Finance (Department of Expenditure) had issued guidelines regarding the pay revision of the employees of Quasi-Government Organisations, Autonomous Organisations and Statutory Bodies etc, set up by and funded/ controlled by the Central Government, vide their O.M. No. 7-23/2008-E.III dated the 30th September, 2008. Taking the relevant factors into consideration, it has been decided by the Central Government to revise the pay scales of the officers of the universities and deemed to be universities fully funded by the Central Government, namely, Registrars, Deputy Registrars, Assistant Registrars, Controllers of Examination, Deputy Controllers of Examination, Assistant Controllers of Examinations, Finance Officers, Deputy Finance Officers and Assistant Finance Officers, as mentioned below. The revision of pay scales, etc. shall be subject to various provisions as contained herein, and Regulations to be framed by the UGC in this behalf.

(i) Registrar / Finance Officer / Controller of Examination

(a) Registrar / Finance Officer / Controller of Examination in the pre-revised scale of pay of Rs.16400-450-20900-500-22400 shall be placed in the Pay Band of Rs. 37400-67000 with a Grade Pay of Rs.10,000, and pay of these officers shall be fixed at the appropriate stage in the Pay Band in accordance with the guidelines issued by the Ministry of Finance, based on the recommendations of the 6th Central Pay Commission as accepted by Central Government. The posts of Registrar/ Finance Officer/ Controller of Examinations shall continue to be filled through direct recruitment as per the existing criteria.

(b) The existing minimum qualifications for direct recruitment to the post of Registrar and equivalent posts shall continue, namely, a Master's Degree with at least 55% of the marks or its equivalent grade of 'B' in the UGC 7 point scale. Consequent on change in designation of teachers vide this Ministry's letter



ITEM 17

TO CONSIDER the nomination of three Members of Executive Council to act as expert members on the Selection Committee for appointment of Registrar and Controller of Examinations.

NOTE :

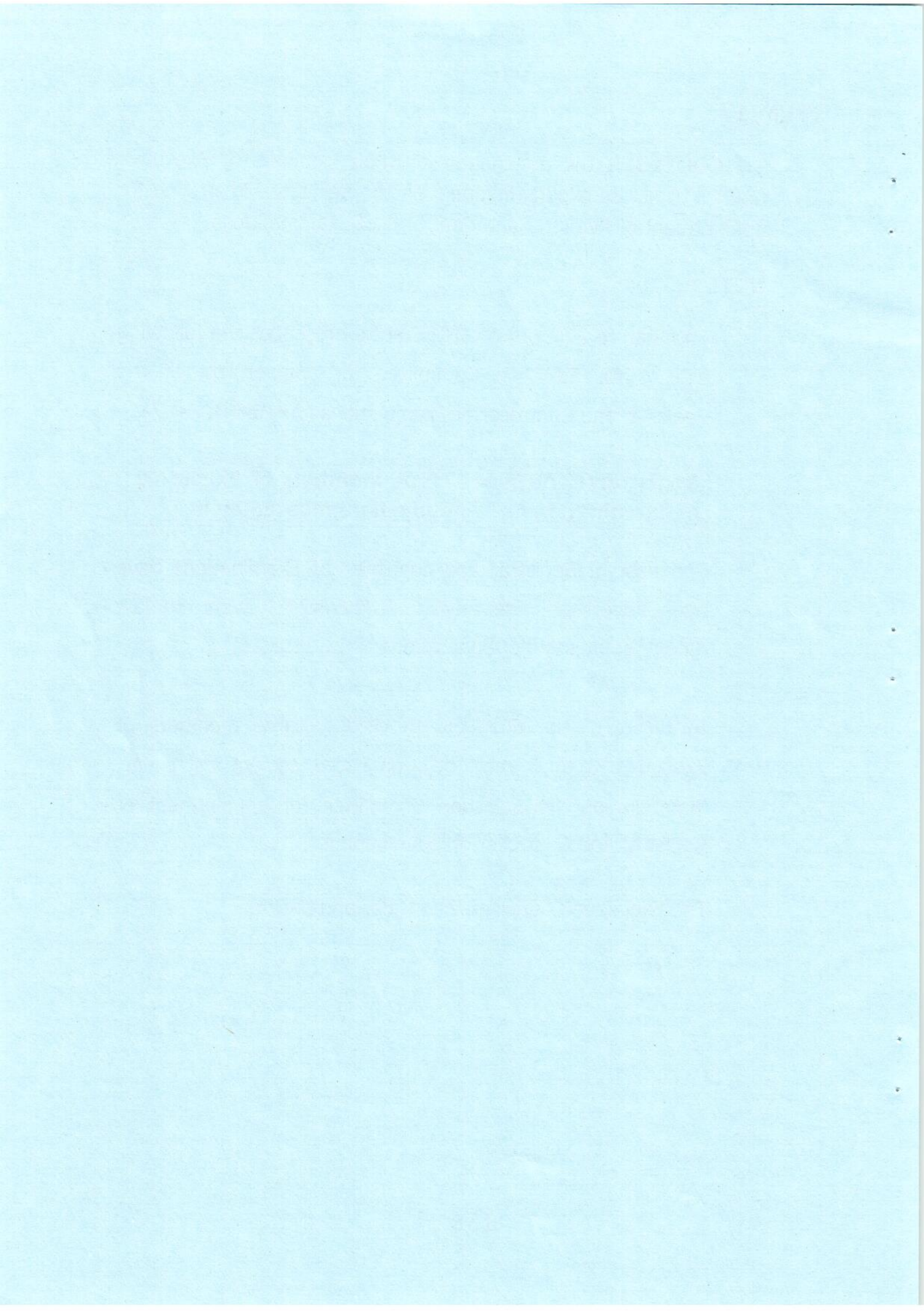
The Statute 27(1)(b) of BHU Statute prescribes the following experts for Selection Committee for appointment of the Registrar and Controller of Examinations (**APPENDIX-17**):

Registrar/Controller of Examinations	Three members of Executive Council nominated by it
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The post of Registrar and controller of Examinations have been advertised vide Advt.No.08/2016-2017 with the last date of submission of applications as 21.11.2016.

In terms of the aforesaid provisions, three members of Executive Council may be nominated to act as expert members on the Selection Committee for appointment of Registrar and Controller of Examinations.

The Executive Council may consider and decide.



APPENDIX-17

27. SELECTION COMMITTEES

- (1) (a) There shall be Selection Committees for making recommendations to the Executive Council for appointment to the posts of Professors, Readers, Lecturers, Registrar, Controller of Examinations and Librarian and such other posts as are declared teaching posts by the Academic Council.
- (b) Every Selection Committee shall consist of the Vice-Chancellor who shall be the Chairman thereof and a person nominated by the Visitor, and, in addition, the Selection Committee for making recommendations for appointment to a post specified in column (1) of the Table below shall have as its members the persons specified in the corresponding entry in column (2) of the said Table.

(1)	(2)
Professor ¹	<p>(1) The Dean of the Faculty concerned, provided he is a Professor, except in cases where they are applicants or when the post held by them is being filled up.</p> <p>(2) The Head of the Deptt. concerned, provided he is a Professor, except in cases where they are applicants or when the post held by them is being filled up.</p> <p>(3) Not less than three persons not being in the service of the University or member of the Executive Council who have special knowledge of the subject with which the person to be appointed will be concerned, to be nominated by the Executive Council.</p>
Reader/ Lecturer ² and Teaching post	<p>(1) The Dean of the Faculty concerned ;</p> <p>(2) The Head of the department concerned ;</p> <p>(3) Not less than two persons not being in the service of the University or members of the Executive Council who have special knowledge of the subject with which the person to be appointed will be concerned, to be nominated by the Executive Council.</p>
Registrar/ Controller of Examinations	Three members of the Executive Council nominated by it.
Librarian	Not less than three persons not being in the service of the University or members of the Executive

¹ Vide Govt. of India, Ministry of Human Resource Development (Deptt. of Education) letter No. F.1-85/86-Desk(U) dated 21st October, 1987.

² Vide Ministry of Human Resource Development (Deptt. of Education) letter No. F.1-37/84-Desk(U) dated 25.3.1985.

	Council who have special knowledge of the subject of Library Science to be nominated by the Executive Council.
Professors, Readers and Lecturers and ³ other teaching post in the Institute of Medical Sciences, Institute of Agricultural Sciences. the ⁴ Institute of Environment and Sustainable Development, Institute of Science ⁵ and the Institute of Management Studies ⁶ .	The Director of the concerned Institute will also be a member of the Selection Committee constituted as above for the respective post.
Professors, Readers and Lecturers and ⁷ other teaching post in Mahila Mahavidyalaya.	Principal, Mahila Mahavidyalaya, will also be a member of the Selection Committee, constituted as above for the posts of Mahila Mahavidyalaya.
⁸ Professors, Readers and Lecturers in the Centre of Advanced Study/ Departments selected for Special Assistance Programme/ Schools.	Programme Co-ordinator of the concerned Departments, ⁹ (CAS/SAP and Schools) will also be a member of the Selection Committee.

³ Vide Ministry of Human Resource Development (Deptt. of Education) letter No. F.1-37/84-Desk(U) dated 25.3.1985.

⁴ Vide Ministry of HRD, Deptt. of Higher Education letter No. F.1-5/10-Desk(U) dated 28th July, 2010 (ECR No. 90 dated October 6, 2009)

⁵ Vide Ministry of HRD, Deptt. of Higher Education letter No. F.No.1-10/2014-Desk(U) dated December 2, 2015 (ECR. no. 13 dated March 15, 2012) .

⁶ Vide Ministry of HRD, Deptt. of Higher Education letter No. F.No.1-10/2014-Desk(U) dated December 2, 2015 (ECR. no. 32 dated March 15, 2012) .

⁷ Vide Ministry of Human Resource Development (Deptt. of Education) letter No. F.1-37/84-Desk(U) dated 25.3.1985.

⁸ Vide Ministry of Human Resource Development (Deptt. of Education) letter No. F.1-12/85-Desk(U) dated 27.1.1986.

⁹ Vide Ministry of Human Resource Development (Deptt. of Education) letter No. F.1-48/90-Desk(U) dated 5.8.1991.

ITEM-18

TO CONSIDER the amendment under 27(1)(b) regarding composition of Selection Committee of teaching posts of the University.

NOTE :

The Statute 27(1)(b) of Act and Statute of the University prescribed the following expert for Selection Committee for appointment of the Professor, Reader and Lecturer **(APPENDIX-18A)**:

(1)	(2)
Professor	(3)Not less than three persons not being in the service of the University or member of the Executive Council who have special knowledge of the subject with which the person to be appointed will be concerned, to be nominated by the Executive Council.
Reader/Lecturer and Teaching post.	(3)Not less than two persons not being in the service of the University or member of the Executive Council who have special knowledge of the subject with which the person to be appointed will be concerned, to be nominated by the Executive Council.

The UGC under UGC Regulations on Minimum Qualification for appointment of Teachers and Other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, 2010 prescribed the

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following guidelines for Selection Committee for the post of Assistant Professor, Associate Professor and Professor in University at clause 5.1.1(a)2, 5.1.2(a)3 respectively.

(APPENDIX-18B):

Assistant Professor	2.Three experts in the concerned subject nominated by the Vice Chancellor out of the panel of names approved by the relevant statutory body of the university concerned.
Associate Professor	3.Three experts in the concerned subject/ field nominated by the Vice Chancellor out of the panel of names approved by the relevant statutory body of the University concerned.

1.1.3 Professor in the University :

The composition of the Selection Committee for the post of Professor in the University shall be similar in composition as that for the post of Associate Professor set out in Clause 5.1.2 above.

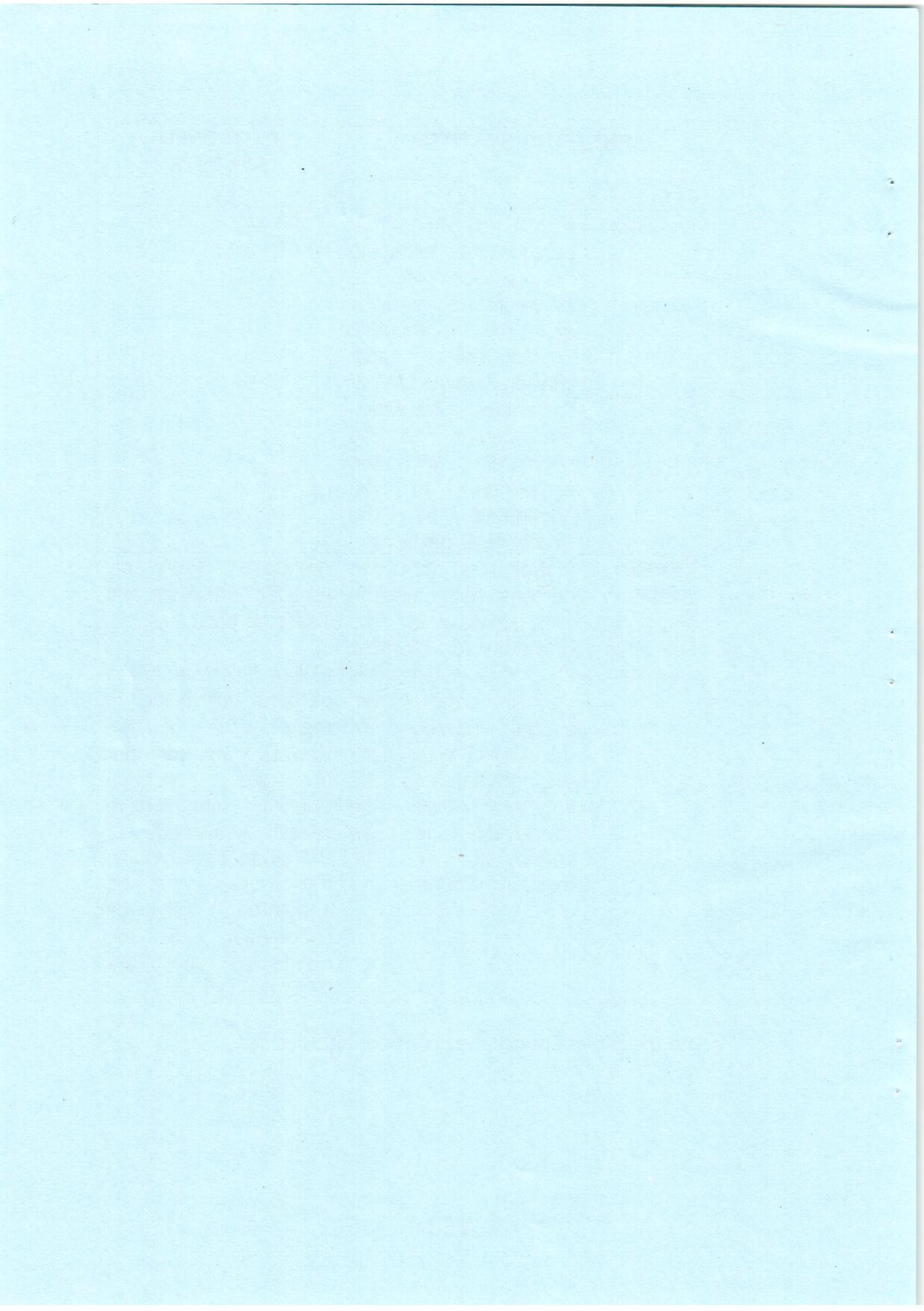
The University has adopted the aforesaid UGC regulation 2010. Thus in terms of the aforesaid provisions, the composition of Selection Committee need to be amended under Statute 27(1)(b).

Accordingly, following amendment in the provisions of Statute 27(1)(b) of the Banaras Hindu University for composition of Selection Committee for direct recruitment is proposed:

EXISTING STATUTE		PROPOSED STATUTE
(1)	(2)	(2)
Professor	(3)Not less than three persons not being in the service of the University or member of the Executive Council who have special knowledge of the subject with which the person to be appointed will be concerned, to be nominated by the Executive Council.	Professor : No Chance
Reader/ Lecturer and Teaching post.	(3)Not less than two persons not being in the service of the University or member of the Executive Council who have special knowledge of the subject with which the person to be appointed will be concerned, to be nominated by the Executive Council.	Associate Professor/ Assistant Professor and Teaching post. (3)Not less than three persons not being in the service of the University or member of the Executive Council who have special knowledge of the subject with which the person to be appointed will be concerned, to be nominated by the Executive Council.

The Executive Council may consider and decide.

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APPENDIX-18A

27. SELECTION COMMITTEES

- (1) (a) There shall be Selection Committees for making recommendations to the Executive Council for appointment to the posts of Professors, Readers, Lecturers, Registrar, Controller of Examinations and Librarian and such other posts as are declared teaching posts by the Academic Council.
- (b) Every Selection Committee shall consist of the Vice-Chancellor who shall be the Chairman thereof and a person nominated by the Visitor, and, in addition, the Selection Committee for making recommendations for appointment to a post specified in column (1) of the Table below shall have as its members the persons specified in the corresponding entry in column (2) of the said Table.

(1)	(2)
Professor	<p>(1) The Dean of the Faculty concerned, provided he is a Professor, except in cases where they are applicants or when the post held by them is being filled up.</p> <p>(2) The Head of the Deptt. concerned, provided he is a Professor, except in cases where they are applicants or when the post held by them is being filled up.</p> <p>(3) Not less than three persons not being in the service of the University or member of the Executive Council who have special knowledge of the subject with which the person to be appointed will be concerned, to be nominated by the Executive Council.</p>
Reader/ Lecturer ² and Teaching post	<p>(1) The Dean of the Faculty concerned ;</p> <p>(2) The Head of the department concerned ;</p> <p>(3) Not less than two persons not being in the service of the University or members of the Executive Council who have special knowledge of the subject with which the person to be appointed will be concerned, to be nominated by the Executive Council.</p>
Registrar/ Controller of Examinations	Three members of the Executive Council nominated by it.
Librarian	Not less than three persons not being in the service of the University or members of the Executive

¹ Vide Govt. of India, Ministry of Human Resource Development (Deptt. of Education) letter No. F.1-85/86-Desk(U) dated 21st October, 1987.

² Vide Ministry of Human Resource Development (Deptt. of Education) letter No. F.1-37/84-Desk(U) dated 25.3.1985.

	Council who have special knowledge of the subject of Library Science to be nominated by the Executive Council.
Professors, Readers and Lecturers and ³ other teaching post in the Institute of Medical Sciences, Institute of Agricultural Sciences. the ⁴ Institute of Environment and Sustainable Development, Institute of Science ⁵ and the Institute of Management Studies ⁶ .	The Director of the concerned Institute will also be a member of the Selection Committee constituted as above for the respective post.
Professors, Readers and Lecturers and ⁷ other teaching post in Mahila Mahavidyalaya.	Principal, Mahila Mahavidyalaya, will also be a member of the Selection Committee, constituted as above for the posts of Mahila Mahavidyalaya.
⁸ Professors, Readers and Lecturers in the Centre of Advanced Study/ Departments selected for Special Assistance Programme/ Schools.	Programme Co-ordinator of the concerned Departments, ⁹ (CAS/SAP and Schools) will also be a member of the Selection Committee.

³ Vide Ministry of Human Resource Development (Deptt. of Education) letter No. F.1-37/84-Desk(U) dated 25.3.1985.

⁴ Vide Ministry of HRD, Deptt. of Higher Education letter No. F.1-5/10-Desk(U) dated 28th July, 2010 (ECR No. 90 dated October 6, 2009)

⁵ Vide Ministry of HRD, Deptt. of Higher Education letter No. F.No.1-10/2014-Desk(U) dated December 2, 2015 (ECR. no. 13 dated March 15, 2012) .

⁶ Vide Ministry of HRD, Deptt. of Higher Education letter No. F.No.1-10/2014-Desk(U) dated December 2, 2015 (ECR. no. 32 dated March 15, 2012) .

⁷ Vide Ministry of Human Resource Development (Deptt. of Education) letter No. F.1-37/84-Desk(U) dated 25.3.1985.

⁸ Vide Ministry of Human Resource Development (Deptt. of Education) letter No. F.1-12/85-Desk(U) dated 27.1.1986.

⁹ Vide Ministry of Human Resource Development (Deptt. of Education) letter No. F.1-48/90-Desk(U) dated 5.8.1991.

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APPENDIX-18B

The UGC has evolved the following guidelines on: (a) Constitution of Selection Committees for selection of Assistant Professor, Associate Professor, Professor, Assistant Librarian, Deputy Librarian, Librarian, Assistant Director of Physical Education and Sports, Deputy Director of Physical Education and Sports and Director of Physical Education and Sports; and (b) specified selection procedures for direct recruitment and Career Advancement Schemes Regulations for teachers and other academic staff in universities and colleges.

5.1.0 Selection Committee Specifications:

5.1.1 Assistant Professor in the University:

(a) The Selection Committee for the post of Assistant Professor in the University shall have the following composition.

1. The Vice Chancellor or Acting Vice Chancellor to be the Chairperson of the Selection Committee.
2. Three experts in the concerned subject nominated by the Vice Chancellor or Acting Vice Chancellor out of the panel of names approved by the relevant statutory body of the university concerned.
3. Dean of the concerned Faculty, wherever applicable
4. Head/Chairperson of the Department/School.
5. An academician nominated by the Visitor/Chancellor, wherever applicable.
6. An academician representing SC/ST/OBC/Minority/Women/Differently-abled categories to be nominated by the Vice Chancellor or Acting Vice Chancellor, if

5.1.2 Associate Professor in the University

(a) The Selection Committee for the post of Associate Professor in the University shall have the following composition:

1. Vice Chancellor or Acting Vice Chancellor to be the Chairperson of the Selection Committee.
2. An academician who is the nominee of the Visitor/Chancellor, wherever applicable.
3. Three experts in the concerned subject/field nominated by the Vice Chancellor out of the panel of names approved by the relevant statutory body of the university concerned.
4. Dean of the faculty, wherever applicable.
5. Head/Chairperson of the Department/School.
6. An academician representing SC/ST/OBC/ Minority / Women / Differently-abled categories, if any of candidates representing these categories is the applicant, to be nominated by the Vice Chancellor, if any of the above members of the selection committee do not belong to that category.

(b) At least four members, including two outside subject experts, shall constitute the quorum.

ITEM 19

TO CONSIDER the Report of the Committee constituted vide Notification No. R/GAD/Comm./102 dated March 08, 2016 to look into the issues raised by Shri Bharat Singh, Hon'ble Members of Parliament (Lok Sabha) by his letter dated 17.01.2016.

NOTE

Shri Bharat Singh, Hon'ble MP (Lok Sabha) vide his letter dated 17.01.2016 had raised some issues related to the ICU of the IMS, BHU (**APPENDIX-19A**).

Accordingly a Committee headed by Dr. (Mrs.) S. Chooramani Gopal, Professor-Emeritus and former Vice-Chancellor, KGMU was constituted vide Notification No. R/GAD/Comm./102 dated March 08, 2016 (**Appendix-19B**).

The Committee vide its Ref. No. F.PA/C1/2016-17/130561 dated April 25, 2016 submitted its report (**Appendix-19C**).

The Committee has derived the following conclusions in its report:

"The Committee concludes that Prof. D.K. Singh happened to provide incorrect information to the Hon'ble MP on the following three counts:

- a) **There were no vacant beds in ICU on 06.01.2016**
- b) **Patients from Ayurveda are not admitted in ICU, and**
- c) **There is an ICU in Ayurveda.**

The Committee is of the view that the Heads/Incharges of the Divisions/Units in SS Hospital including ICU should be by rotation.

The Committee also submitted some other General Recommendations.

1. There should be transparency in the vacant bed position in all wards including ICU and this should be accurately reflected in the HMIS system and displayed prominently at appropriate places (particularly in the casualty OPD) with the MO Incharge.
2. All critically ill patients admitted in SS Hospital including Ayurveda should be admitted in the ICU if required.
3. The priority in ICU admission must be given for patients admitted in our own hospital. There should be clear cut guidelines for admission in the ICU.
4. The patients admitted in the ICU must have a clear written record as to where and how long they were being treated before admission in the ICU. This must be very strictly complied in patients who have been treated in private hospitals/other hospitals for varying lengths of time before being shifted to the ICU.
5. As per established norms our hospital being tertiary care teaching hospital should have atleast 10 percent beds allotted for critical care. These should be distributed among ICU, HDU, Medicine, Surgery, Pediatrics and Neonatology. This is not only in accordance with the established guidelines of Indian Society of Critical Care Medicine but also an essential requirement of the Medical Council of India addressing this issue will go a

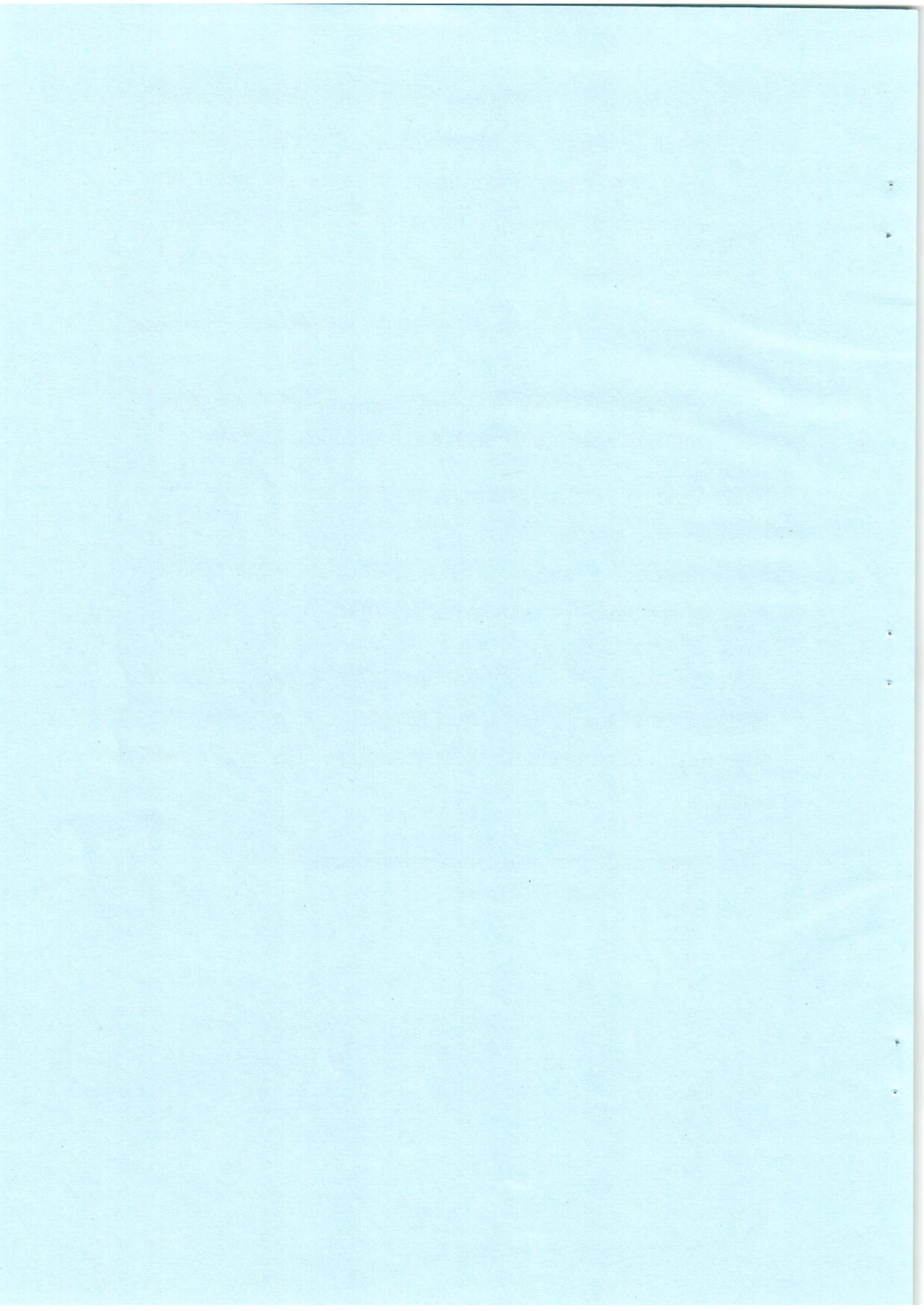
long way in improving the patient friendliness and the overall image of the institute thereby avoiding recurrence of such unfortunate incidents as there is no doubt that the present numbers of ICU beds are grossly inadequate.

On perusal of the report Vice-Chancellor has passed the following orders:

1. The report of the committee may be placed before the E.C. for consideration and appropriate decision.
2. Pending Final decision by the E.C. on the issue, the charge of the Critical Care Division / ICU be handed over the Head, Department Anaesthesiology, with immediate effect.

Accordingly as per letter No.R/2016-17/124, dated 21.05.2016, the charge of the Critical Care Division/ICU was handed over to the Head, Department of Anaesthesiology, IMS with immediate effect.

The Executive Council may consider and decide.



भरत सिंह

संसद सदस्य

(लोक सभा) बलिया (उ० प्र०)

सदस्य : स्थाई समिति-कार्मिक लोक शिकायत, विधि एवं न्याय।

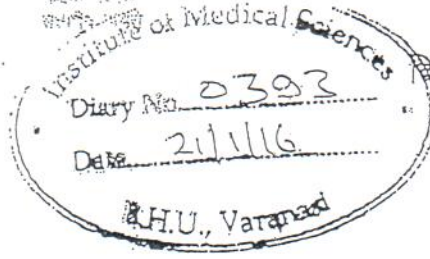
: परामर्शदात्री समिति-विद्युत मंत्रालय, नवीन और नवीकरणीय ऊर्जा मंत्रालय।

123-125, नार्थ एवेन्यू,

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दिनांक 17 जनवरी 2016

काशी हिन्दू विश्वविद्यालय

ए सुन्दरलाल चिकित्सालय

आयरी नं० 3852

दिनांक 21/1/16

कुलपति
काशी हिन्दू विश्वविद्यालय
वाराणसी।

प्रिय महोदय,

आपके अथक प्रयास से विश्वविद्यालय की छवि एवं शैक्षणिक वातावरण में दिनों-दिन ह रहे उत्थान के लिए मैं आपको धन्यवाद देता हूँ।

काशी हिन्दू विश्वविद्यालय स्थित सरसुंदर लाल चिकित्सालय एक अत्यंत ही संवेदनशील इकाई है जो कि लगभग समस्त पूर्वांचल, बिहार एवं मध्य प्रदेश के कुछ हिस्सों के गरीब मरीजों के लिए आशा की किरण है।

जनवरी 2016 माह के प्रथम सप्ताह में मेरे संसदीय क्षेत्र का एक निर्धन युवा मरीज स्व. कमलेश तिवारी सरसुंदर लाल चिकित्सालय के आयुर्वेद विभाग में भर्ती थे। मरीज की गंभीर स्थिति को ध्यान में रखकर डॉ० ने उन्हें आई०सी०यू० में भर्ती हेतु रेफर किया। मरीज के परिजनो द्वारा आई०सी०यू० से संबंधित अधिकारी एवं डाक्टर से संपर्क के बाद भी कोई संतोषप्रद जवाब नहीं मिला न ही मरीज को आई०सी०यू० में भर्ती किया गया। अत्यधिक परेशान होकर परिवार के सदस्य ने मुझसे दि० 6/1/16 को फोन पर संपर्क किया एवं मदद हेतु अनुरोध किया। मैंने आई०सी०यू० के इंचार्ज प्रो० डी०के०सिंह से तत्काल फोन पर संपर्क कर आई०सी०यू० के बारे में जानकारी मांगी। प्रो० डी०के०सिंह ने स्पष्ट किया कि आयुर्वेद में भर्ती मरीज को माडर्न मेडिसिन के आई०सी०यू० में भर्ती नहीं किया जाता है। अतः आप आयुर्वेद के आई०सी०यू० में भर्ती करा दें। आयुर्वेद में आई०सी०यू० न होने के कारण मरीज के परिजन निजी अस्पताल में ले जाने को बाध्य हुए। समय से आई०सी०यू० सुविधा न मिलने के कारण एक गरीब को इलाज के अभाव में अपनी जान गंवानी पड़ी। यह मेरे लिए व्यक्तिगत रूप से अत्यधिक पीड़ा का विषय रहा।

मुझे ज्ञात हुआ कि आयुर्वेद विभाग में आई०सी०यू० नहीं हैं एवं आयुर्वेद के मरीज जरूरत पड़ने पर माडर्न मेडिसिन के आई०सी०यू० में भर्ती किये जाते हैं।

प्रो० डी०के०सिंह, आई०सी०यू० इंचार्ज ने मुझे गलत सूचना दिया एवं गुमराह किया जिससे मैं आहत हूँ। एक सांसद को गलत सूचना देना एवं गुमराह करना निंदनीय एवं दंडनीय अपराध की श्रेणी में आता है। ऐसी स्थिति में आपसे इस विषय पर दंडनीय कारवाई की अपेक्षा करता हूँ।

मेरे संज्ञान में यह भी आया है कि प्रो० डी०के०सिंह द्वारा शहर के निजी अस्पतालों के मरीजों को अपने निजी स्वार्थ हेतु सीधे आई०सी०यू० में भर्ती कर लेते हैं इसकी पुष्टि एवं जांच एच०आइ०एम०एस० रिपोर्ट के आधार पर किया जा सकता है।

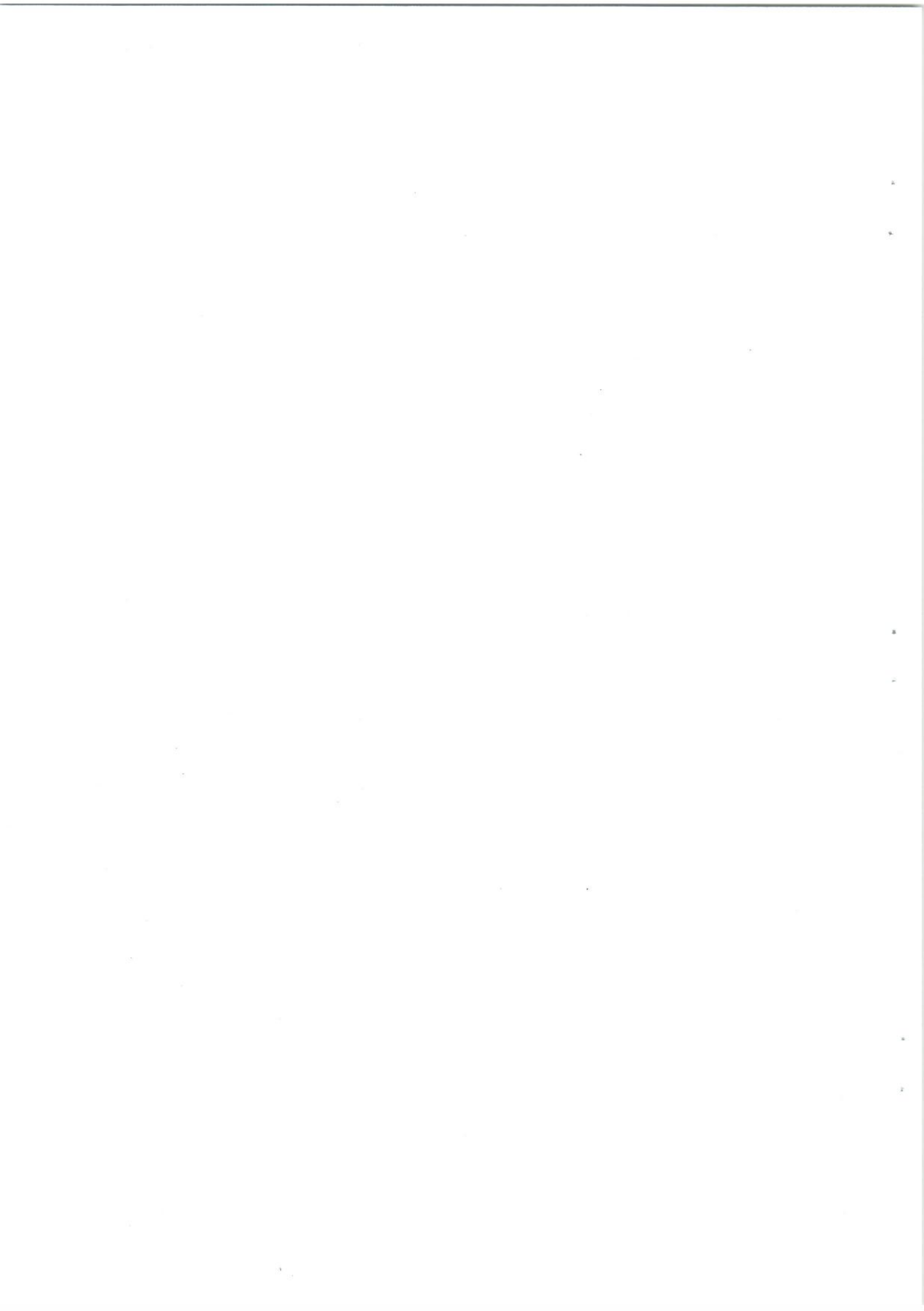
प्रो० डी०के०सिंह द्वारा बी०एच०यू० के ट्रामा सेन्टर के प्रभारी (O.S.D.) पद पर रहते हुए की गई संपूर्ण खरीददारियों में की गई अनियमितताओं एवं वित्तीय गड़बड़ियों से संबंधित सभी तथ्यों की बारिकी से (सूक्ष्म) रूप से जांच कराई जाये एवं दोषी पाये जाने पर कानून की तहत कारवाई की जाये।

आई०सी०यू० इंचार्ज के पद पर रोटेशन के तहत नियुक्ति नहीं की जा रही है। इसकी जांच कराये एवं इस पद पर अविलम्ब रोटेशन के नियम के तहत नियुक्ति की प्रक्रिया अपनोई जाये ताकि कार्य की गुणवत्ता व पारदर्शिता बनी रहे।

आपसे अपेक्षा करता हूँ कि इस पत्र में मेरे द्वारा इंगित सभी विषयों की अविलम्ब जांच कराके कानून एवं नियम के आधार पर कारवाई करेंगे।

काशी हिन्दू विश्वविद्यालय की गरिमा को पुनः स्थापित करने हेतु आपके द्वारा किये जा रहे प्रयासों में मेरा सहयोग हमेशा रहेगा।

सादर!





No.R/GAD/Comm.(102)

Dated: April 2, 2016

NOTIFICATION

Consequent upon acceptance of request of Dr.T.M. Mohapatra to relieve from the assignment as Member of the Committee constituted to look into the issues raised by Shri Bharat Singh, Hon'ble Member of Parliament (**Lok Sabha**) in his letter dated 17.01.2016, the Vice-Chancellor has been pleased to nominate Dr. S.C. Goel, Department of Orthopaedics, Institute of Medical Sciences, BHU, as Member of the aforementioned Committee, place of Dr. Mohapatra, aforesaid.

The constitution of the Committee will, thus, be now as under:

1. Dr.(Mrs.) S. Chooramani Gopal - Chairperson
Ex-Director, KGMU &
Distinguished Professor, IMS,BHU
2. Dr. S.C. Goel, - Member
Department of Orthopaedics, IMS, BHU
3. Dr. S.K. Gupta - Member
Professor, Department of General Surgery
4. Dr. A.K. Tiwari, - Secretary
Assistant Registrar, IMS, BHU

Other contents of the Notification No.R/GAD/Comm./102 dated March 08, 2016 will remain unchanged.


REGISTRAR

No.R/GAD/Comm.(102)/452-58

Dated: April 2, 2016

Copy forwarded to the following for information and necessary action:

1. All the members concerned
2. The Director, IMS
3. The Memdical Superintendent, SSH
4. The Joint Registrar & Secretary to the Vice-Chancellor
Banaras Hindu University.


REGISTRAR

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**REPORT OF THE COMMITTEE UNDER THE CHAIRPERSONSHIP OF
PROF (Mrs.) S.CHOORAMANI GOPAL**

An Enquiry Committee was constituted by the Registrar, Banaras Hindu University with the approval of the Hon'ble Vice-Chancellor vide letter No.R/GAD/Comm./102 dated 08.03.2016 to look into the complaint of Shri Bharat Singh, Hon'ble Member of Parliament as detailed in his letter dated 17.01.2016 addressed to the Vice-Chancellor, Banaras Hindu University (copy of the complaint letter is annexed herewith as **(Annexure I)**)

The complaint related to the fact that a patient Mr Kamlesh alias Kamleshwar Tiwari (MRD No 45365/05.01.16), now deceased, was brought to the SS Hospital and he required admission in the ICU for which he was referred to the ICU on 6th January 2016. As stated in the letter the patient was not admitted in the ICU and the patient's attendants did not get any satisfactory explanation from the doctors. Consequently they contacted Shri Bharat Singh Hon'ble Member of Parliament who in turn contacted Prof D.K.Singh, Incharge ICU and requested him to admit this patient. Shri Bharat Singh, Hon'ble Member of Parliament, further states that Prof D.K.Singh informed him that patients admitted in Ayurveda are not admitted in Modern Medicine ICU and therefore he should get him admitted in Ayurveda ICU. The Hon'ble Member of Parliament further alleges that he has come to know that (a) there is no ICU facility in Ayurveda and (b) If needed, patients from Ayurveda are admitted in ICU of Modern Medicine and has therefore, accused Prof D.K.Singh of deliberately misleading him.

Dr. Gupta

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Shooramani Gopal

[Signature]

The Hon'ble Member of Parliament has made the following additional points in the complaint letter:

- (a) D.K.Singh admits the patients referred from private hospitals of the city directly in ICU on a personal basis.
- (b) There were financial and other irregularities in the purchases made at the Trauma Centre when Prof D.K.Singh was OSD, Trauma Centre as per the CAG report.
- (c) There is no rotation on the post of ICU Incharge and it should be made rotational

This complaint letter of the Hon'ble Member of Parliament was forwarded by the Medical Superintendent to the Head, Department of Anesthesiology who in turn marked it to Prof D.K.Singh for his comments. In his reply dated 09.02.2016, Prof D.K. Singh (**Annexure II**) has stated as follows:-

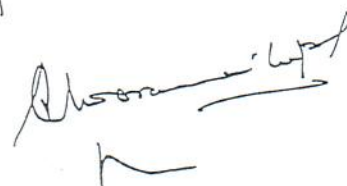
- (a) He received a phone call from Hon'ble MP, Shri Bharat Singhji on 06.01.2016 while he was with Prof P. Ranjan, Head, Department of Anesthesiology.
- (b) The Hon'ble MP was informed that no vacant bed is available in the ICU and as soon as the bed becomes vacant, the patient will be admitted.
- (c) This information was also conveyed to the patient's attendants and they were asked to go to the Emergency where arrangements for treatment of their patient will be made.
- (d) He informed Dr Anil Paswan, Associate Professor, Department of Anesthesiology who was Consultant on duty in the ICU on that day about this patient and requested him to admit this patient as and when a bed becomes available.
- (e) Dr Anil Paswan informed Dr D.K.Singh that when the Senior Resident went to the Emergency to see the patient he told the attendants that all the 10 beds are filled and as soon as a bed becomes vacant, the patient will be admitted



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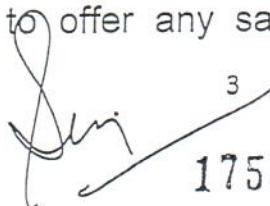
(f) When the bed became vacant in the ICU, the Senior Resident went to see the patient but did not find the patient or the patient's attendants.

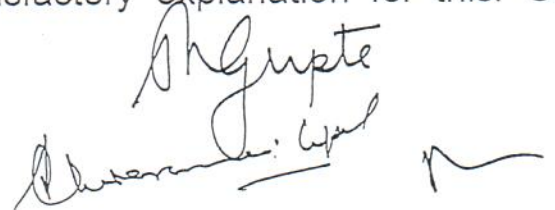
The Committee obtained the following documents:-

(i) Patient's case sheet (ii) HMIS record of ICU admissions (iii) Nurses' ICU admission register and (iv) Nurse's ICU bed charges payment register.

The essential facts about the patient Mr Kamlesh Tiwari were verified from the patient's case sheet. Mr Kamlesh Tiwari was a case of chronic liver disease with Grade IV hepatic encephalopathy who first came to the Emergency OPD of SS Hospital on 04/01/2016 at 6.30 pm and was seen by the General Medicine Resident on duty and advised treatment. On 05/01/2016 morning the Resident regretted that no bed was available in Medicine Ward and referred to Gastroenterology OPD where the patient was seen by Gastroenterology residents and diagnosed as Cirrhosis liver Alcoholic with decompensated ascites and Grade IV encephalopathy. Since no bed was vacant in Gastroenterology ward, patient was advised admission in Acute Care Unit/ ICU else keep in Emergency. Subsequently, patient was admitted in Ayurveda under Prof N.P. Rai on 05/01/2016 at 12.38 pm and a reference was sent to the ICU for shifting the patient on 06/01/2016 at 9.30 am. The ICU resident saw the patient at 11.15 AM on 06/01/2016 and wrote that no vacant bed/ventilator is available in the ICU (**Annexure III**). The patient was discharged from Ayurveda on 06/01/2016 at 1.10 pm and referred to higher centre. The patient again went to the Emergency at 1.45 pm from where he was again regretted by the ICU.

The HMIS records were meticulously perused by the Committee (**Annexure IV**) and it was observed that they were grossly inaccurate. Many patients, who were admitted under some consultant in some ward and were subsequently transferred to the ICU, were not shown in the HIMS records of ICU admissions and were continued to be shown as admitted in the respective wards only. The HMIS staff was called before the Committee but they were unable to offer any satisfactory explanation for this. On


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perusal of the Nurses' ICU admission register (**Annexure V**) and Nurse's ICU bed charges payment register (**Annexure VI**), the Committee observed that these were the most authentic and accurate documents to determine the ICU bed position.

The Committee observed that ICU Bed No 9 became vacant at 11.45 pm on 05/01/2016 and no new admission was made on this bed on 06/01/2016. Thus ICU Bed No. 9 was vacant throughout on 06/01/2016, the date in question. Furthermore, a patient admitted on ICU Bed No. 5 was shifted to HDU at 11.00 AM on 06/01/2016 and this bed also became vacant. Thus on 06/01/2016 there were two beds vacant in the ICU at 11 AM yet the patient Shri Kamlesh Tiwari was not admitted. ²

The Committee called the Sister Incharge of the ICU, Sister Ranjana Singh to corroborate the Nurses' ICU admission register and Nurse's ICU bed charges payment register. She appeared before the Committee and stated that both these registers are maintained manually. All entries are meticulously made and that these records are accurate and authentic.

She also stated that as the regular ICU is under renovation, the ICU is presently functioning in the post-operative recovery room. Therefore, instead of 16 beds in the regular ICU only 10 beds are functioning but there are generally a few standby ventilators which can be used to accommodate extra patients in case of dire emergencies (Statement of Ranjana Singh annexed at **Annexure VII**)

Subsequently, the Committee reexamined the admission records and found that on some days eg. on 04/01/2016, there were 11 patients admitted in the ICU whereas on 06.01.2016 at 11 AM there were only 8 patients admitted.

Regarding admission of patients admitted in Ayurveda being shifted to ICU, the Committee on examining the ICU admission records found that one patient Preeti Pandey admitted under Dr Anuradha Roy, Faculty of Ayurveda was shifted in the ICU on 03/01/2016 from Prasuti Tantra Labour

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The bottom of the page contains several handwritten signatures and initials. On the left, there is a signature that appears to be 'Sury' with a small '4' written above it. In the center, there is a signature that looks like 'Anuradha Roy'. To the right of that, there is another signature that is partially obscured. On the far right, there are some initials that look like 'h'.

Room. This confirms that under "some circumstances" patients admitted in Ayurveda are shifted to the Modern Medicine ICU.

The Committee wrote to the Dean Faculty of Ayurveda to enquire how they manage critically ill patients in Faculty of Ayurveda. The Dean, Faculty of Ayurveda has replied that such patients are referred to the Critical Care Unit of Modern Medicine but "most of the times" they are refused admission for reasons not known to them. **(Annexure VIII)** On further enquiry it was found that they have only one ventilator which is in the Ayurveda O.T. and the faculty of Ayurveda does not have a functioning ICU.

The Hon'ble MP has further alleged that the patients are directly admitted to the ICU from private hospitals of the city by Dr D.K.Singh. The Committee observes that it is difficult to establish the correctness of this allegation. On going through the admission records including the HMIS records, we find that all patients in ICU are admitted under some Consultant of Sir Sunderlal Hospital. In fact, as a policy no admissions in ICU are made under the Consultants of Anesthesiology posted in the ICU.

The Committee also called Dr Anil Paswan, Associate Professor, Department of Anesthesiology to enquire about this matter because he was the Consultant on duty on 06.01.2016 . Dr Anil Paswan appeared before the Committee and informed the Committee during his verbal deposition on 06.04.2016 that he is not part of the ICU team but was the Consultant on duty in the ICU on 06.01.2016 because the regular Consultant was on leave. He acknowledged that he received a phone call from Prof D.K.Singh regarding this patient (Kamlesh Tiwari) but since no bed was available, the patient could not be admitted. When it was pointed out to him that as per the records, two beds were vacant, he was unable to offer any explanation. Subsequently, in his written deposition dated 08.04.2016 **(Annexure IX)**, he has stated that sometimes postoperative patients are kept in the ICU for observation for a few hours and no record is made of such patients & no charges are deposited. However, he has not provided any specific details. Further he submitted another letter on 15.04.2016 **(Annexure X)** wherein he has reiterated that no bed was vacant in the ICU on 06.01.2016 and he

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has also enclosed a copy of the letter of the then HOD stating that 2-4 beds may be kept vacant in the ICU for postoperative patients.

Prof. D.K. Singh was called by the Committee to get his comments about the complaint of Shri Bharat Singh ji. He has submitted a detailed reply (**Annexure XI**) stating that he had enquired from Dr Anil Paswan on 06/01/2016 who told him that no beds were vacant in the ICU and he conveyed this information to the Hon'ble MP. He also states that the records maintained by the sister ICU are done manually whereas HMIS records are also fed by the same staff nurse on duty. The register data is not reliable as it is hand written. He has further stated that there is a letter from the then Head stating that 2-4 beds should be kept vacant in the ICU for postoperative cases for the immediate postoperative care if needed. Prof L D Mishra Head Dept of Anesthesiology at the time of shifting of ICU appeared before the committee on 16.04.2016. The committee asked him about his letter dated 23.04.2015 addressed to Prof D K Singh Incharge ICU suggesting certain guidelines for running the ICU in the Recovery ward Prof Mishra told the committee and submitted a written deposition (**AnnexureXII**) stating that since the makeshift ICU was going to occupy the post operative recovery room it was felt that 2-4 beds should be kept for the post operative patients and the remaining 10-12 beds should be kept for the ICU. However later on it was found that only 10-11 beds could be accommodated as ICU beds Therefore soon after 6 + 1 bedded fully functional postoperative recovery room with with all equipment including 2 ventilators (shifted from the post op recovery room) was made functional on the 1st floor near the Emergency OT This recovery room is functioning even now with a daily intake of 10-12 patient (Record of 06.01.2016 is annexed at **Annexure XIII**) with a staff nurse and a Anesthesia Resident duly posted . The letter dated 23.04.2015 by the then HOD has not been withdrawn as confirmed by Prof P Ranjan ,present HOD (**AnnexureXIV**) who appeared before the committee on 18.04.2016. He also stated that as a Departmental policy patients from Ayurveda are not admitted directly to the ICU because there is a possibility of reaction between Ayurvedic medicines & the medicines prescribed in the ICU .Therefore the patients

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from Ayurveda are first to be admitted in Modern Medicine before they can be shifted to ICU.

Regarding the allegations of financial and other irregularities in the purchases made at the Trauma Centre when Prof D.K.Singh was OSD, Trauma Centre, the Committee obtained the CAG report from the Internal Audit Officer (**Annexure XV**). On perusal of the report, it is evident that the CAG made some objections regarding procedural irregularities amounting to Rs. 42 lakhs. The Finance Officer in consultation with the opinion of the empanelled Chartered Accountant of the University replied to the objections. However, the CAG team did not accept the reply of the University stating that "it is not in conformity with the Account Code of the University". This Committee is of the opinion that the University may appoint some other Expert Committee preferably comprising of members outside the University system who are more conversant with financial matters, rules and procedures would be able to investigate this allegation in greater detail because it will require (a) examination of the tender documents including their terms and conditions (b) examination of the funding sources to find out whether these were funds earmarked for the trauma centre or were diverted from some other sources and whether the funds were lapsable and (c) urgency and need for making these purchases in a manner which was not in accordance with the BHU Account Code. It would also be worth examining if similar equipment were procured in some other department near the time when these purchases were done for trauma centre.

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Singh

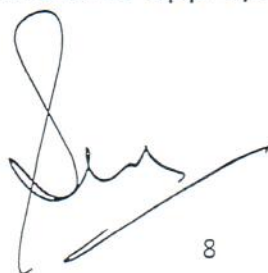
Sharma

Regarding rotation of Prof D.K.Singh as ICU Incharge, the Committee has the following observations:-

- (a) ICU is part of the Critical Care Division of the Department of Anesthesiology which is one of the five divisions in the Department created with the approval of the Executive Council
- (b) Conventionally, the Heads/Incharges of the Divisions are not rotated unlike Heads of Departments. The rotation of Heads is as per statutes of the University.
- (c) Unlike Departments, Divisions do not have statutory recognition and can be created with the approval of the Executive Council whereas for the Departments, it is mandatory to have the approval of the Visitor.

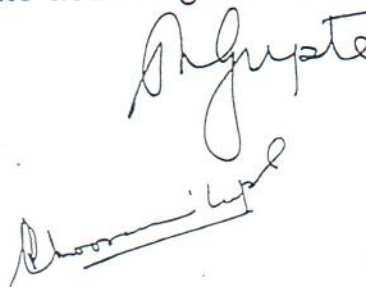
However, the Committee strongly recommends that in all Divisions/Units of the Institute of Medical Sciences and the Sir Sunderlal Hospital, a rotational policy should be formulated to ensure that these Divisions/units function in a transparent manner with better accountability, responsibility and patient friendliness rather than on the whims and fancies of a non-rotating permanent Head/Incharge.

- (c) The Committee is also in receipt of a letter addressed to the Chairman, by 6 faculty members of the Department of Anesthesiology dated 12/04/2016 including Prof D.K.Singh and Dr A.K.Paswan (**Annexure XVI**). The Committee has taken strong objection to the signatures of 4 other faculty members who were neither called by the Committee and since information about this Committee is not in the public domain, they should not be aware of the existence of this Committee. We feel that this amounts to bringing undue pressure upon the Committee. We would therefore like to request you to take cognizance of this and take appropriate action against them.



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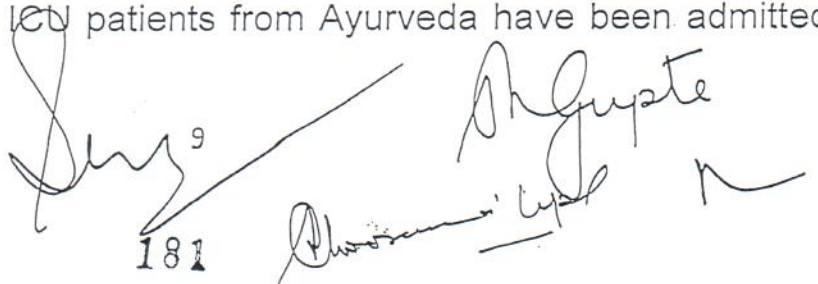
Summary:-

- 1 The patient Kamlesh Tiwari was a case of Cirrhosis liver with ascites with hepatic encephalopathy Grade IV came to the Emergency OPD on 04/01/16. He was seen by Junior Resident Medicine who regretted that no bed was vacant and referred to Gastroenterology OPD. He was seen by Gastroenterology resident and advised admission in ACU/ICU/Emergency because no bed was vacant in Gastro ward.

Patient was admitted in Ayurveda (MKC) under Prof. N.P.Rai on 05/01/2016. He was referred to ICU on 06/01/2016 at 9.30 AM. The patient was seen by ICU resident at 11.15 AM who wrote that no bed/ventilator available in ICU. The patient was discharged by Prof N.P.Rai at 1.15 PM on 06/01/2016 and referred to higher centre but the patient again went to the Emergency OPD at 1.45 pm on the same day (06/01/2016) and was again regretted by ICU.

Two beds were vacant in ICU on 06/01/2016 at 11 AM as per the records available. Yet it is unfortunate that the Consultant in ICU duty on 06.01.2016 Dr Anil Paswan happened to communicate to Dr D K Singh that all beds are full and has also stated so in verbal communication to the committee and twice in writing vide his letters dated 08.04.2016 and 15.04.2016. Based on this incorrect information this unfortunate incident occurred. This could have been avoided if Prof D.K. Singh, ICU Incharge had personally verified whether the beds were actually vacant or not.

- 2 The explanation that these beds were kept vacant for postoperative patients by both Dr Anil Paswan & Prof D.K.Singh is not borne out by facts in that on the days immediately preceding and following the date in question, all the ICU beds were occupied. Furthermore on some days 11 patients have been admitted.
- 3 That in the present ICU patients from Ayurveda have been admitted sometimes.

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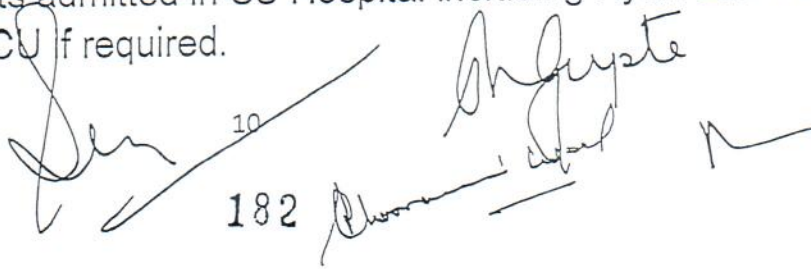
- 4 That there is no functional ICU existing in the Ayurvedic wing of the hospital at present.
- 5 Regarding the allegations of financial irregularities during tenure of Prof. D K Singh as OSD, Trauma Centre, the CAG report needs to be pursued in detail by financial experts if deemed fit.
- 6 Regarding direct admissions in ICU from private hospitals, the records do not substantiate.
- 7 Regarding rotation of ICU Incharge, Head, Division of Critical Care is not followed as per the prevailing policy of IMS, BHU.

Conclusion

The Committee concludes that Prof D.K.Singh happened to provide incorrect information to the Hon'ble MP on the following three counts (a) there were no vacant beds in ICU on 06/01/2016 (b) patients from Ayurveda are not admitted in ICU and (c) there is an ICU in Ayurveda. The Committee is of the view that the Heads/Incharges of the Divisions/Units in SS Hospital including ICU should be by rotation.

General Recommendations

1. There should be transparency in the vacant bed position in all wards including ICU and this should be accurately reflected in the HMIS system and displayed prominently at appropriate places (particularly in the casualty OPD) with the MO Incharge.
2. All critically ill patients admitted in SS Hospital including Ayurveda should be admitted in the ICU if required.

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3. The priority in ICU admissions must be given for patients admitted in our own hospital. There should be clear cut guidelines for admissions in the ICU.
4. The patients admitted in the ICU must have a clear written record as to where and how long they were being treated before admission in the ICU. This must be very strictly complied in patients who have been treated in private hospitals/other hospitals for varying lengths of time before being shifted to the ICU.
5. As per established norms our hospital being tertiary care teaching hospital should have atleast 10 percent beds allotted for critical care These should be distributed among ICU, HDU, Medicine, Surgery Pediatrics and Neonatology. This is not only in accordance with the established guidelines of Indian Society of Critical Care Medicine but also an essential requirement of the Medical Council of India (Annexure XVII) Addressing this issue will go a long way in improving the patient friendliness and the overall image of the institution thereby avoiding recurrence of such unfortunate incidents as there is no doubt that the present numbers of ICU beds are grossly inadequate

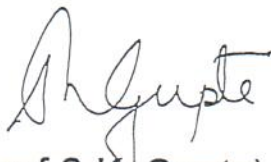
The committee wants to thank the University administration for entrusting it with this responsibility



(Prof S. Chooramani Gopal)
Chairperson



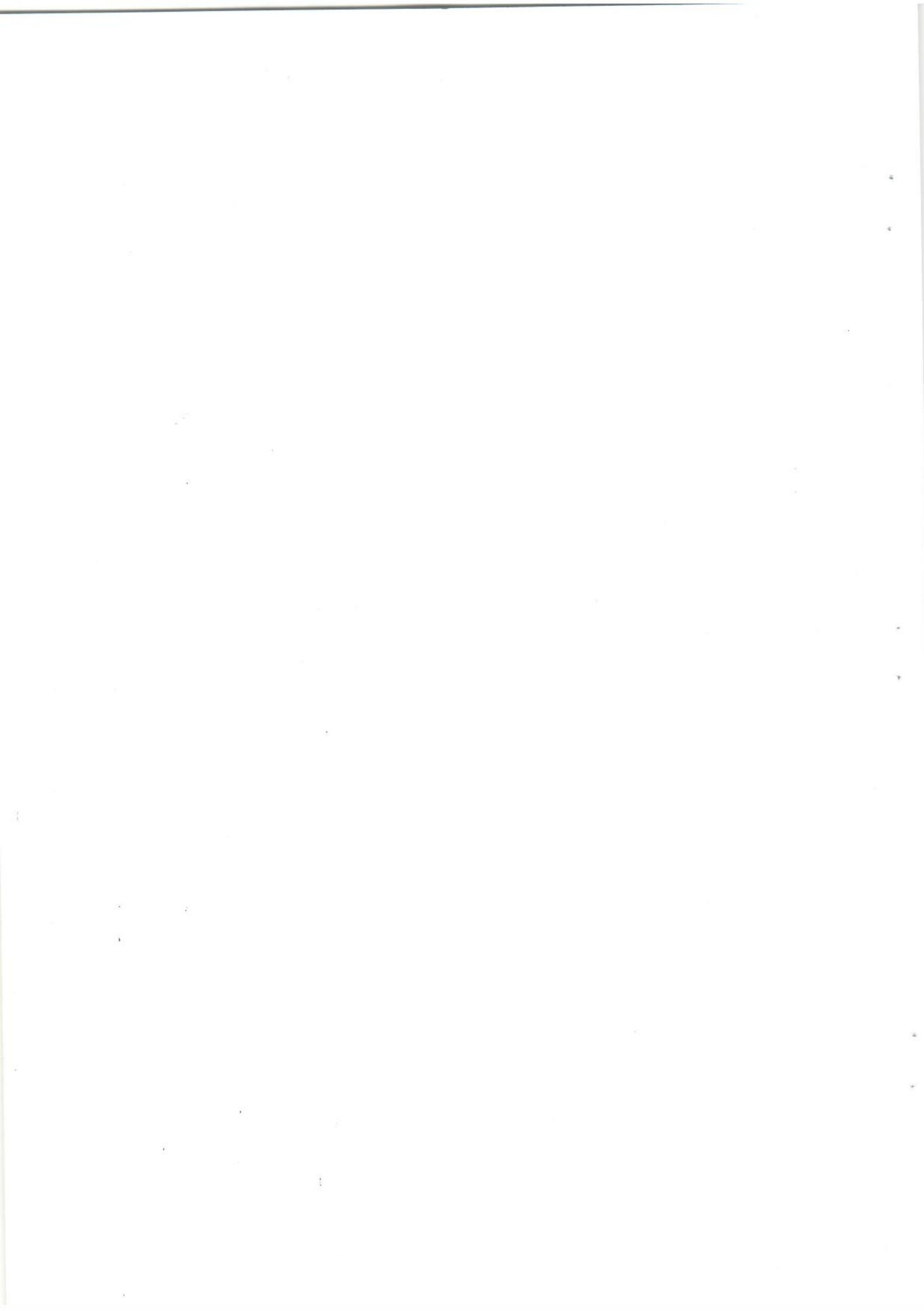
(Prof S.C. Goel)
Member



(Prof S.K. Gupta)
Member



(Dr A.K. Tiwari)
Secretary



ITEM 20

TO CONSIDER the report of Inquiry Committee constituted to enquire into the charges framed under rule 14 against Prof. Vinay Kumar Singh, Department of Hindi, Faculty of Arts, BHU

Note:

Prof. Vinay Kumar Singh, Department of Hindi, Faculty of Arts was placed under suspension vide Office Order No.R/V&CS/2014/1079 dated 24 November 2014 and the Charge sheet was issued to him vide Memorandum no.R/V&CS/2015/169/1235 dated 20.2.2015 on the following Article of charges:

Article 1 Allegation of financial irregularities were leveled against Dr. Vinay Kumar Singh, Department of Hindi, Faculty of Arts, BHU by the inmates of Sardar Vallabhbhai Patel Hostel during the tenure of his Administrative Wardenship of said hostel.

Article 2 Series of serious financial irregularities (in gross violation of the Accounts Rule of the University and GFR 2005) were reported in respect of purchases related to modular kitchen, Dining Table etc. made by Dr. Vinay Kumar Singh in the capacity of the Dean of Students, BHU.

A copy of memorandum No. R/V&CS/2015/169/1235 dated February 20, 2015 issued to Dr. Vinay Kumar Singh and statement of imputation of misconduct in support of each Article of charges are enclosed (**Annexure-20A**)

In terms of Rule 14(2) of CCS(CCA) Rules 1965, an Inquiry Committee was constituted and Justice Sakharam Singh Yadav,

retired Justice was appointed as Inquiry Officer for the purpose, vide Order dated 05.05.2015.

Dr. Vinay Kumar Singh remained under suspension till 7.10.2016. The Inquiry Committee on conclusion of its enquiry into the charges against Prof. Vinay Kumar Singh submitted its report on 11th June, 2016. The concluding part of the report is quoted below:

1. Charge mentioned in Article-I i.e. **Allegation of financial irregularities leveled against Dr. Vinay Kumar Singh, Department of Hindi, Faculty of Arts, BHU by the inmates of Sardar Vallabhai Patel Hostel during the tenure of his Administrative Wardenship of said Hostel is not proved**
2. Charge mentioned in first and second part of Article II (a) relating to modular kitchen in hostels and the one contained in Article II(b) relating to Maitri Jalpan Griha are proved
3. Charge mentioned in Article II (c) relating to Internet wiring is not proved.
4. Charge mentioned in Article II (d) relating to dining table with foldable chairs is proved.
5. Charge mentioned Article II(e) relating to three seater steel chair is proved.

The report of the committee is placed at the **Appendix-20B**.

In the meantime, Prof. Vinay Kumar Singh has filed writ petition no.42488/2016 at Allahabad High Court challenging the order of his suspension. The High Court has passed order on 19.9.2016 and has concluded as under:

In view of the seriousness of the charges against the petitioner which are in the realm of financial irregularities and defalcation of the accounts, we grant liberty to the Review

Committee to re-examine the matter relating to extension of the period of suspension. The aforesaid exercise shall be done by the Review Committee positively within three weeks from today. Till then the petitioner shall continue to remain under suspension. In the event, no decision is taken by the Review Committee within the period stipulated above, the suspension shall stand revoked and the petitioner would be entitled to resume his duties as Professor in the Department of Hindi.

Having regard to the fact that the Enquiry Officer has already submitted his report, it is further provided that the respondents shall conclude the disciplinary proceedings against the petitioner within a period of two months from today.

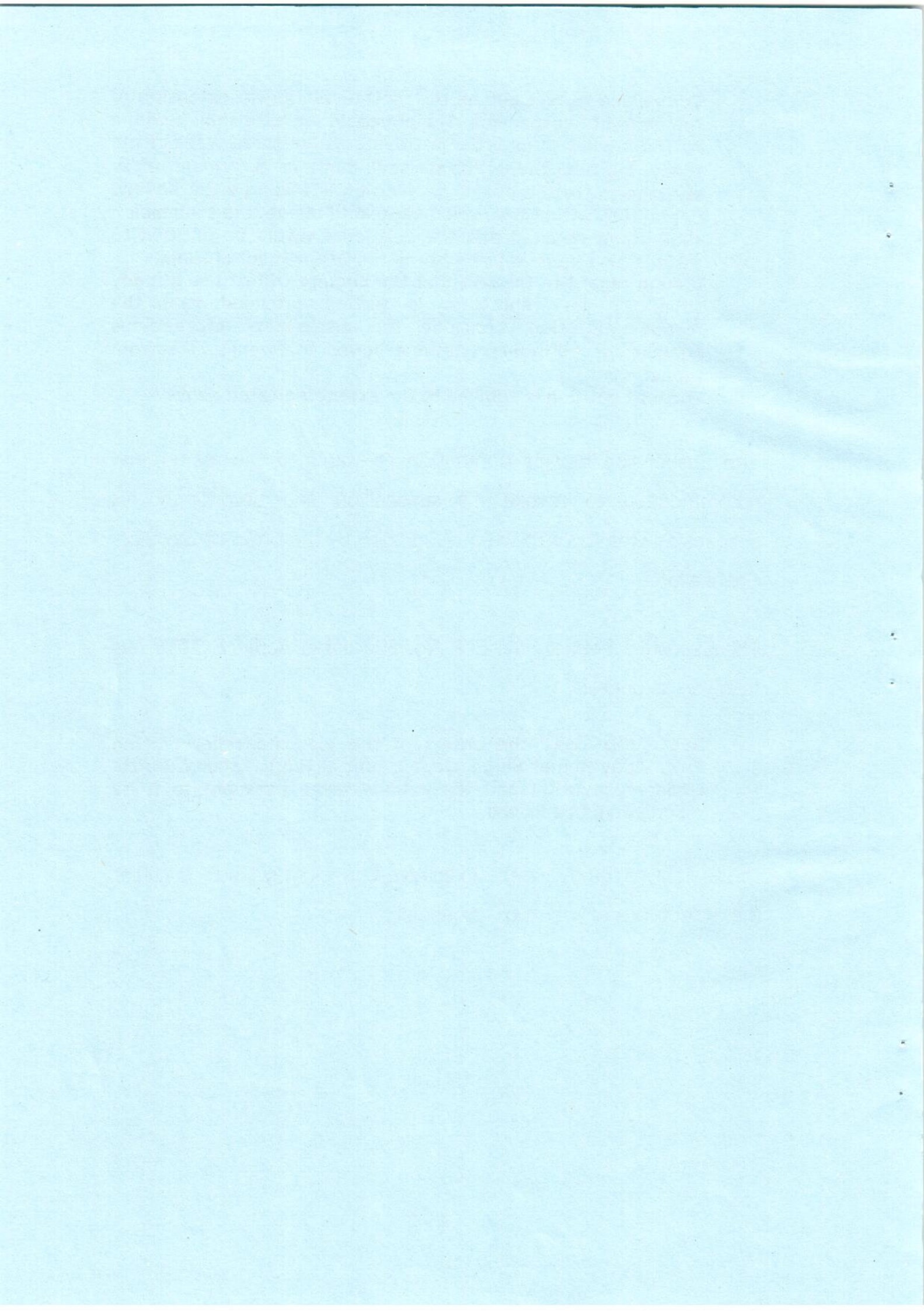
The writ petition is allowed to the extent indicated above.

The Suspension Review Committee re-examined the matter and recommended to revoke his suspension and accordingly his suspension was revoked w.e.f. 7.10.2016 by the order of the Vice-Chancellor.

The Executive Council vide ECR No. 270 dated April 21, 2015 has resolved as under:

RESOLVED THAT the orders of the Vice-chancellor placing Prof. Vinay Kumar Singh, Department of Hindi, Faculty of Arts Under suspension and the actions taken pursuant to it be recorded and approved.

In the light of the above the Executive Council may kindly consider the acceptance of report of the enquiry.





No.R/V & CS/2015/169/1235

Dated: February 20, 2015

MEMORANDUM

It is proposed to hold an enquiry against Dr. Vinay Kumar Singh, Professor, Department of Hindi, Faculty of Arts, BHU under Rule 14 of the Central Civil Services (Classification, Control and Appeal) Rules, 1965, as adopted by the University. The substance of the imputation of misconduct in respect of which the inquiry is proposed to be held is set out in the enclosed statements of article of charge (Annexure-I). A statement of imputation of misconduct in support of each article of charge is enclosed (Annexure-II). A list of documents by which and a list of witnesses by whom the article of charge are proposed to be sustained are also enclosed (Annexure III and IV).

2. Dr. Vinay Kumar Singh is directed to submit within ten days of the receipt of this Memorandum a written statement of his defence and also to state whether he desires to be heard in person.
3. He is informed that an inquiry will be held only in respect of those articles of charge as are not admitted. He should, therefore, specifically admit or deny each article of charge.
4. Dr. Vinay Kumar Singh is further informed that if he does not submit his written statement of defence on or before the date specified in Para. 2 above, or does not appear in person before the Inquiring Authorities or otherwise fails or refuses to comply with the provisions of Rule 14 of the Central Civil Services (Classification, Control and Appeal) Rules, 1965, as adopted by the University or the orders/directions issued in pursuance of the said Rule, the Inquiring Authority may hold the inquiry against him *ex parte*.
5. Attention of Dr. Vinay Kumar Singh is invited to Rule 20 of the Central Civil Services (Conduct) Rules, 1964, as adopted by the University, under which no government servant shall bring or attempt to bring any political or outside influence to bear upon any superior authority to further his interests in respect of matters pertaining to his service under University. If any representation is received on his behalf from another person in respect of any matter dealt with in these proceedings, it will be presumed that Dr. Vinay Kumar Singh is aware of such a representation and that it has been made at this instance and action will be taken against him for violation of Rule 20 of the Central Civil Services (Conduct) Rules, 1964.
6. The receipt of this Memorandum may be acknowledged.

Encl: As above.



REGISTRAR

Dr. Vinay Kumar Singh
(Under Suspension)
Department of Hindi, Faculty of Arts,
Qtr. No. GF/16, Old Medical Enclave
Banaras Hindu University.

o/c

ANNEXURE - I

Statement of articles of charge framed against Dr. Vinay Kumar Singh, Professor
(under suspension), Department of Hindi, Faculty of Arts, BHU

Article-I

Allegation of financial irregularities were leveled against Dr. Vinay Kumar Singh, Deptt. of Hindi, Faculty of Arts, BHU by the inmates of Sardar Vallabhai Patel Hostel during the tenure of his Administrative Wardenship of said Hostel.

Article-II

Series of serious financial irregularities (in gross violation of the Accounts Rule of the University and GFR 2005) were reported in respect of purchases related to modular kitchen, dining table etc. made by Dr. Vinay Kumar Singh in the capacity of Dean of Students, BHU.

By the above acts, Dr. Vinay Kumar Singh aforesaid has failed to maintain absolute integrity, shown lack of devotion to duty and behaved in a manner unbecoming of a university employee and thereby violated Rules 3(1) (i) (ii) and (iii) of CCS (Conduct) Rules, 1964.

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ANNEXURE - II

Statement of Imputation of Misconduct in support of the articles of charge framed against Dr. Vinay Kumar Singh, Professor (under suspension), Department of Hindi, Faculty of Arts, BHU

Article-I

Some inmates of Sardar Vallabhai Patel Hostel in their joint representation dated 13-04-2012 alleged that Dr. Vinay Kumar Singh in his capacity as Administrative Warden of the aforesaid hostel received Rupees One Thousand as service charges in respect of mess from each student of the hostel. No receipt was given to the students for this amount. The total service charge collected from the students in lieu of mess service charges was Rs. One Lac. However, only Rupees Thirty Four Thousand was given to Mess *Maharaj* and the remaining amount was kept by Dr. Vinay Kumar Singh. They further alleged that no bank account of the hostel was opened.

The representation also informed that the service charge taken from the students was for ten months period but the mess functioned for a period of six months only. The remaining service charges was not refunded to the students by Dr. Vinay Kumar Singh.

It was also reported that many of the students were not issued 'No dues certificate' from the Hostel Administration though there were no dues against them.

Article-II

- (a) Dr. Vinay Kumar Singh while functioning as Dean of Students had taken approval directly from the Vice-Chancellor vide note dated 25th January, 2013 for modernization and renovation of hostel kitchens i.e. setting up of modular kitchen in the hostels of the University showing exigencies of work. Further permission for the above work was taken to complete the work on Limited tender basis instead of open tender on the plea of saving money as well as time.

It was also noted that while taking approval from the Vice-Chancellor, the total estimated cost of the said work was indicated as Rs. 5 crores. However, the final cost of the installation of modular kitchen amounted to about Rs. 7.32 crores which breaches the basic principal of flexibility of 10% of the total estimated cost.

Further, as per the University rules and practice, order registration is required in the Finance Office before award of any order, however this set practice was violated by placing order for modular kitchen without registration.

Rule 150 of the GFR 2005, as amended from time to time, provides that Advertised Tender Enquiry is essential for procurement of goods of said estimated value, however, subject to exceptions incorporated under Rules 151 and 154.

Rule 151 lays down the circumstances under which purchase through Limited Tender Enquiry may be adopted even where the estimated value of the

procurement is more than the limits prescribed for the Limited tender enquiry procedure. The conditions laid therein which should be satisfied for the said exception are as follows:

"(a) The competent authority in the Ministry or Department certifies that the demand is urgent and any additional expenditure involved by not procuring through advertised tender enquiry is justified in view of urgency. The Ministry or Department should also put on record the nature of the urgency and reasons why the procurement could not be anticipated.

(b) There are sufficient reasons, to be recorded in writing by the competent authority, indicating that it will not be in public interest to procure the goods through advertised tender enquiry.

(c) The sources of supply are definitely known and possibility of fresh source(s) beyond those being tapped, is remote.

(iii) Sufficient time should be allowed for submission of bids in Limited Tender Enquiry cases."

Further, the order was placed on the firm by bye-passing the requirement of order registration in Finance. This is in violation of the Account Rules 2.4.1 of the University regarding delegation of Power and Financial limit.

It is indicative from the file records that none of the aforesaid conditions for adopting limited tender enquiry procedure in place of open tender were satisfied in case of procurement of modular kitchens. No justification for selecting the five firms finalized for quoting the rates through limited tender is available on record. Procurement was done in haste and in gross violation of the fundamental principles of purchase of goods (Rule 137), which requires that every authority delegated with the financial powers of procuring goods in public interest shall have the responsibility and accountability to bring efficiency, economy, transparency in matters relating to public procurement and for fair and equitable treatment of suppliers and promotion of competition in public procurement. Furthermore, despite the plea of exigency of work, modular kitchens were not installed in many hostels even after one and half years.

(b) It was reported that during the setting up of modular kitchen facility in the Maitri Jalpan Griha, the work was awarded to the M/s Mukund Equipment & Services Pvt. Ltd., Varanasi without taking prior administrative as well as financial sanction of the Competent Authority. Dr. Vinay Kumar Singh, in his capacity as Dean of Students had taken the approval directly from the Vice-Chancellor for an amount of Rs. 15.31 lakhs vide his note dated 25th March, 2014 wherein it was mentioned that the aforesaid work was already completed. The said proposal of Dr. Vinay Kumar Singh was a clear violation of financial rules which was supposed to be done by inviting open/e-tender and fair competition.

The order of modular kitchen for the Hostels [as narrated at para b above] was extended to Maitri Jalpan Griha on higher rates (tantamounting to single tender order) without any prior administrative and financial sanction of the competent authority and by bye-passing the requirement of order registration in Finance. This is in violation of the Account Rules 1.5 of the University and Rule 154 of General Financial Rule.

It is indicative from the file records that under the garb of urgency procurement was done in haste and in gross violation of the fundamental principles of purchase of goods (Rule 137), which requires that every authority delegated with the financial powers of procuring goods in public interest shall have the responsibility and accountability to bring efficiency, economy, transparency in matters relating to public procurement and for fair and equitable treatment of suppliers and promotion of competition in public procurement.

- (c) Dr. Vinay Kumar Singh in his capacity as Dean of Students had directly taken approval of the Vice-Chancellor for repair and maintenance of Internet connection to the hostels vide his note dated 18th January, 2014 proposing name of two firms. However, the said work was of specialized nature and should have been allotted to the reputed firms having technical expertise and capabilities with work experience after the evaluation of duly constituted technical evaluation committee. Even after objection raised by the Coordinator, Computer Center, the Finance Officer and the Internal Audit Officer; related with the procedural and technical flaw, the work was carried out and bills were passed.

Vide notification dated 17.06.2014 duly issued by the Dy. Registrar (General Administration) the order of the Vice-chancellor was communicated to get the work of wi-fi done in various Hostel under monitoring and quality control of the computer centre. However notings in the file indicates that computer centre was not consulted at any stage of work. Thus he has clearly violated written order of the competent authority and committed insubordination in addition to violation of fundamental principles of buying.

- (d) It was also reported that Dr. Vinay Kumar Singh in his capacity as Dean of Students directly took approval from the Vice-Chancellor for procurement of steel dining tables with foldable stool for an amount of Rs. 20.50 lakh vide his note dated 20th May, 2014. Prior to that, another approval of the Vice-Chancellor was also taken directly by him for sanction of an amount of Rs. 20.50 lakh for procurement of 100 sets of steel dining table with foldable stools. Purchase order in each case was placed to single firm violating the principal of inviting tenders even in the limited tender system.

Rule 154 of GFR 2005 provides the circumstances of Single Tender Enquiry as follows:

"(i) It is in the knowledge of the user department that only a particular firm is the manufacturer of the required goods.

(ii) In a case of emergency, the required goods are

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necessarily to be purchased from a particular source and the reason for such decision is to be recorded and approval of competent authority obtained.

(iii) For standardisation of machinery or spare parts to be compatible to the existing sets of equipment (on the advice of a competent technical expert and approved by the competent authority), the required item is to be purchased only from a selected firm."

It is indicative from the file records that none of the aforesaid conditions for adopting single tender enquiry procedure in place of limited tender were satisfied in case of procurement of steel dining table and foldable stools on single tender basis. Procurement was done in haste and in gross violation of the aforesaid rule and fundamental principles of purchase of goods (Rule 137), which requires that every authority delegated with the financial powers of procuring goods in public interest shall have the responsibility and accountability to bring efficiency, economy, transparency in matters relating to public procurement and for fair and equitable treatment of suppliers and promotion of competition in public procurement

- (e) Dr. Vinay Kumar Singh in his capacity as Dean of Students had taken approval directly from the Vice-Chancellor for procurement of 400 three seater steel chair through spot purchase committee citing urgency of requirement. Therefore purchase was to be completed in a limited span of time, however it was noted that even after an expiry of six months the said procurement was not finalized. This is indicative of malafide and seeking approval by providing false information.

As per Rule 146 of the GFR 2005, as amended from time to time, purchase of goods costing above Rs. 15,000/- (Rupees Fifteen Thousand) only and upto Rs. 1,00,000/- (Rupees One lakh) only on each occasion may be made on the recommendations of a duly constituted Local Purchase Committee consisting of three members of an appropriate level as decided by the Head of the Department and would certify that the members of the purchase committee are jointly and individually satisfied that the goods recommended for purchase are of the requisite specification and quality, priced at the prevailing market rate and the supplier recommended is reliable and competent to supply the goods in question.

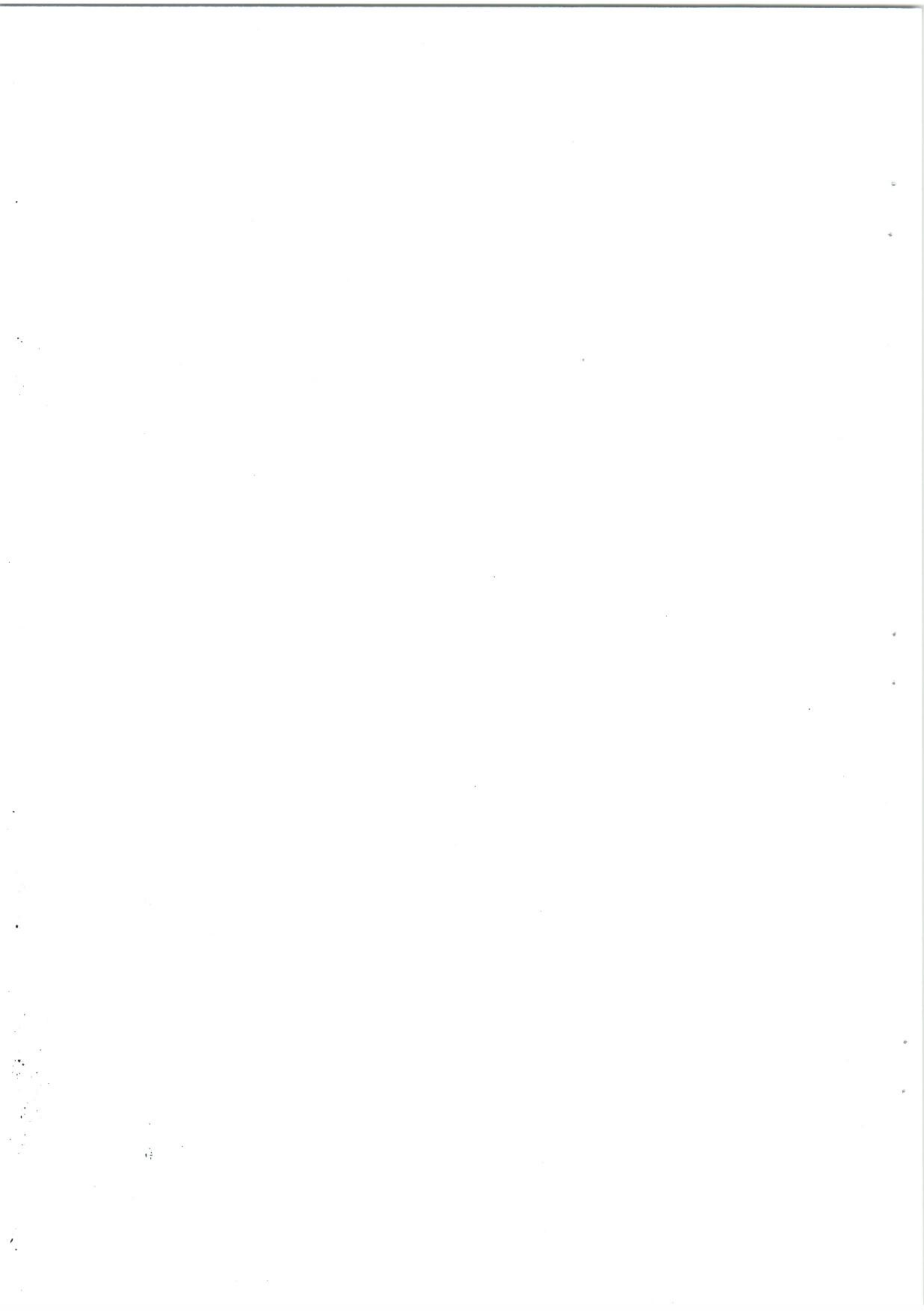
Under the garb of urgency, reasons for which are not available on records, the said provision has been violated in the purchase related to 400 three seater steel chair. He had also misled the competent authority by not mentioning detailed reasons for urgency.

By the above acts, Dr. Vinay Kumar Singh aforesaid has failed to maintain absolute integrity, shown lack of devotion to duty and behaved in a manner unbecoming of a university employee and thereby violated Rules 3(1) (i) (ii) and (iii) of CCS (Conduct) Rules, 1964.

ANNEXURE - III

List of documents by which the articles of charge framed against Dr. Vinay Kumar Singh, Professor (under suspension), Department of Hindi, Faculty of Arts, BHU are proposed to be sustained

- 1) Representation dated 13.04.2012 from inmates of Sardar Vallabhbhai Patel Hostel.
- 2) Office noted dated 25th January, 2013 of the Dean of Students.
- 3) Comparative statement dated 22.03.2013 for kitchen equipment for old Hostel Mess, marked as Annexure-1 (2 pages)
- 4) Comparative statement dated 22.03.2013 for kitchen equipment for New Hostel Mess, marked as Annexure-2 (3 pages)
- 5) Resolution of the DPC dated 22.03.2013.
- 6) Letter dated 18.11.2013 of the Dy.Registrar (Trade Bill), BHU.
- 7) Letter dated 15/17.04.2014 of the AR(Special Fund).
- 8) Office Note No. DS/Maitri/2013-14/2097 dated March 25, 2014.
- 9) Office Note dated 29.05.2014.
- 10) Office Note dated January 18, 2014 of the Dean of Students.
- 11) Office Note dated 05.03.2014 of the Internal Audit Officer.
- 12) Office Note dated 06.03.2014 of the Finance Officer.
- 13) Office Note dated 28.02.2014 of the Dy.Registrar/Finance Officer.
- 14) Office Note dated May 20, 2014 of the Dean of Students.
- 15) Office Note dated February 1, 2014 of the Dean of Students and noting of the Finance Section, thereon (2 pages both side).
- 16) Audit Objection sheet (9 pages both side)



Inquiry Report by Justice (Retd.) Sakha Ram Singh Yadav in the matter of Dr. Vinay Kumar Singh, Professor, Department of Hindi, Faculty of Arts, Banaras Hindu University.



Prof. (Dr.) Vinay Kumar Singh of the Department of Hindi, Faculty of Arts, Banaras Hindu University was served with the Charge Memo No.R/V & CS/ 2015/ 169/ 1235 dated 20.02.2015 under Rule 14 of the Central Civil Services (Classification, Control and Appeal) Rules, 1965 as adopted by the University.

The statement of imputation of misconduct in respect of which the inquiry was proposed to be held is set out in the Statement of Articles of charge contained in Annexure-I and Annexure-II to the charge memo. The list of documents by whom articles of charge are proposed to be sustained are also enclosed to the charge memo as Annexure-III and IV respectively.

- Statement of Articles of charge framed against Prof. (Dr.) Vinay Kumar Singh (hereinafter referred to as "the Charged Officer") as mentioned in Annexure-I of the charge memo are mentioned below:-

"Article-I

Allegation of financial irregularities were leveled against Dr. Vinay Kumar Singh, Deptt. of Hindi, Faculty of Arts, BHU by the inmates of Sardar Vallabbhai Patel Hostel during the tenure of his Administrative Wardenship of said Hostel.

Article-II

Series of serious financial irregularities (in gross violation of the Accounts Rule of the University and GFR 2005) were reported in respect of purchases related to modular kitchen, dining table etc. made by Dr. Vinay Kumar Singh in the capacity of Dean of Students, BHU.

By the above acts, Dr. Vinay Kumar Singh aforesaid has failed to maintain absolute integrity, shown lack of devotion to duty and behaved in a manner unbecoming of a university employee and thereby violated Rule 3(1) (i) (ii) and (iii) of CCS (Conduct) Rules, 1964."

3. Statement of imputation of misconduct in support of Article-I of charge as mentioned in Annexure-II to the charge memo reads as under:-

“Article-I

Some inmates of Sardar Vallabhai Patel Hostel in their joint representation dated 13.04.2012 alleged that Dr. Vinay Kumar Singh in his capacity as Administrative Warden of the aforesaid hostel received Rupees One Thousand as service charges in respect of mess from each student of the hostel. No receipt was given to the students for this amount. The total service charge collected from the students in lieu of mess service charges was Rs. One Lac. However, only Rupees Thirty Four Thousand was given to Mess Maharaj and the remaining amount was kept by Dr. Vinay Kumar Singh. They further alleged that no bank account of the hostel was opened.

The representation also informed that the service charge taken from the students was for ten months period but the mess functioned for a period of six months only. The remaining service charges were not refunded to the students by Dr. Vinay Kumar Singh.

It was also reported that many of the students were not issued ‘No dues certificate’ from the Hostel Administration though there were no dues against them.”

4. The Charged Officer has denied the charges leveled against him and pleaded not guilty of any of the charges. In support of the charge mentioned in Article-I, the Presenting Officer has examined Prof. Chandrakala Padia, formerly Dean, Faculty of Social Sciences, BHU, presently Vice Chancellor, Maharaja Ganga Singh University, Bikaner, Rajasthan who had earlier conducted an inquiry regarding irregularity referred to in Article-I as per orders of the then Vice Chancellor, Prof. Lalji Singh. After completion of the inquiry, during which students and Mess Maharaj were examined, she had submitted inquiry

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report to the Vice Chancellor. According to her, the students during the course of inquiry conducted by her, had stated that a sum of Rs.1,000/- was charged from each student as mess charge but no receipt was issued in lieu of payment of Rs.1,000/- as mess charge. It is not clear from her statement as to whether the sum of Rs.1,000/- was charged from each student by the Charged Officer. The said inquiry report dated 18.06.2012 is set out as under:-

“At the outset, let me thank you for entrusting me with the responsibility of looking into the complaints by the students residing in Sardar Vallabh Bhai Patel Hostel against the hostel administration. In this connection, I seek to humbly inform you that I have thoroughly investigated the matter and have had many rounds of talks with the students, present hostel warden, hostel mess incharge, and with his team. I have also tried to check the available documents. On the above investigative efforts, I have the following submissions:

1. *Till this day, no bank account of the hostel has been opened in any of the banks situated on campus. All the transactions were done by the hostel warden at the personal level, which is against the University rules. The same was confirmed upon inquiring with the present hostel warden, Dr. Abhimanyu Singh.*
2. *The most shocking revelation was that the students were given no receipt for the service charge of Rs. One thousand taken from each student. This is totally against the University norms.*
3. *Mr. Patiraj, the mess incharge, was not being paid service charges regularly, whereas the service charges from the students were taken at the beginning of the session only with the condition that none of the students would be allowed occupancy in the hostel without paying the service charges. The service charge was taken from about 102 students which comes to about one lac*

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rupees. But the total service charge given to two mess maharajas was rupees fifteen thousand (to Mr. Patiraj) plus rupees nineteen thousand (to Mr. Kallu), coming to a total of thirty four thousand rupees. The remaining sixty six thousand rupees are still with the hostel warden.

4. The service charge taken from the students was for ten months but the mess functioned only for a period of six months. The remaining service charges for the four months have not yet been returned to the students by the administrative warden. It is noteworthy that in a similar case of Birla C Hotel, the remaining service charges have been refunded to the students.
5. There was a purchase of one TV and computer printer for the hostel, which were being used by the then administrative warden, Dr. Vinay Singh. When the inmates of the hostel made a complaint to this effect to the then Dean of Students, with its copy to the Vice Chancellor, both the things were sent back by Dr. Singh to the hostel.
6. On the request of the students, the hostel warden constituted a committee of five students for running the mess. But, he did not delegate any of the financial power to this committee, nor did he provide them with any account of the past expenditure. Hence, this committee could not function and the same old system continued in effect.
7. Many of the students have not been issued 'No dues certificate', inspite of the fact that there were no dues against them; while these students have made repeated requests for the same.

All the statements duly signed by the students and mess in charge have been enclosed with this letter for your kind perusal. The above

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information is submitted to you on the basis of the records that have been presented to me and the documents made available to me during the process of inquiry by the parties concerned.

I am sorry for the delay in submitting the report as I have had twelve rounds of talks with the inmates, in order to ensure the authenticity of the information provided."

5. One Satya Prakash Pal, a student who was working as Mess Manager at the relevant time was examined by the Charged Officer. In his statement recorded before me, the witness has stated that, "As a Mess Manager, I used to collect the mess money from the students directly. It is wrong to say that the Charged Officer used to collect mess money or that the students used to deposit mess money to the Charged Officer. As Mess Manager, I had issued No Dues Certificate to all the students. Concerned students had also signed on receipt of money by them." In the inquiry report submitted by Prof. Chandrakala Padia there is nothing to indicate that Rs.1.000/- was realized received as mess money by the Charged Officer. That apart, Prof. Chandrakala Padia who had earlier conducted the enquiry had not examined the Charged Officer nor was he given any opportunity to have his say in the matter and the statements, if any, recorded by her during the course of enquiry were, in fact, recorded behind the back of the Charged Officer. Further, in her statement recorded during the course of inquiry, she has stated that, "As far I remember, the Vice-Chancellor had closed the matter." In the facts and circumstances of the case, therefore, I am of the considered view that charge-I leveled against the Charged Officer is not proved. In any case there are no valid grounds to reopen the matter.
6. So far as charge under Article II is concerned, it relates to financial irregularities in gross violation of the Accounts Rules of the University and those of GFR, 2005 in respect of purchase related to modular kitchen, dining table etc. Breach of the Rules, it is alleged, amounted to failure to maintain absolute integrity and lack of devotion to duty within the meaning of Rule

3(1)(i), (ii) and (iii) of the CCS (Conduct) Rules, 1964. Statement of imputation of misconduct of financial irregularities in support of charge under Article II is contained in Annexure II to the charge memo under clauses (a), (b), (c), (d) and (e) reads as under:-

“Article-II

- (a) *Dr. Vinay Kumar Singh while functioning as Dean of Students had taken approval directly from the Vice-Chancellor vide note dated 25th January, 2013 for modernization and renovation of hostel kitchens i.e. setting up of modular kitchen in the hostels of the University showing exigencies of work. Further permission for the above work was taken to complete the work on Limited tender basis instead of open tender on the plea of saving money as well as time.*

It was also noted that while taking approval from the Vice-Chancellor, the total estimated cost of the said work was indicated as Rs.5 crores. However, the final cost of the installation of modular kitchen amounted to about Rs.7.32 crores which breaches the basic principal of flexibility of 10% of the total estimated cost.

Further, as per the University rules and practice, order registration is required in the Finance Office before award of any order, however this set practice was violated by placing order for modular kitchen without registration.

Rule 150 of the GFR 2005, as amended from time to time, provides that Advertised Tender Enquiry is essential for procurement of goods of said estimated value, however, subject to exceptions incorporated under Rules 151 and 154.

Rule 151 lays down the circumstances under which purchase through Limited Tender Enquiry may be adopted even where the estimated value of the procurement is more than the limits prescribed for the Limited

tender enquiry procedure. The conditions laid therein which should be satisfied for the said exception are as follows:

"(a) The competent authority in the Ministry of Department certifies that the demand is urgent and any additional expenditure involved by not procuring through advertised tender enquiry is justified in view of urgency. The Ministry or Department should also put on record the nature of the urgency and reasons why the procurement could not be anticipated.

(b) There are sufficient reasons, to be recorded in writing by the competent authority, indicating that it will not be in public interest to procure the goods through advertised tender enquiry.

(c) The sources of supply are definitely known and possibility of fresh source(s) beyond those being tapped, is remote.

(iii) Sufficient time should be allowed for submission of bids in Limited Tender Enquiry cases."

Further, the order was placed on the firm by bypassing the requirement of order registration in Finance. This is in violation of the Account Rules 2.4.1 of the University regarding delegation of Power and Financial limit.

It is indicative from the file records that none of the aforesaid conditions for adopting limited tender enquiry procedure in place of open tender were satisfied in case of procurement of modular kitchens. No justification for selecting the five firms finalized for quoting the rates through limited tender is available on record. Procurement was done in haste and in gross violation of the fundamental principles of purchase of goods (Rule. 137), which requires that every authority delegated with the financial powers of procuring goods in public interest shall have the

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responsibility and accountability to bring efficiency, economy, transparency in matters relating to public procurement and for fair and equitable treatment of suppliers and promotion of competition in public procurement. Furthermore, despite the plea of exigency of work, modular kitchens were not installed in many hostels even after one and half years.

- (b) It was reported that during the setting up modular kitchen facility in the Maitri Jalpan Griha, the work was awarded to the M/s. Mukund Equipment & Services Pvt. Ltd., Varanasi without taking prior administrative as well as financial sanction of the Competent Authority. Dr. Vinay Kumar Singh, in his capacity as Dean of Students had taken the approval directly from the Vice-Chancellor for an amount of Rs.15.31 lakhs vide his note dated 25th March, 2014 wherein it was mentioned that the aforesaid work was already completed. The said proposal of Dr. Vinay Kumar Singh was a clear violation of financial rules which was supposed to be done by inviting open/ e-tender and fair competition.

The order of modular kitchen for the Hostels [as narrated at para b above] was extended to Maitri Jalpan Griha on higher rates (tantamounting to single tender order) without any prior administrative and financial sanction of the competent authority and by bye-passing the requirement of order registration in Finance. This is in violation of the Account Rules 1.5 of the University and Rule 154 of General Financial Rules.

It is indicative from the file records that under the garb of urgency procurement was done in haste and in gross violation of the fundamental principles of purchase of goods (Rules 137); which requires that every authority delegated with the financial powers of procuring goods in public interest shall have the responsibility and

accountability to bring efficiency, economy, transparency in matters relating to public procurement and for fair and equitable treatment of suppliers and promotion of competition in public procurement.

- (c) Dr. Vinay Kumar Singh in his capacity as Dean of Students had directly taken approval of the Vice-Chancellor for repair and maintenance of Internet connection to the hostels vide his note dated 18th January, 2014 proposing name of two firms. However, the said work was of specialized nature and should have been allotted to the reputed firms having technical expertise and capabilities with work experience after the valuation of duly constituted technical evaluation committee. Even after objection raised by the Coordinator, computer Center, the Finance Officer and the Internal Audit Officer, related with the procedural and technical flaw, the work was carried out and bills were passed.

Vide notification dated 17.06.2014 duly issued by the Dy. Registrar (General Administration) the order of the Vice-chancellor was communicated to get the work of wi-fi done in various Hostel under monitoring and equality control of the computer centre. However notings in the file indicates that computer center was not consulted at any stage of work. Thus he has clearly violated written order of the competent authority and committed insubordination in addition to violation of fundamental principles of buying.

- (d) It was also reported that Dr. Vinay Kumar Singh in his capacity as Dean of Students directly took approval from the Vice-Chancellor for procurement of steel dining tables with foldable stool for an amount of Rs.20.50 lakh vide his note dated 20th May, 2014. Prior to that, another approval of the Vice-Chancellor was also taken directly by him for sanction of an amount of Rs.20.50 lakh for procurement of 100 sets of steel dining table with foldable stools. Purchase order in each case was



placed to single firm violating the principle of inviting tenders even in the limited tender system.

Rule 154 of GFR 2005 provides the circumstances of Single Tender Enquiry as follows:

- "(i) It is in the knowledge of the user department that only a particular firm is the manufacturer of the required goods.
- (ii) In a case of emergency, the required goods are necessarily to be purchased from a particular source and the reason for such decision is to be recorded and approval of competent authority obtained.
- (iii) For standardization of machinery or spare parts to be compatible to the existing sets of equipment (on the advice of a competent technical expert and approved by the competent authority), the required item is to be purchased only from a selected firm."

It is indicative from the file records that none of the aforesaid conditions for adopting single tender enquiry procedure in place of limited tender was satisfied in case of procurement of steel dining table and foldable stools on single tender basis. Procurement was done in haste and in gross violation of the aforesaid rule and fundamental principles of purchase of goods (Rule 137), which requires that every authority delegated with the financial powers of procuring goods in public interest shall have the responsibility and accountability to bring efficiency, economy, transparency in matters relating to public procurement and for fair and equitable treatment of suppliers and promotion of competition in public procurement.



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- (e) *Dr. Vinay Kumar Singh in his capacity as Dean of Students had taken approval directly from the Vice-Chancellor for procurement of 400 three seater steel chair through spot purchase committee citing urgency of requirement. Therefore purchase was to be completed in a limited span of time, however it was noted that even after an expiry of six months the said procurement was not finalized. This is indicative of malafide and seeking approval by providing false information.*

As per Rule 146 of the GFR 2005, as amended from time to time, purchase of goods costing above Rs.15,000/- (Rupees Fifteen Thousand) only and upto Rs.1,00,000/- (Rupees One lakh) only on each occasion may be made on the recommendations of a duly constituted Local Purchase Committee consisting of three members of an appropriate level as decided by the Head of the Department and would certify that the members of the purchase committee are jointly and individually satisfied that the goods recommended for purchase are of the requisite specification and quality, priced at the prevailing market rate and the supplier recommended is reliable and competent to supply the goods in question.

Under the grab of urgency, reasons for which are not available on records, the said provision has been violated in the purchase related to 400 three seater steel chair. He had also mislead the competent authority by not mentioning detailed reasons for urgency.

By the above acts, Dr. Vinay Kumar Singh aforesaid has failed to maintain absolute integrity, shown lack of devotion to duty and behaved in a manner unbecoming of a university employee and thereby violated Rules 3(1)(i) (ii) and (iii) of CCS (Conduct) rules, 1964."

7(i) Article-II(a) : Modular Kitchen in hostels of the University

Clause (a) of the charge under Article II refers to financial regularities relating to modernization/renovation of hostel kitchens by setting up modular kitchens in the 25 new and 125 old hostels of university. The Charged Officer is said to have “directly taken approval from the Vice Chancellor” vide note dated 25.01.2013 for doing the said work of modernization and renovation of hostel kitchens through “limited tender basis instead of open tender” on the plea of saving money as well as time. It is further alleged in clause(a) above that while taking approval from Vice Chancellor, the total estimated cost of such work was indicated in the note dated 25.01.2013 as Rs.5 crore, but as per note dated 22.03.2013, the Charged Officer got approval of the Vice Chancellor for awarding the contract for the total estimated cost of Rs.7,31,40,000/- which breaches the basic principle of flexibility of 10% of the total estimated cost. It is further more alleged that as per University Rules and Practice, “registration” of the work is required in the Finance Office before award of any work order but this practice was violated for placing order for modular kitchens without registration. All this, it is alleged, was done in breach of Rules 150, 151 and 154 of General Financial Rules, 2005, according to which advertised tender for procurement of goods is essential.

It is not in dispute that any work the cost of which is Rs.10,00,000/- or more ought to be done by inviting open tender. The gravamen of charge under clause(a) is that the approval of the Vice Chancellor for doing the work of the estimated cost of Rs.5 crores or over Rs.7 crores through limited tender and not through open tender was taken directly by submitting notes dated 25.01.2013 and 22.03.2013 to the VC without getting it routed through proper channel and that the work order was given without ‘registration’. The defence of the Charged Officer is that having regard to the necessity for completion of the work of modular kitchen during summer vacation, the notes for the work for the work being done through limited tender were submitted to the Vice Chancellor directly as per collective decision of the Purchase Committee and the Vice Chancellor approved the proposal submitted by him (Charged Officer)

for doing the work on limited tender instead of open tender; that in view of the provisions contained in Statute 8.1A (v) & (vi) of the Statutes, he could directly report to the Vice Chancellor in order to obtain latter's approval for the work being done on limited tender instead of open tender; and that 'registration' for the work was there in the office of Finance Department.

In my opinion recourse to limited tender instead of open tender does not appear to be justified. The circumstances under which the work could be done through limited tender mentioned in Rule 151 of the GFR 2005 are not satisfied in that the so called urgency pleaded by the charged officer to justify resource to limited tender was neither certified, as required under clause (a) of Rule 151 of GFR 2005, nor were sufficient reasons recorded by competent authority indicating that it would not be in public interest to get the work done through advertised tender as required by clause (b) of Rule 151 of GFR-2005. The plea of urgency is not acceptable also in view of fact that even according to the charged officer the work was to be done in phases. The charge of taking recourse to the limited tender instead of advertised tender for modernisation and renovation of hostel kitchens contained in the first part of clause (a) of Article II above is found proved.

The charge of getting approval of the Vice Chancellor for the work being done through limited tender and not through open tender by putting notes directly before the Vice Chancellor without routing the notes through the finance department is also proved in that it is violative of the principle of transparency contemplated by Rule 1.1.1 of the Handbook of Purchased Rules and Procedure (PRP) read with Rule 137 of the Financial Principle of Public Buying as well as Rule 3.1.4.3 of PRP quoted below:-

"1.1.1. Transparency, Competition, Fairness and Elimination of Arbitrariness

Purchases of University should be conducted in a transparent manner to bring competition, fairness and elimination of

arbitrariness in the system. This will enable the prospective tenders to formulate competitive tenders with confidence."

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"Rule 137. Fundamental principles of public buying:-

*...
The procedure to be followed in making public procurement must conform to the following yardsticks:-*

*...
(ii) offers should be invited following a fair, transparent and reasonable procedure;"*

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"3.1.4.3 Advertised (Open) Tender Enquiry (ATI)

Subject to exceptions incorporated under paragraph 3.1.4.2 above, invitation to tenders by advertisement should be used for procurement of goods of estimated value of Rs.10 lakhs (Rupees Ten Lakhs only) and above.

Advertisement in the form of a Tender Notice relating to ATI should be given in the Indian Trade Journal (ITJ) published by the Director General of Intelligence and Statistics, Kolkata and at least in one national daily having wide circulation. Additionally, for wider publicity, all Departments should also publish their tender notices on the BHU web site www.bhu.ac.in.

Ordinarily, the minimum time to be allowed for submission of bids should be three weeks from the date of publication of the tender notice or availability of the bidding document for sale, whichever is later."

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In this connection the statement of Dr. Ashwani Kumar, Deputy Registrar, Institute of Environment & Sustainable Development (IESD) BHU being relevant is quoted below:-

- “1. *In all the matters of the University which requires approval of the Vice-Chancellor, files are put up to the Vice-Chancellor through proper channel, involving the related officials and acquiring their comments before final approval by the Vice-Chancellor after examining all pros and cons of the proposal. Such files are received in the Office of Vice-Chancellor and are properly diarized and placed before the VC for his orders. However, in most of the cases which form a subject matter of this inquiry, orders of Vice-Chancellor were acquired by the Charged Officer by placing single page notesheets directly before him in person. Many of these notesheets contained misleading, unsubstantiated and ambiguous statements, which may have been supplemented with verbal convincing, and approvals of VC were obtained on proposals which were against rules and procedures. None of such single page notesheets were entered in the inward/ outward diary of the Vice-Chancellor's Office, and were not in the knowledge of any other functionary of the University or the VC Office prior to the approval of Vice-Chancellor. In all such cases, relaxation of some or the other rule or procedure in financial matters has been invariably sought giving some flimsy reasons.*
2. *In some cases, where the files related to the subject matters came to the VC Office through the normal process, sound orders were made by the VC for observing the correct rules and procedures. But in two of the cases, it has been found that the files were again brought to the Vice-Chancellor by hand by the charged officer and the VC was convinced to change his earlier order by the way of addition/ deletion of few words here and there, to have an effect exactly the opposite of the earlier order. Such repeat entry of files cannot be found in the inward/ outward diary of VC office.*

3. *In all other cases concerning the entire University sans the Dean of Students Office, no order has been passed by the Vice-Chancellor, during the period under consideration, flouting any rule or relaxing any procedure, especially the financial procedures or rules."*

The witness has further stated in cross-examination that, "...the normal procedures involves that the functionary puts up the proposal through the concerned officials who have a bearing on the subject and the same procedure is followed by everybody. All such note sheets which were placed by the Charged Officer before the Vice-Chancellor in matters concerning the present inquiry were for seeking some relaxation in the financial rules and procedures which in normal circumstances would have involved officials from the finance section, as it being done by all other statutory officers."

The provisions of statutes 8.1A (v) and (vi) quoted below, relied upon by the charged officer, have no application:-

"8.1 A (v) *The Dean of Students shall report to the Vice Chancellor cases of students, who require special attention or whose conduct and activities are not in the best interests of the University or who are not likely to profit by their continuance in the University.*

(vi) *The Dean of Students shall perform such other duties as may be assigned to him by the Act, the Statutes and the Ordinances or by the Vice-chancellor."*

A plain reading of the language employed in clause (v) above would indicate that it applies to cases of students who require special attention or whose conduct and activities are not in the best interest of the University or who are not likely to profit by their continuance in the University. Clause (vi) too has no application for it refers to duties that may be assigned to and performed by the Dean of Students.

The manner in which the Charged Officer directly obtained the approval of the Vice Chancellor for the work being done through limited tender without getting it routed through other concerned departments including the Finance Department would indicate that the Charged Officer failed to discharge his duties in consonance with the established practice and procedure. The failure to do so attracts Rule 3(1)(i), (ii) and (iii) of the Central Civil Services (Conduct) Rules, 1964. However, I hasten to add that there is no charge of any wrongful gain for himself against the charged officer nor is there any evidence to that effect but the procedure adopted by him for doing the work might have resulted in wrongful loss to the University. The most transparent method of awarding the contract involving more than rupees ten lacs would have been through public advertisement particularly when the estimated cost of the work was to the tune of Rs.5-7 crores. In the circumstances, therefore, awarding the contract by limited tender by itself is a misconduct it being contrary to the rules.

The decision to get the work done by limited tender was approved by the then Vice Chancellor on the note dated 25.01.2013 submitted by the charged officer under his own signature and there is nothing to indicate that the note was a collective decision of the Committee of which the charged officer was the Chairman. The Committee took the decision to award the contract to M/s. Mukund Equipment & Services Pvt. Ltd., Varanasi in their meeting dated 22.03.2013. The defence taken by the charged officer that the decision to get the work done by limited tender was a collective decision of the Committee is not acceptable. In any case, the liability is joint and severable. The charged officer being the Chairman of the Committee was primarily responsible for the things being done in accordance with the Rules and established procedure.

The record shows that initially on a note sheet dated 25.03.2013 submitted by the Charged Officer directly to the Vice Chancellor, the latter approved Rs. 5 crore for execution of the work of modular kitchen of hostels of the University on limited tender basis instead of open tender. Annexure-B



submitted by the Presenting Officer is the minutes of the meeting dated 22.03.2013 of Departmental Purchase Committee under the Chairmanship of Charged Officer. The Committee consisted of three members including a nominee of the Finance Officer. The Committee considered the quotation of five named firms and estimated the cost of the same work as Rs.7.31 Crores and the Charged Officer placed it directly to the Vice Chancellor and got his approval and awarded the contract to M/s. Mukund Equipment & Services Pvt. Ltd., Varanasi who had quoted the lowest rate as per the specification/requirement. According to the Presenting Officer an amount of Rs.7,31,49,000/- was approved by the Vice Chancellor for the work out of which an amount of Rs.69,01,200/- was released. The Five firms which were selected for the work by means of limited tender were no doubt 'registered' with the University but it is not clear, how the total estimated cost of the work was shown as Rs.7,31,49,000/-, whereas on 25.01.2013 the estimated cost was shown to Rs.5 crore only. According to the Charged Officer the actual amount for the work was only Rs.53,51,400/- as per document (Annexures 37, 47 and 56) filed by the Charged Officer. However, office record, namely the record dated 12.09.2016 duly signed by Section Officer, submitted by Presenting Officer would indicate that only a sum of Rs.47,32,170/- has been spent in the work of renovation/ modernization as against the released amount of Rs.69,01,200/-. The fact that the actual amount spent was very much less than the estimated cost will not affect the merit of the charge as framed.

So far as the 3rd part of the charge in Article-II (a) is concerned, it is in respect of the order being placed on the firm by bypassing the requirement of "registration". The record (Annexures 47-56) submitted by the Charged Officer, would indicate that "order registration", which expression means a formal checking of all documents relating to work order prior to award of the work was examined by the finance department and thereafter the order was placed to the particular firm selected on the basis of limited tender. These documents show that before actual award of contract, the proposed work was



'registered' under the signature of the Finance Officer. It was only thereafter that the work order was placed to the selected firm on lowest tender basis, as approved by Limited Tender Committee/ Purchase Committee. Therefore, the charge of lack of "registration" with the finance Office before award of work is not proved. The third part of clause (a) of Article II that the order for modular kitchen was placed without registration is thus not proved.

7(ii) Article-II(b) Matri Jalpan Grih

The gravamen of the charge under Article-II (b) as set out in Annexure-II of Statement of Imputation is also similar to that of Article-II(a) that the modular kitchen facility in Maitri Jalpan Griha was done in violation of Financial Rules according to which the work ought to have been done by inviting open/ e-tender which procedure was not followed. It is also alleged that during setting up of facility of modular kitchen in Maitri Jalpan Griha, the work was awarded to M/s. Mukund Equipment & Services Pvt. Ltd., Varanasi without taking prior administrative as well as financial approval from the competent authority and the Charged Officer in his capacity of Dean of Student had taken approval directly from the Vice Chancellor of Rs.15.31 lakh vide his note dated 25.03.2014. This, it is alleged, was in clear violation of financial rules as it was not done by inviting open tender.

It would appear from Annexure. 58, which is a letter dated 11.07.2013 of Shri V.S. Singh, Chairman, Maitri Jalpan Griha addressed to the Charged Officer/ Dean of Student, BHU requesting him to arrange as per direction of the Vice Chancellor for getting kitchen/ dining hall facilities fully equipped with modular kitchen facilities as was done in the hostel mess. The said letter was endorsed by the Charged Officer to the Section Officer for necessary action as approved by Vice Chancellor for hostel mess kitchen. The Section Officer submitted the relevant papers contained to Annexures 59-61 (the comparative statements of five firms), whereupon a joint note dated 11.07.2013 was submitted to the Vice Chancellor for administrative approval for providing

modular kitchen facilities through the firm involved for similar works in hostel. The note was approved by the then Vice Chancellor. A sum of Rs.15.31 lakh was spent as per sanction/ approval dated 04.06.2014 given by the then Vice Chancellor and sanctioned by Finance Officer on 05.06.2014, as is evidenced from Annexure-67. According to the Presenting Officer, work in Maitri Jalpan Griha was done on the pretext of “urgent procurement of Internet” in gross violation of fundamental principle of Purchase of Goods Rule, 137. In fact, proceeds the submission of Presenting Officer, there was no such urgency. On the other hand the Charged Officer submitted that the work was done in view of the agitation then resorted to by the students as stated by the Chairman, Maitri Jalpan Griha in his letter dated 11.07.2013. It is the case of Presenting Officer that normal procedure was not followed. The Charged Officer on the other hand submitted that the work was done under the order of Vice Chancellor in the background of agitation of students. However no such reason has been recorded by the competent authority for the work being done through limited tender and not through open tender. This being violative of rules already cited while discussing the charge contained in Article II (a), the charge leveled in Article-II (b) is proved.

7(iii) Article-II(c) : Internet wiring

Clause(c) of the Statement of Imputation (Annexure-II) relating to Article –II of the charge relates to computer and internet facility in hostels of the University ,states that in his capacity as Dean of Students, the Charged Officer had directly taken approval from the Vice Chancellor for repair and maintenance of Internet connection in the hostel vide his note dated 18.01.2014 preferring names of two firms. The charge is that work of specialized nature should have been done by reputed firm equipped with technical expertise. But even after objection raised by the coordinator computer sector and the finance officer and Internal Audit officer related with procedural and technical flow the work was carried out and bills were passed In support of the charge, the Presenting Officer has examined Shri P.K. Chakravarti and Prof. S. Jit. It is

alleged that vide notification dated 17.06.2014 issued by the Deputy Registrar General (Administration) the order of the then Vice Chancellor commanded to get the work of Wi-Fi in various hostel under monitoring and generating centre of the computer centre. But computer centre was not consulted. According to the Charged Officer, the work was already done in February/ March, 2014 and payment of bill was made by finance officer in February/ March, 2014, as would be evident from Annexure-96, (filed by the charged officer) which was duly signed by the then Vice Chancellor on 07.03.2014 and Finance Officer on 10.03.2014. The notification dated 17.06.2014 stood superseded by subsequent notification dated 18.08.2014, a copy of which has been annexed as Annexure-99. To the written submission 18.12.2015 filed by the charged officer. In view of the order of the VC the failure to consult the computer center by itself is not a misconduct. The charge under Article II(c) is not proved.

7(iv) Article-II(d): Dining Table with Foldable Stools

According to clause (d) of the Statement of Imputation (Annexure-II) relating Article-II of the charge, the Charged Officer is again said to have taken approval directly from the Vice Chancellor for the procurement of steel dining tables with foldable stools for amount of Rs.20.50 lakhs vide note dated 20.05.2014 and order was placed to a single firm which was violative of Rule 154 of GFR-2005. Earlier also another approval of Vice Chancellor was taken directly for sanction of Rs.20.50 lakh for procurement of 100 sets of dining table with foldable stools. It is no doubt true that amount of Rs.20.50 lakh was earlier sanctioned but the demand of further sum of Rs.20.50 for procurement of 100 dining table with foldable stool was not sanctioned in toto but only an amount of Rs.17.31 lakh was sanctioned. According to the documents on record filed by Presenting Officer, no bill for the payment of Rs.17.31 lakh was ever submitted by the Charged Officer. Money still remains in the hands of the University. It is to be noted that the Charged Officer himself wrote a note to Finance Officer that the amount approved on 20.05.2014 may be kept in abeyance (see Annex. 103, 104, 107 filed by the Charged Officer). However,

all this will not justify the breach of Rule 154 of GFR-2005. The charge in Article II(d) is thus proved.

7 (v) Article II(e): Three Seater steel chair:

The Statement of Imputation (Annexure-II) relating Article-II (e) of the charge also is that, the Charged Officer had directly taken approval from Vice Chancellor for procurement of 400 three-seater steel chairs through Spot Purchase Committee citing urgency even though the procurement was not finalized even after six months which shows that approval was sought on false information and by misleading the competent authority. It is however not in dispute that Charged Officer had only purchased 125 chairs as would be evident from Annexure 117, 118 to 133). The chairs were also distributed to different hostels as per list enclosed by Annexure-118. According to the Presenting Officer, the proposal for purchase of three seater steel chair numbering 400 was taken by the Charged Officer vide note dated 01/03.02.2014. By a subsequent letter dated 14.03.2014, a request was made for sanctioning Rs.8,76,375/- for purchase of only 125 chairs which was sanctioned vide letter dated 05.03.2014. Again vide note dated 03.06.2014, an amount of Rs.9,81,540/- was sought for purchase of 140 chairs, which was sanctioned vide letter dated 25.06.2014. It would appear that 265 three seater chairs were purchased worth 18,57,915 from M/s. Google Infosystem, Varanasi why RS. statement of Shri Ashok Kumar Singh, Assistant Audit / Account Officer recorded during inquiry on 28.11.2015. This ought not to have been done through spot purchase committee since the value exceeds rupees 10 lakhs. The fact that the sanction for funds was obtained by the charged officer vide notes upto different dates is indicative of lack of faithfulness in discharge of duty and malafide design to bypass the relevant rules requiring recourse to open tender. Internal audit report fault with the procedure adopted in purchase of three seater chairs by M/s. Google Infosystem in the following words:-

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“2. Loss of University money due to purchases of 265 nos. of 3 Seater Steel Chair valuing Rs. 18,57,915/- (Approx) not made from open tender:

Purchase of 3 seater steel chairs from M/s. Google Infosystems amounting to more than RS.10 Lacs was made through Spot Purchase, on the basis of lowest rate i.e. Rs.6,150 plus VAT 14%. Lowest rate obtained by member of DPC who was given power for Spot Purchase Committee by Hon'ble Vice-chancellor dated 1/3.02.2014.

But the Dean of students did not include Finance Officer's nominees for Spot Purchase Committee. Spot purchase committee selected supplier's name on the basis of lowest rate. Rate was not obtained from reputed manufacturing who deals with above items. Moreover E-procurement and open tenders for purchases of above items valuing nears Rs.20,00,000/- was not followed by Dean of Students.

During test check of purchase file of 3 seater steel chairs, it was revealed that M/s. Google Infosystem did not mention make of the items to be supplied and price proof of above items. Moreover warranty card was not made available to audit (suppliers quotation letter & comparative statement may be seen in Appendix-'F')


In the absences of above important records it was not possible for audit to examine price of above chairs, manufacturers name & address and price proof. In addition to above, purchases of 265 nos. of three seater chairs was made from such a supplier who does not deal with furniture/ manufacture of above items.”

According to the Charged Officer, the bills dated 20.08.2014 and 08.12.2014 for purchasing 140 chairs were not submitted by him but by his successor, Prof. M.K. Singh. The Presenting Officer, rightly submits that the orders for purchase were placed during the tenure of the Charged Officer himself as would be evident from letter dated 03.07.2014 in respect of 17 chairs for three hostels and letter dated 11.07.2014 in respect of another hostel including R.G.S.C. which is situated at Barkachha District Mirzapur 80 kms. away from BHU. The fact that the bills were submitted by the successor Dean of Student is immaterial. The charge contained in Article II(e) is thus proved.

CONCLUSIONS:

1. Charge mentioned in Article-I is not proved.
2. Charge mentioned in first and second part of Article II(a) relating to modular kitchen in hostels and the one mentioned in Article II(b) relating

15. Written brief in departmental inquiry into the charges framed against Prof. Vinay Kumar Singh submitted by Abhay Kumar Thakur, Finance Officer, BHU dated 28.11.2015.
16. Statement and Cross-examination of Shri Abhay Kumar Thakur, Finance Officer, BHU dated 29.11.2015.
17. Brief dated 17.12.2015 submitted by the Presenting Officer.
18. Written Statement dated 18.12.2015 submitted by Prof. Vinay Kumar Singh.
19. Written brief of the Presenting Officer dated 22.01.2016.
20. Written Statement dated 23.01.2016 submitted by Prof. Vinay Kumar Singh.
21. Letter dated 09.02.2016 of Assistant Registrar (Special Fund) along with its Annexure-I to XVII.
22. Note dated 12.02.2016 of S.O. (Trade Bill Section) showing the brief of sanctioned released and expenditure of the amount.
23. Supplementary Written Statement dated 27.02.2016 submitted by Prof. Vinay Kumar Singh.


 Justice (Retd.) Sakha Ram Singh Yadav
 Inquiring Authority.

23rd May, 2016

ITEM 21

TO CONSIDER AND APPROVE the orders of the Vice-Chancellor to revoke the suspension of Prof. Vinay Kumar Singh, Department of Hindi, Faculty of Arts, BHU

Note:

Prof. Vinay Kumar Singh, Department of Hindi, Faculty of Arts was placed under suspension vide Office Order No.R/V&CS/2014/1079 dated 24 November 2014 and the Charge sheet was issued to him vide Memorandum no.R/V&CS/2015/169/1235 dated 20.2.2015 as already narrated in previous agenda:

Prof. Vinay Kumar Singh has filed writ petition no.42488/2016 at Allahabad High Court challenging the order of his suspension. The High Court has passed order on 19.9.2016 and has concluded as under:

"In view of the seriousness of the charges against the petitioner which are in the realm of financial irregularities and defalcation of the accounts, we grant liberty to the Review Committee to re-examine the matter relating to extension of the period of suspension. The aforesaid exercise shall be done by the Review Committee positively within three weeks from today. Till then the petitioner shall continue to remain under suspension. In the event, no decision is taken by the Review Committee within the period stipulated above, the suspension shall stand revoked and the petitioner would be entitled to resume his duties as Professor in the Department of Hindi. Having regard to the fact that the Enquiry Officer has already submitted his report, it is further provided that the respondents shall conclude the disciplinary proceedings against the petitioner within a period of two months from today.

The writ petition is allowed to the extent indicated above".

Accordingly the Suspension Review Committee re-examined the matter relating to extension of the period of suspension of Dr. Vinay Kumar Singh and recommended to revoke his

suspension. The Vice-Chancellor on consideration of recommendation of Suspension Review Committee and in exercise of powers conferred under Rule 10(5)(C) of Central Civil Services (Classification, Control and Appeal) Rules, 1965 has ordered to revoke the suspension of Dr. Vinay Kumar Singh with immediate effect and accordingly an order no.R/V&CS/SRC/2016/445 dated 07.10.2016 revoking suspension of Dr. Vinay Kumar Singh has been issued and he has resumed his duties as Professor in the Department of Hindi, Faculty of Arts on 7.10.2016.

In the light of the above the Executive Council may kindly approve the orders of the Vice-Chancellor.

ITEM 22

To consider the release of withheld increments in respect of Dr. Ramashray Prasad Singh, Ex-Professor, Department of Geology, Institute of Science.

NOTE:

The Executive Council while considering the appeal of Dr. Ramashray Prasad Singh, to review the penalty of dismissal from service, resolved vide ECR No. 373 dated 23/04/2016 as under:

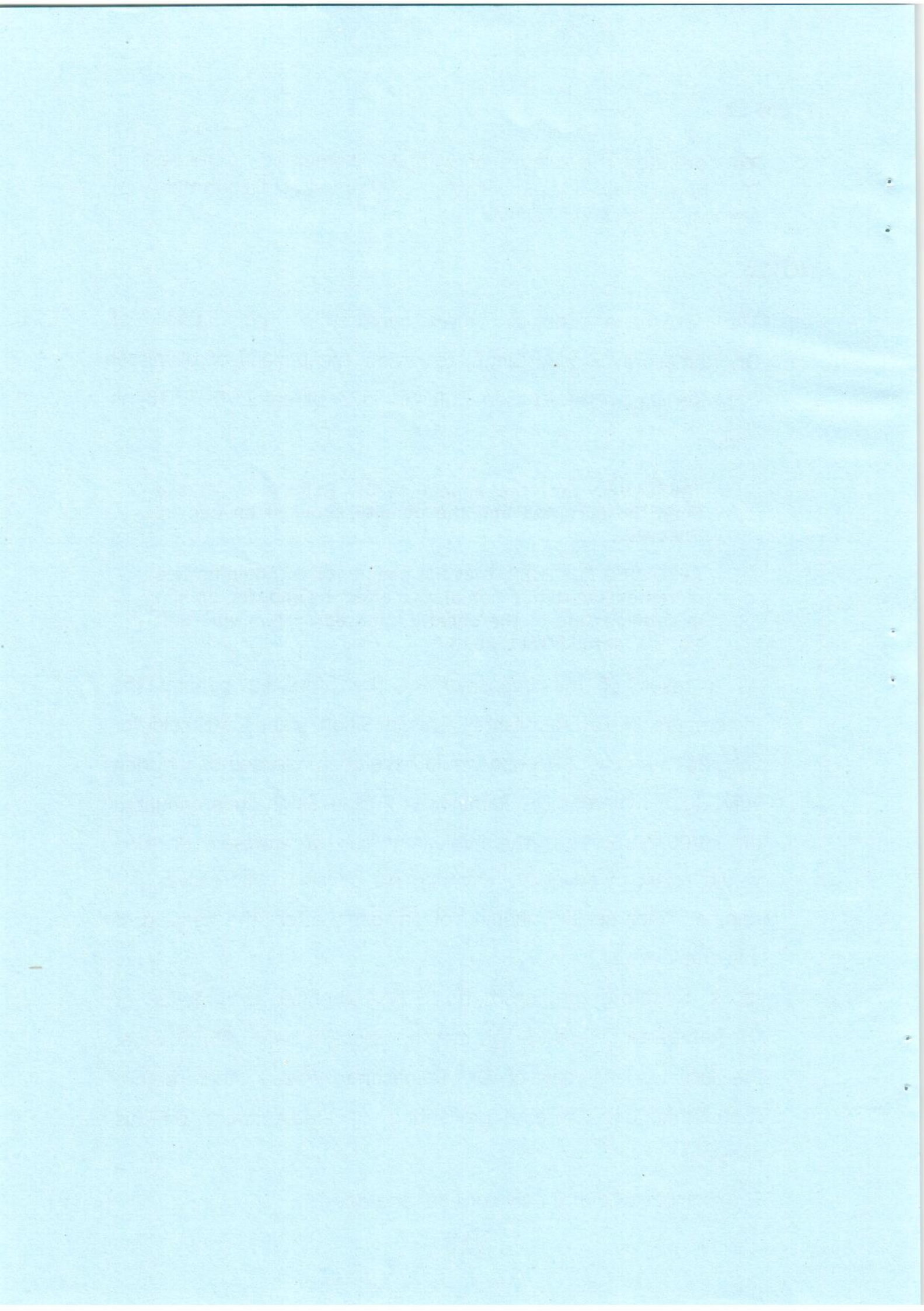
"RESOLVED that the appeal of Dr. Ramashrey Prasad Singh be accepted and the penalty imposed on him be reviewed.

RESOLVED FURTHER, that the penalty of withholding two increments with non-cumulative effect be imposed on him in supersession to the penalty imposed on him vide ECR No. 167 dated 30/11/2013."

As a result of the imposition of the aforesaid penalty the increments of Dr. Ramashrey Prasad Singh stands withheld for July, 2014 & July, 2015 and would have been released only during July, 2016, whereas Dr. Ramashrey Prasad Singh superannuated on 30/06/2016. Thus the implementation of aforesaid decision would result in adversely affecting his pension, whereas as per rules a minor penalty should not adversely affect the pension of any employee.

It is therefore proposed that the withheld increments of Dr. Ramashrey Prasad Singh may be released on 30/06/2016 i.e. the last working day of Dr. Ramashrey Prasad Singh before superannuation, thereby preventing adverse impact on his pension.

The Executive Council may consider and decide.



ITEM 23

TO CONSIDER the report of enquiry committee constituted to enquire into charges framed under rule 14 against Dr. A.K. Srivastava, Ex-University Librarian.

NOTE:

Following Articles of charge were framed against Dr. A.K. Srivastava, Ex- Librarian, BHU:

Article I: Allegation of short supply of networking materials for the Cyber Library, Central Library were made by Dr. Manish Kumar Singh, Library Information Scientist against Dr. A.K. Srivastava, Librarian resulting in pecuniary loss to the University.

Article II: Allegation of violation of University purchase procedure in purchase of Toshiba 40" LED TV were made against Dr. A.K. Srivastava, Librarian by Dr. G.C. Kendamath, Dy. Librarian.

Article III: Allegation of violation of purchase procedure during installation of 100 KVA Online UPS in Cyber Library, Central Library.

A copy of memorandum No. R/V&CS/2015/176/1969 dated October 27, 2015 alongwith a statement of imputation of misconduct in support of each article of charges is enclosed as **Appendix-23A.**

Under rule 14 of CCS (CCA) Rules 1965 a Departmental enquiry was initiated against Dr. A.K. Srivastava, Ex-Librarian, Central Library. Prof. S.K. Singh, Institute of Management Studies was appointed as Inquiry Officer to enquire into the charges framed against Dr. A.K. Srivastava.

The Inquiry Committee has submitted its report on 18.10.2016. The concluding part of the enquiry is as given over leaf:

Article I: The charge of pecuniary loss due to short supply of material is not proved. There appears to be no short supply of material. The whole confusion appears to have been created by availability of the list of "Other items" and its presentation as if it was a purchase order.

Article II: The charge of violation of University purchase procedure in purchase of Toshiba 40" LED TV is not proved. The prior installation of TV was only due to the special circumstances of cancellation of earlier purchase procedure held in February – March, 2013.

Article III: The charge of violation of purchase procedure during installation of 100 KVA Online UPS in Cyber Library, Central Library is not proved. The replacement of UPS was due to the special circumstances brought forth by UWD, and it was the most prudent way out in the given circumstance.

A copy of the Inquiry Committee report is enclosed as **Appendix-23B**.

The Executive Council may consider and decide.



Dated: October 27, 2015

No.R/V & CS/2015/176/1969

MEMORANDUM

It is proposed to hold an enquiry against Dr. A.K. Srivastava, Librarian, Central Librarian, BHU under Rule 14 of the Central Civil Services (Classification, Control and Appeal) Rules, 1965, as adopted by the University. The substance of the imputation of misconduct in respect of which the inquiry is proposed to be held is set out in the enclosed statements of article of charge (Annexure-I). A statement of imputation of misconduct in support of each article of charge is enclosed (Annexure-II). A list of documents by which and a list of witnesses by whom the article of charge are proposed to be sustained are also enclosed (Annexure III and IV).

2. Dr. A.K. Srivastava is directed to submit within ten days of the receipt of this Memorandum a written statement of his defence and also to state whether he desires to be heard in person.

3. He is informed that an inquiry will be held only in respect of those articles of charge as are not admitted. He should, therefore, specifically admit or deny each article of charge.

4. He is also informed that subsequent upon his retirement on superannuation on 31/10/2015, proceedings constituted under Rule 14 CCS (CCA) Rule 1965 will be deemed to be proceedings under Rule 9 (2)(a) of CCS (Pension) Rules 1972

5. Dr. A.K. Srivastava is further informed that if he does not submit his written statement of defence on or before the date specified in Para. 2 above, or does not appear in person before the Inquiring Authorities or otherwise fails or refuses to comply with the provisions of Rule 14 of the Central Civil Services (Classification, Control and Appeal) Rules, 1965, as adopted by the University or the orders/directions issued in pursuance of the said Rule, the Inquiring Authority may hold the inquiry against him *ex parte*.

6. Attention of Dr. A.K. Srivastava is invited to Rule 20 of the Central Civil Services (Conduct) Rules, 1964, as adopted by the University, under which no government servant shall bring or attempt to bring any political or outside influence to bear upon any superior authority to further his interests in respect of matters pertaining to his service under University. If any representation is received on his behalf from another person in respect of any matter dealt with in these proceedings, it will be presumed that Dr. A.K. Srivastava is aware of such a representation and that it has been made at this instance and action will be taken against him for violation of Rule 20 of the Central Civil Services (Conduct) Rules, 1964.

7. The receipt of this Memorandum may be acknowledged.

Encl: As above.

Dr. A.K. Srivastava
Librarian,
Central Library
Banaras Hindu University.


REGISTRAR

Statement of Articles of Charge Framed Against Dr. A.K. Srivastava,
Librarian, Central Library, Banaras Hindu University

Article I

Allegation of short supply of networking materials for the Cyber Library, Central Library were made by Dr. Manish Kumar Singh, Library Information Scientist against Dr. A.K. Srivastava, Librarian resulting in pecuniary loss to the University.

Article II

Allegation of violation of University purchase procedure in purchase of Toshiba 40" LED TV were made against Dr. A.K. Srivastava, Librarian by Dr. G.C. Kendamath, Dy. Librarian.

Article III

Allegation of violation of purchase procedure during installation of 100 KVA Online UPS in Cyber Library, Central Library.

By the above acts, Dr. A.K. Srivastava, Librarian has failed to maintain absolute integrity, shown lack of devotion to duty and behaved in manner unbecoming of a university employee and thereby violated Rules 3(1) (i) (ii) and (iii) of CCS (Conduct) Rules 1964

Statement of imputation of misconduct or misbehaviour in support of the articles of charges framed against Dr. A.K. Srivastava, Librarian, Central Library, BHU

Article - I

A sum of Rs. 1.5 crores was sanctioned out of XI Plan Scheme No. 4112 (Central Facilities) for networking. The Central Library invited & evaluated the tenders through Open Tender Committee and issued Purchase Order in favor of M/s. IBM India Pvt. Limited, Singapore, being lowest bidder was registered on 16.12.2010 for procurement of CISCO items for networking (Active Component) and other related items.

Dr. Manish Kumar Singh, Library Information Scientist, vide letter dated 11/10/2011 addressed to the Librarian pointed out discrepancies in supplied items against the aforesaid order.

Scrutiny of the records viz. the purchase order and concerned challan indicates that item no. 4 & 5 as per the purchase order were not recorded in purchase book & stock register. As per challan item no. 4 i.e. WS-X6704-10E (one no) was received from the vendor in accordance with the purchase order whereas item no. 5 i.e. XENPAK (one piece) was received and installed instead of two numbers of the same item which indicates the short supply of one XENPAK-10GB-LR leading to pecuniary loss to the University.

Article - II

On the verbal instruction of former Vice-Chancellor, the P.T.Z. Camera, fixed Camera CCTV & LED Toshiba TV 40" were installed in the Cyber Library, BHU on urgent basis due to the inauguration date of Cyber Library (Ground Floor) which was fixed on 03.03.2013.

Dr. A.K. Srivastava, Librarian invited quotations for purchasing of Toshiba LED TV 40" CCTV Camera on 26.02.2013 and purchase order was made in the name of M/s. Tripti Agency, Mehmoorganj, Varanasi on 30.03.2013 which was not executed.

As per statement of Dr. A.K. Srivastava, Librarian the Limited Tender Quotations for purchase of Toshiba LED TV 40" were not dispatched properly by the Office Clerk, Therefore, Dr. A.K. Srivastava, Librarian cancelled the earlier invited quotations and took decision for calling fresh quotations.

The second time the quotations were invited to supply Toshiba LED TV 40" for CCTV Camera in Cyber Library on 08.08.2013 and dispatched on 14.08.2013. The quotations were opened on 24.09.2013 and examined and recommended by the Limited Tender Committee. The purchase order in favor of M/s. Tripti Agency, Mehmoorganj was placed being considered on L 1 basis. The payment was made through cheque to M/s Tripti Agency on 21.03.2014 after submission of satisfactory report about installation by Dr A.K. Srivastava, Librarian.

(vii) Facts on record however reveal that the purchase process for procuring Toshiba LED TV 40" for CCTV Camera was initiated after installation of LED TV. A certificate issued by M/S Tripti agency of date 25.03.2013, also bearing the signature of Dr A.K.Srivastava corroborates the fact regarding installation of the LED TV before initiation of the purchase procedure. In the instant case the purchase rules were not followed and the whole exercise was done by favoring one vendor i.e. M/s. Tripti Agency, Mehmooorganj, Varanasi for clearing the pending bill, in violation of University Purchase Procedure.

Article - III

(i) Dr. A.K. Srivastava, Librarian requested the University for purchasing 20 KVA 3 Phase Online UPS for Cyber Library on 30.01.2014. In response to his letter a sum of Rs. 25,64,322/- was sanctioned out of R' A/c Office Automation Grant Earlier, the Librarian ordered to the vendor for supply of 6 nos. of 20 KVA Online UPS along with 120 nos. of Exide Battery to the tune of Rs. 25,30,688/- on the basis of CPO Rate Contract. (iv)

Initially the order was given for the installation of 6 numbers of 20 KVA Online UPS. However, 5 numbers were supplied.

Report (ii) During installation of the same, the concerned Engineer (Electrical) examined and recommended to install one 100 KVA Online UPS instead of 5 nos. of 20 KVA Online UPS in order to make operational without interruption as they need three Phase In and three Phase Out connection.

The vendor was requested to provide one 100 KVA Online UPS immediately in place of 5 nos. 20 KVA Online UPS. The vendor supplied and installed one 100 KVA Online UPS in the Cyber Library replacing earlier 5 nos. of 20 KVA Online UPS. There was no CPO Rate Contract available for 100 KVA Online UPS. The Sr. Manager (Sales) of Numeric informed Dr. A.K. Srivastava, Librarian that the 100 KVA Online UPS was customized item and he assured to provide case to case as per the requirement of the UPS and other optional features like isolation etc.

(i) Dr. A.K. Srivastava, Librarian did not initiate purchase procedure for procurement of one 100 KVA Online UPS separately. Instead he deposited the cheque of Rs. 24,69,994/- which was obtained against the bills raised for purchase of 5 nos. of 20 KVA Online UPS and batteries and requested for ex-post facto sanction of Rs. 21,50,380/-.

Dr. A.K. Srivastava, Librarian did not follow the purchase procedure for procurement of one 100 KVA Online UPS as approval for the competent authority was not obtained and no purchase order was issued for the same.

By the above acts, Dr. A.K. Srivastava, Librarian has failed to maintain absolute integrity, show lack of devotion to duty and behaved in manner unbecoming of a university employee and thereby violated Rules 3(1) (i) (ii) and (iii) of CCS (Conduct) Rules 1964.

REPORT OF INQUIRY COMMITTEE CONSTITUTED UNDER RULE 14 OF CCS(Classification, Control and Appeal) Rules, 1965 TO INQUIRE INTO THE TRUTH OF CHARGES FRAMED AGAINST DR. A. K. SRIVASTAVA, EX-LIBRARIAN, BANARAS HINDU UNIVERSITY.

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The Inquiry under Rule 14 of CCS(CCA) Rules, 1965 in the matter of Dr. A. K. Srivastava, Ex- Librarian, Banaras Hindu University (Charged Officer) for alleged misconduct while acting as Librarian, BHU, was entrusted to the undersigned vide letter No. R/V&CS/2015/176/2137 dated 29 December, 2015/4 January, 2016. The Inquiry was duly conducted in accordance with the Rules. Shri B. S. Vidyarthi, Assistant Registrar (GAD), BHU presented the case on behalf of the University while Dr. A. K. Srivastava, the Charged Officer, himself appeared before the Inquiry Officer and gave his evidence in writing.

Background of the case:

The Memorandum and the charge-sheet containing the articles of charges, the statement of imputation of misconduct, list of documents by which and a list of witnesses by whom the charges were proposed to be sustained by the University were issued to the Charged Officer vide Office Memorandum No. R/V&CS/2015/176/1969 dated 27 October, 2015 [REDACTED] wherein he was directed to submit his written statement of defence within 10 days of receipt of Memorandum. The following charges were framed against the Charged Officer:

1. A sum of 1.5 Crores was sanctioned out of XI Plan Scheme no. 4112 (Central Facilities) for networking. The Central Library invited and evaluated the tenders through open tender committee and issued Purchase Order in favour of M/s IBM India Pvt. Ltd. Singapore, being lowest bidder was registered on 16.12.2010 for procurement of CISCO items for networking (Active component) and other related items. Dr. Manish Kumar Singh, Library Information scientist, vide letter dated 11/10/2011 addressed to the Librarian pointed out discrepancies in supplied items against the aforesaid order. Scrutiny of records viz. the purchase order and



concerned challan indicates that item nos. 4 & 5 as per the purchase order were not recorded in purchase book and stock register. As per challan item no. 4 i.e. WS-X6704-10E (one no.) was received from the vendor in accordance with the purchase order whereas item no. 5 i.e. XENPACK (one piece) was received and installed instead of two numbers of the same item which indicates the short supply of one XENPACK-10GB-LR leading to pecuniary loss to the University.

2. On the verbal instruction of former Vice-Chancellor, the PTZ camera, fixed camera CCTV & LED Toshiba TV 40" were installed in the Cyber Library, BHU on urgent basis due to the inauguration date of Cyber Library (Ground Floor) which was fixed on 03.03.2013.

Dr. A. K. Srivastava, Librarian invited quotations for purchasing of Toshiba 40" LED TV for CCTV camera on 26.02.2013 and purchase order was made in the name of M/s Tripti Agency, Mehmoorganj, Varanasi on 30.03.2013 which was not executed. As per statement of Dr. A. K. Srivastava, Librarian the Limited Tender Quotations for purchase of Toshiba LED TV 40" were not dispatched properly by the office clerk, therefore, Dr. A. K. Srivastava cancelled the earlier invited quotation and took decision for calling fresh quotation. The second time the quotations were invited to supply Toshiba LED TV 40" for CCTV Camera in Cyber Library on 08.08.2013 and dispatched on 14.08.2013. The quotations were opened on 24.09.2013 and examined and recommended by the Limited Tender Committee. The purchase order in favor of M/s Tripti Agency, Mehmoorganj was placed being considered on L1 basis. The payment was made through chequeto M/s Tripti Agency on 21.03.2014 after submission of satisfactory report about installation by Dr. A. K. Srivastava, Librarian.

Facts on record however reveal that the purchase process for procuring Toshiba LED TV 40" for CCTV camera was initiated after installation of LED TV. A certificate issued by M/s Tripti Agency of date 25.03.2013, also bearing the signature of Dr. A. K. Srivastava corroborates the fact regarding installation of LED TV before initiation of the purchase procedure. In the instant case, the purchase rules were not followed and the whole exercise was done by favouring one vendor i.e. M/s Tripti Agency, Mehmoorganj, Varanasi for clearing the pending bill, in violation of the University Purchase Procedure.



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3. Dr. A. K. Srivastava, Librarian requested the University for purchasing 20 KVA 3 phase Online UPS for Cyber Library on 30.01.2014. In response to his letter a sum of **Rs. 25,64,322/-** was sanctioned out of R A/c Office Automation Grant. Earlier, the Librarian ordered to the Vendor for supply of 6 nos. 20 KVA Online UPS along with 120 Nos. of Exide Battery to the tune of **Rs.25,30,688/-** on the basis of CPO Rate Contract. Initially the order was given for 6 numbers of 20 KVA Online UPS. However, 5 numbers were supplied.

During installation of the same, the concerned Engineer (Electrical) examined and recommended to install one 100 KVA Online UPS instead of 5 nos. of 20 KVA Online UPS in order to make operational without interruption as they need three phase in and three phase out connection. The Vendor was requested to provide one 100 KVA Online UPS instead of 5 nos. of 20 KVA Online UPS. The Vendor supplied and installed one 100 KVA Online UPS in the Cyber Library replacing earlier 5 nos. of 20 KVA Online UPS. There was no CPO rate contract for 100 KVA Online UPS. The Sr. Manager(Sales) of Numeric informed Dr. A.K. Srivastava, Librarian that the 100 KVA Online UPS was a customized item and he assured to provide case to case as per requirement of the UPS and other optional features like isolation etc.

Dr. A.K. Srivastava did not initiate purchase procedure for procurement of one 100 KVA Online UPS separately. Instead he deposited the cheque of Rs. 24,69,994/- which was obtained against the bill raised for purchase of 5 nos. of 20 KVA Online UPS and requested for ex-post facto sanction of Rs.21,50,380/-. Dr. A. K. Srivastava, Librarian did not follow the purchase procedure for procurement of one 100 KVA Online UPS as approval for the competent authority was not obtained and no purchase order was issued for the same.

The Charged Officer submitted his reply vide his letter dated 17.11.2015/20.11.2015 **(Page 25-36)** in which he denied all the charges while giving specific replies to all the three articles of charges as follows:

1. Regarding the Art. 1 of the charges, the Charged Officer has stated that it is incorrect charge, since only one piece of the said item was actually ordered, only one piece was actually received,



and the cost of only one piece was actually paid. Therefore, no question of any pecuniary loss arises. In support of his assertion, he enclosed the following documents:

- a. Copy of order no. L/Networking/28/1523 dated 2.11.2010 in favour of M/s Red Brook Inter trade Pvt. Ltd. for Rs. 97,34,869.00 in which the said item is included in Annexure 2A at Sr. no. 7 of list of Rack & Wire manager components, as "Xenpak Module with Dom support", showing the quantity ordered as 1 (one) only. **(Page 55)**
- b. Copy of Delivery Challan No. 1489 dated 31.03.2011 of M/s Red Brook Inter trade Pvt. Ltd., through which the items were delivered to BHU. The item in question is mentioned in Annexure A of Challan at Sr. No. 7 of list of Rack & Wire manager components, as "Xenpak Module with Dom support", showing the quantity delivered as 1 (one) only. **(Page 58)**
- c. Copies of Bill nos. 2/12 dated 23.05.2011 for Rs. 48,67,435.00 (50% payment) and Bill no. 3/12 dated 05.01.2012 for Rs. 48,67,435.00 (50% payment), through which the amount of order of Rs. 97,34,869.00 has been paid. **(Page 60 & 61)**
- d. Copy of Order no. L/Networking/28/1522 **(Page 68)**

He has further stated that the whole confusion has been deliberately and maliciously created by the complainant on the base of an irrelevant document, which has wrongly been cited as "Copy of purchase order for networking material" at Sr. no 2 of the "List of documents by which the articles of charges framed against Dr. A. K. Srivastava, Librarian, Central Library" **(Page 29)**. This is actually a list of optional "Other items" which were placed as appendix of a different purchase order – Order no. L/Networking/28/1522 dated 2.11.2010 in favour of M/s IBM India Pvt. Ltd. (Singapore Branch), **(Page 75)** and were not a part of that purchase order amounting to \$110505.00. The page being presented is an enclosure of Order no. L/Networking/28/1522 amounting to \$110505.00, whereas the item under question was ordered vide Order no. L/Networking/28/1523 amounting to Rs. 97,34,869.00.

(Page 52-55)

Regarding the entry in stock book, he has stated that a lapse has been noticed in the bill submitted by M/s Red Brook Inter trade Pvt. Ltd., where, probably by



omission, two items were not mentioned in the Annexure, whereas they were actually supplied vide the Challan No. 1489 dated 31.03.2011. There is also lapse on the part of the complainant, who as a technical person and member of the networking committee, had verified the bill stating the "material received and verified as per order and minutes of meeting", ~~(Page 61)~~ and the clerk making the stock-book entries, had entered the items as such from the Annexure of the bill, relying on the competence and integrity of the said technical person i.e. the complainant.

2. Regarding the Article 2 of the charges, the Charged Officer has given a chronological sequence of events, and through it, he has tried to establish that purchase procedure for procurement of the 40" LED TV was earlier initiated in Feb-March 2013 which went upto the stage of order preparation after approval of Purchase Committee, but upon raising of objection at the stage of order signing by the complainant, the entire purchase procedure was cancelled. The successful bidder, upon knowing the result of the quotation opening, approached the charged officer for installing the TV in anticipation of the purchase order, which was permitted by the charged officer as the Purchase Committee had already approved the purchase. However, after cancellation of purchase procedure, the Vendor pleaded to let the TV remain in installed position and that he would wait for re-tendering. Upon availability of funds again, the re-tendering was made during August- September, 2013, and since the earlier successful Vendor was again successful, order was made to him and payment was made.

Through his reply, the Charged Officer has tried to assert that at no place, any relaxation of the purchase procedure was made, and at no place, the interests of the University were jeopardized.

3. Regarding the Article 3 of the charges, the Charged Officer has again given the sequence of events to clarify the circumstances under which the 100 KVA UPS was supplied. He has tried to establish that the earlier order for 6 nos. 20 KVA UPS was



made after following the due procedure. The technical problems encountered during installation were un-anticipated and the engineers had not informed any such problem beforehand. The decision to get the 6 nos. 20 KVA UPS replaced by 1 no. 100 KVA UPS was not an individual decision of the Charged Officer but the decision of a committee comprising of the technical persons, and the Vendor had agreed for replacement of the UPS supplied 3 months earlier after much persuasion. ~~(Page 89 & 90)~~ ~~90)~~ He has claimed that since the issue involved deep knowledge of technical issues, the Charged Officer did not have any say in the decision of the technical persons. The replacement by Numeric Power Systems was decided by the technical persons. Since the price of replacement was within the amount sanctioned, there was no basis that the Charged Officer would have opposed the decision of the technical persons. ~~(Page 89 & 90)~~ He has further relied on the Clause 7(3) of the Purchase Procedure of Banaras Hindu University to assert that this is not a case of placing new order for installation of 100 KVA Online UPS in Cyber library rather replacement of old order for 6 nos. 20 KVA online UPS and therefore compliance of fresh purchase procedure was not required in case of replacement. He has also mentioned that the payment in consideration of the replacement was also not made by him till the date of his superannuation.

Proceedings of the Inquiry

After review of the entire material on record i.e. the Charge Sheet, the documents supplied to support the Charge Sheet and the reply of the Charge Sheet by the Charged Officer along with supporting documents during the Preliminary hearing held on 08.03.2016, it was decided that the Charged Officer be requested to appear before the Inquiry Officer on 15.03.2016 for hearing. Accordingly letter dated 09.03.2016 was sent to the Charged Officer. ~~(Page 93)~~

The Charged Officer appeared before the Inquiry Officer on the scheduled date and time, expressed his trust and faith in the Inquiry Officer and informed that he was aware of the charges leveled against him and that he has received all documents mentioned in the Office Memorandum no. R/V&CS/2015/17/1969 dated 27.10.2013



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~~(Page 24-24)~~. The Charged Officer further strongly denied all the charges against him as stated in the imputation of misconduct and in support of his denial, he supplied a copy of the reply which he has submitted to the Registrar in response to the Memorandum No. R/V&CS/2015/17/1969 dated 27.10.2015 ~~(Page 45-46)~~. The copy of the reply was taken on record as his written statement of defence against all the articles of charges.

The Prosecution Witness 1 (PW1) was then requested to attend the regular hearing of the Inquiry scheduled on 02.04.2016 vide letter dated 31.03.2016. He appeared before the Inquiry Officer on the scheduled date and time and submitted his written statement before the Inquiry Officer. ~~(Page 99-100)~~ In the written statement, the PW1 submitted that he has identified several serious flaws in the report of a standing committee which was the basis of the memorandum no. R/V&CS/2015/176/1969 dated 27.10.2015. He had reported these flaws to the Vice-Chancellor through a letter with request to revise the charges in the memorandum. He requested the Inquiry Officer to take his final submission as per the revised charges in the event that the memorandum is revised. Along with his written statement, he submitted copy of the letter dated 16.03.2016 which he has written to the Vice-Chancellor enclosing therewith a list of alleged flaws in the report of the said standing committee. The written statement along with its enclosures was taken on record.

Since the report of the said Standing Committee or its alleged flaws were outside the purview of the Inquiry in hand, it was decided that the written statement of PW1 along with its enclosures be forwarded to the Vice-Chancellor and further instructions be sought before proceeding further. Accordingly, a letter no. IMS/Inquiry-AKS/01 dated 07.04.2015 was sent to the Vice-Chancellor narrating the complete facts and enclosing the written statement with enclosures of PW1, requesting him to issue necessary instructions for the Inquiry to proceed further ~~(Page 107)~~. A reply was received in this regard from Dy. Registrar-Vigilance & Confidential Section vide letter no R/V&CS/2016/176/96 dated 27.05.2016 requesting the Inquiry Officer to continue the Inquiry proceeding as per the charge-sheet issued vide memorandum no.



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R/V&CS/2015/176/1969 dated 27.10.2015 ~~(Page 108)~~. Accordingly, the Inquiry proceeded further.

Meanwhile, a meeting of the Inquiry Committee was fixed on 26.05.2016, but it was learnt that the Prosecution witness 2 (PW2) was not available at Varanasi. Therefore it was decided that Prosecution witness 02 (PW2) to let the Inquiry Committee to know as to whether is willing to appear before the Inquiry Officer or submit his reply through email. Accordingly, letter No. IMS/Inquiry-AKS/04 dated 26.05.2016 ~~(Page 109)~~ was sent to PW2 requesting him to intimate whether he was willing to appear in person before the Inquiry Officer or he would like to submit his statement, if any, by e-mail.

A letter No IMS/Inquiry-AKS/05 dated was sent to the Prosecution Witness 3 (PW3) requesting him to appear before the Inquiry Officer on 28.05.2016 ~~(Page 110)~~. The regular hearing was held on 28.05.2016 in which the PW3 appeared before the Inquiry Officer and informed that he will submit his written statement and he submitted the same on 31.05.2016 ~~(Page 111-120)~~. The written statement submitted by PW3 was taken on record.

The PW3, in his written statement submitted vide ref no. JRA/2016-17/193 dated 31.05.2016 ~~(Page 111-120)~~, informed that he was nominated as Member Secretary of the Standing Committee constituted by the Vice-Chancellor to look into the complaints about financial irregularities in the purchase/procurement of items and services against the Departments/ Offices/ Units. He informed that the Standing Committee has already examined the representations of Dr. Manish Kumar Singh and Dr. G. C. Kendadamath who are the PW1 and PW2 of the present case, as well as other allegation of violation of purchase procedure during installation of 100 KVA online UPS in Cyber Library. He informed that the report of the Standing Committee has been submitted on the basis of the facts and observations obtained through the statements of concerned committee members associated in the process of procurement / installation of networking project. Since he was a member of the said Standing Committee, he was witness of all proceedings on the basis of which the report of the

Standing Committee was submitted. He submitted a copy of the report of the Standing Committee related to the charges from Article I to III against the Charged Officer alongwith his written statement, which was taken on record. The report of the Standing Committee was studied and it was found that its findings conform with the contents of the memorandum no. R/V&CS/2015/176/1969 dated 27.10.2015 and the charge sheet.

At this stage, it was thought appropriate that the comments of the present Professor-in-charge of the Library be also sought with respect to each of the specific article of charges against the Charged Officer since all the documents and records pertaining to the charges under Inquiry as well as the articles which are subject matter of the Inquiry are under his custody and his comments can throw significant light on the matter under Inquiry. Accordingly letter no. R/GAD/Inquiry-AKS/09 dated 24.06.2016 was sent to the Professor-in-Charge of the Central Library ~~(Page 124)~~. The reply of the Professor-in-Charge was received vide letter no. CL/Enquiry/2016/513 dated 11.07.2016 ~~(Page 124)~~ in which he provided his comments on each article of the charges as follows:

1. Regarding the allegation of short supply of networking materials for the Cyber Library, Central Library made by Dr. Manish Kumar Singh, Library Information Scientist, the Professor-in-Charge, Central Library informed that he had verified the order no. L/Networking/28/1523 dated 2.11.2010 in favour of M/s Red Brook Intertrade Pvt. Ltd. for Rs. 97,34,869.00 and the Delivery Challan No. 1489 dated 31.03.2011 of M/s Red Brook Intertrade Pvt. Ltd., through which the items were delivered to BHU. All the items mentioned in the purchase order were listed in the delivery challan as well, which indicates that all the items ordered vide the above mentioned order were actually supplied. The payment has also been made as per the purchase order. Same is the case with the Purchase Order no. L/Networking/28/1522 dated 2.11.2010 in favour of M/s IBM India Pvt. Ltd. (Singapore Branch), amounting to \$110505.00, where all the items listed in the order were also listed in the delivery challan and the payment was made as per the order. Therefore, he noted no short supply of networking items. He further informed that the final bill was paid only after satisfactory report submitted by a committee of Library, of which the complainant was also a member. The network is working satisfactorily even after 6 years of its installation.

2. Regarding the allegation of violation of University Purchase Procedure in purchase of Toshiba 40" LED TV, he informed that he had noted that in February- March, 2013 purchase procedure for installation of TV was initiated, quotations were opened and the Vendor quoting lowest installed the TV in anticipation of order, but before the order could be placed, the purchase process was cancelled due to dissatisfaction of some members of purchase committee. The TV however, was left in installed position. Again, the purchase process was initiated in August-September, 2013, and incidentally, the Vendor who had installed the TV quoted lowest and got the order, after which the payment was also made. So, he noted that no discrepancy in the purchase process and the earlier installation of TV was due to the special circumstance of cancellation of earlier purchase order.
3. Regarding the allegation of violation of purchase procedure during installation of 100 KVA Online UPS in Cyber Library, Central Library, he informed that earlier, the requirement was of 6 nos. 20 KVA online UPS, rate for which was available in the Rate Contract of CPO and no separate tender for its procurement was needed. Order was placed and supply was made by the Vendor. After passage of 3 months after the supply, the engineers of UWD informed that certain technical problems were being encountered at the time of installation and commissioning of the 6 nos. 20 KVA online UPS, which may lead to serious fire hazards, short circuit etc. So the committee of engineers persuaded the Vendor to replace the UPS supplied 3 months earlier with a single 100 KVA UPS, to which the Vendor agreed. He further said that had the Vendor not agreed to take back the 6 nos. 20 KVA UPS, or the University had initiated a separate purchase procedure for 100 KVA UPS, it would have been a loss to the University. The 100 KVA UPS was supplied as a replacement for the 6 Nos. 20 KVA UPS for which the University was not in a position to initiate separate purchase procedure, and the 6 nos. 20 KVA UPS were purchased following the University purchase procedure. So, he opined that no violation of purchase procedure was noted and whatever was done appeared to him as done in the best interest of the University.

As cited above, the Professor-in-Charge of Central Library found no violation of the Purchase Procedure in any of the three cases under Inquiry.

Meanwhile, no response was received from the PW2 to the letter dated 26.05.2016. It was decided that a final letter be sent to him to appear before the Inquiry Officer for hearing or to send his statement by email. Accordingly, a letter was sent to the PW2 by email dated 18.07.2016 ~~(Page 124-125)~~, requesting him to submit his statement



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latest by 23.07.2016, failing which it will be presumed that he has nothing to say in respect of the charges under Inquiry.

The next hearing of the Inquiry was scheduled for 22.08.2016, for which the Charged Officer was requested to appear before the Inquiry Officer **(Page 125)**. The PW2 also attended the hearing on 23.08.2016 and submitted his written statement before the Inquiry Officer, which was taken into record **(Page 127)**. In his written statement, the PW2 informed that quotations were not invited for purchase of 40" LED TV, and all of a sudden AR-37 (payment bill) was brought before him for signature which he refused to sign. After this, quotations were prepared with back date and entered in the outward register with fake dispatch number. He further alleged that the Charged Officer took help of Mr. S. P. Mukherji and got this wrong thing done by him, while Mr. Mukherjee was not on the payroll of the University during the period of purchasing LED TV (i.e. on 26.02.2013).

The Charged Officer, who was also present during the hearing on 22.08.2016, was then asked to provide his statement with respect to the issues raised by the PW2 and provide any additional information if he wishes so. In reply, the Charged Officer submitted his written reply in which he said that the Written Statement given by Dr. G. C. Kendadamath was full of false unsubstantiated allegations aimed at harming him. To support his claim, he cited that:

1. The meeting of Purchase committee of Library was held on 25.03.2013, where quotations for supply of 40" LED TV were opened and the committee decided to "purchase one LED for CCTV from Tripti Agencies, Mahmoorganj, Varanasi, whose quotation is the lowest" **(Page 130)**. The complainant Dr. G C Kendadamath participated in the meeting of Purchase Committee and was signatory to this decision.
2. The purchase order was prepared and the other members of the purchase committee signed it, but since the complainant Dr. Kendadamath complained about the quotations not properly dispatched, he had acted immediately and cancelled the entire quotation.
3. It is completely false statement that AR-37 for payment was sent to Dr. G C Kendadamath for signature. He was just member of Purchase



Committee, and not authorized to sign on AR-37 form, which is signed by DDO. It is thus a far-fetched idea that AR-37 was sent to Dr. G C Kendadamath for signature.

4. Shri S. P. Mukherjee was Ex- Professional Assistant of Central Library and was working as Consultant on re-employment after his superannuation. His last term of engagement ended on 31.12.2012, and requisition for extension of his re-engagement term was sent to the Administration. As per precedence everywhere in the University, the official process of extension of re-engagement in central registry takes some time and persons continue to work in anticipation of extension of re-engagement. In a similar fashion Shri S.P. Mukherjee was also working at that time. It was a completely wrong and unsubstantiated allegation that he had got any wrong thing done through Shri S.P. Mukherjee.

Through his reply, the Charged Officer tried to emphasize that the allegations of Dr. G C Kendadamath were baseless, unsubstantiated and full of lies. Further, he stated that Dr. Kendadamath started raising allegations against him only after his transfer outside the Central Library, which gives indication of his ulterior motive of avenging his perceived loss. Moreover, since the entire purchase process which was objected upon was cancelled and fresh process was initiated, there is no question of any financial loss to the University.

The Charged Officer further added in the matter of procurement of Online UPS that the decision to get the 6 nos. 20 KVA UPS replaced by 1 no. 100 KVA UPS was a decision of a committee comprising of the technical persons, and the Vendor had agreed for replacement of the UPS supplied 3 months earlier only after great deal of persuasion. Had the Vendor not agreed for replacement or had the committee members failed to persuade the Vendor to accept the replacement, it would have resulted into significant financial loss for the University, as it was not possible to utilize the purchased 6 nos. 20 KVA UPS. Moreover, the rate of 100 KVA UPS was decided after great deal of negotiation with the Vendor by the Engineers. He held that in fact, through replacement, the Committee managed to save significant financial loss of the University.

The hearing in the present Inquiry was concluded at this stage.

Discussion of the Charges:



1. Allegation of short supply of networking materials for the Cyber Library, Central Library was made by Dr. Manish Kumar Singh, Library Information Scientist(PW1) against Dr. A. K. Srivastava, Librarian (Charged Officer) resulting in pecuniary loss to the University.

The charges in the matter of networking of Central Library, as evident from the Charge Sheet and the accompanying documents are related to alleged pecuniary loss to the University due to

- i. Not recording item no 4 & 5 of the purchase order in the purchase book and stock register.
- ii. Short supply of item listed at Sr. no. 5, where only one piece of item no. 5 i.e. XENPACK was received and installed instead of two numbers of the same item which indicates the short supply of one XENPACK-10GB-LR.

The report of the Standing Committee, as submitted by PW3 alongwith his written statement, throws important light on the issue. The Standing Committee has found that all the items of the purchase order were found listed in the Purchase & Stock register except the item at Sr. no. 4 & 5. The item nos. 4 & 5 were found in the list of "other items" of the purchase order. Regarding listing of the items nos. 4 & 5 in the Purchase & Stock register, the Charged Officer has stated before the Standing Committee that these items were supplied and installed at Academic Staff College (Distribution Switch), but their entries are left in the concerned Purchase & Stock Book by the then posted office personnel due to oversight. This fact is also corroborated by the written statement of the Charged Officer before the Inquiry Officer, where he has stated that a lapse has been noticed in the bill submitted by M/s Red Brook Intertrade Pvt. Ltd., where, probably by omission, two items were not mentioned in the Annexure of the bill, whereas they were actually supplied vide the Challan No. 1489 dated 31.03.2011. There is also lapse on the part of the complainant (PW1), who as a technical person and member of the networking committee, had verified the bill stating the "material received and verified as per order and minutes of meeting", and the clerk making the stock-book entries, had entered the items as such from



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the Annexure of the bill, relying on the competence and integrity of the said technical person i.e. the complainant (PW1). The written statement of the PW1 and the accompanying letter to the Vice-Chancellor doesn't throw any light on the issue of not recording item no 4 & 5 of the purchase order in the purchase book and stock register.

Therefore it appears that the issue of not recording item no 4 & 5 of the purchase order in the purchase book and stock register was merely an act of omission on the part of supplier who has included these items in the supply challan but did not include it in the annexure of the bill; on the part of the complainant (PW1) who certified the bill that "material received and verified as per order and minutes of meeting"; and on the part of the office personnel, who while making the entries in the stock and purchase register did not himself verify the list of items and instead relied on the bill of the supplier and certification of the complainant (PW1). There appears no role of the Charged Officer in not recording item no 4 & 5 of the purchase order in the purchase book and stock register. Moreover, this appears to be an innocuous issue as mere omission of entry in Stock and Purchase Register does not in itself cause any pecuniary loss to the University.

Regarding the issue of Short supply of item listed at Sr. no. 5, where only one piece of item no. 5 i.e. XENPACK was received and installed instead of two numbers of the same item which indicates the short supply of one XENPACK-10GB-LR, the Charge Sheet encloses a list of certain items carrying the heading "Other items", which has been cited as "Copy of purchase order for networking material" at Sr. no. 2 of List of documents by which the articles of charges are proposed to be sustained ~~(Page 26)~~. This list of "Other items" carries the name of the item "XENPACK-10GB-LR (XENPAK Module with DOM Support)" which has been cited as short supplied, and the quantity in this list has been shown as 2 (Two), with the caption "In case 10 Gbps ports are not available in the Academic



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Staff College". The Copy of challan of M/s Red Brooke Inter Trade Pvt. Ltd. for networking material (Sr. no. 3 of list of documents) ~~(Page 54 & 55)~~, however, shows this item at Sr. No. 7 of Annexure A as "XENPAK Module with DOM Support" with quantity shown as 1 (one). The report of the Standing Committee, as submitted by PW3 with his written statement, has based its finding upon the above discrepancy stating that "Prima-facie, it appears a case of short supply in terms of copy of the concerned Purchase Order and copy of the challan submitted by Librarian alongwith his statement. The Purchase order consists 1 no. WS-X6704-10GE and 2 nos. XENPAK-10GB-LR under other column, whereas in challan only one of each item is mentioned."

However, in his written statement before the Inquiry Officer, the Charged Officer has refuted the charge and has produced the copy of order no. L/Networking/28/1523 dated 2.11.2010 in favour of M/s Red Brook Intertrade Pvt. Ltd. for Rs. 97,34,869.00 ~~(Page 52)~~ in which the said item is included in Annexure 2A at Sr. no. 7 of list of Rack & Wire manager components, as "XENPAK Module with Dom support", showing the quantity ordered as 1 (one) only ~~(Page 53)~~. He has also produced copy of Delivery Challan No. 1489 dated 31.03.2011 of M/s Red Brook Intertrade Pvt. Ltd., through which the items were delivered to BHU. The item in question is mentioned in Annexure A of the Challan at Sr. No. 7 of list of Rack & Wire manager components, as "Xenpak Module with Dom support", showing the quantity delivered as 1 (one) only ~~(Page 53)~~. Through these documents, he has tried to establish that "Only one item was actually ordered, only one item was actually received, and payment has been made as per order and no any extra payment has been made. Therefore, no question of any pecuniary loss or discrepancy arise".

Regarding the list of "Other items" which has been construed as copy of purchase order of networking material in the charge sheet, the Charged Officer has produced copy of a different purchase order - Order no.



L/Networking/28/1522 dated 2.11.2010 ~~(Page 63)~~ in favour of M/s IBM India Pvt. Ltd. (Singapore Branch), which contains the list of "Other Items" as optional items. In this order L/Networking/28/1522 dated 2.11.2010, it was observed that the pages 19-23 of Annexure 1 of the order contain a list of items, the total price of which has been calculated as \$110505, while the item "XENPACK-10GB-LR (XENPAK Module with DOM Support) – 2 nos" is mentioned in the list of "other items", which runs to 2 pages ~~(Page 74-75)~~, and the price of these other items have not been included in the cost of the order which is \$110505 only. This gives indication that the list of "Other items" is a suggestive list which was not included in the Order no. L/Networking/28/1522 dated 2.11.2010 ~~(Page 63-75)~~ in favour of M/s IBM India Pvt. Ltd. (Singapore Branch). Moreover, the item "XENPACK-10GB-LR (XENPAK Module with DOM Support) has been supplied by M/s Red Brook Intertrade Pvt. Ltd, as indicated by its challan No. 1489 dated 31.03.2011 (Sr. no. 3 of list of documents enclosed with the charge sheet) and not by M/s IBM India Pvt. Ltd. (Singapore Branch), whereas the list of "other items" accompanies the order no. L/Networking/28/1522 dated 2.11.2010 of M/s IBM India Pvt. Ltd. (Singapore Branch). Thus, the claim of the Charged Officer that "Only one item was actually ordered, only one item was actually received, and payment has been made as per order and no any extra payment has been made. Therefore, no question of any pecuniary loss or discrepancy arise"; appears to hold ground. This is also corroborated by the comment of the present Professor-in-Charge of Central Library that all the items mentioned in the purchase order were listed in the delivery challan as well, which indicates that all the items ordered vide the above mentioned order were actually supplied. On the other hand, the PW1 in his written statement and the enclosed letter to the Vice-Chancellor has thrown no new light on the issue other than what has already been considered by the Standing Committee.

Therefore, it becomes sufficiently clear that the list of "Other Item" was not part of any purchase order, neither Order no. L/Networking/28/1522 dated 02.11.2010



nor Order No. L/Networking/28/1523 dated 02.11.2010, but rather was a suggestive optional list accompanying the Order no. L/Networking/28/1522 dated 02.11.2010 of M/s IBM India Pvt. Ltd. (Singapore Branch). In fact, the item "XENPACK-10GB-LR (XENPAK Module with DOM Support)" was part of the Order No. L/Networking/28/1523 dated 02.11.2010 in favour of M/s Red Brook Intertrade Pvt. Ltd. and the quantity ordered was 01 (one) only. The same quantity was supplied vide Delivery Challan No. 1489 dated 31.03.2011 of M/s Red Brook Intertrade Pvt. Ltd., thus there appears to be no case of short supply and any concomitant pecuniary loss.

The whole confusion appears to have been created by availability of the list of "Other items" and its presentation as if it was a purchase order. Moreover, the Charged Officer has produced copy of minutes dated 18.05.2011 of the Committee for Inspection of Networking Material in his reply to the memorandum **Page 50** where they have noted that "The confusion related to two items in the list (Cat 6500 Module (req.XENPAKs) and XENPAK Module with Dom support) is clear as per explanation through e-mail letter of Mr. Atul Gupta, Business Manager, IBM India Pvt. Ltd. So the item is accepted." It is astonishing to note that the confusion which was cleared at such an early stage has carried on this far.

2. Allegation of violation of University purchase procedure in purchase of Toshiba 40" LED TV were made against Dr. A. K. Srivastava, Librarian by Dr. G. C. Kendadamath, Dy. Librarian.

In this case, it has been charged that the purchase process for procuring the 40" LED TV was initiated after installation of TV, which means that the purchase procedure was not followed. Under proper purchase procedure, first, the quotations should have been invited, then the quotations should have been opened before the purchase committee and then order should have been placed,



only after which the TV should have been installed. Installation of TV before the purchase process was initiated gives rise to the suspicion that the whole exercise was done by favouring the concerned Vendor. The documents accompanying the charge sheet include an Inquiry letter dated 26.02.2013 **(Page 38)**, a certificate of installation dated 25.03.2013 **(Page 41)**, an incomplete order dated 30.03.2013 **(Page 37)** and a complete order dated 07.10.2013 **(Page 42)**.

The Charged Officer, in his reply to the memorandum, which was also presented as his written statement before the Inquiry Officer, has tried to establish that purchase procedure for procurement of the 40" LED TV was earlier initiated in Feb-March 2013 which went upto the stage of order preparation after approval of Purchase Committee, but upon raising of objection at that stage by the complainant, the entire purchase procedure was cancelled. The successful bidder, upon knowing the result of the quotation opening, approached the charged officer for installing the TV in anticipation of the purchase order, which was permitted by the charged officer as the Purchase Committee had already approved the purchase. However, after cancellation of purchase procedure, the Vendor pleaded to let the TV remain in installed position and that he would wait for re-tendering. Upon availability of funds again, the re-tendering was made during August- September, 2013, and since the earlier successful Vendor was again successful, order was made to him and payment was made. In support of his assertion, the Charged Officer has presented copy of the minutes of Purchase Committee meeting dated 25.03.2013 where the quotation opening was approved and it was decided to place the order to the Vendor in question, whose quotation was the lowest. The Complainant (PW2) was also a signatory to the minutes.

In his written statement before the Inquiry Officer, the PW2 has said that quotations were not invited for purchase of 40" LED TV, and all of a sudden AR-37 (payment bill) was brought before him for signature which he refused to sign.



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After this, quotations were prepared with back date and entered in the outward register with fake dispatch number. This statement appears implausible since the PW2 was a signatory to the minutes of purchase committee meeting of 25.03.2013. If no quotations were invited, what was that which was approved in the said meeting, minutes of which was signed by him. Also, the Charged Officer, in his second Written Statement before the Inquiry Officer dated 22.08.2016 has said that "It is completely false statement that AR-37 for payment was sent to Dr. G C Kendadamath for signature. He was just member of Purchase Committee, and not authorized to sign on AR-37 form, which is signed by DDO. Thus it is a far-fetched idea that AR-37 was sent to Dr. G C Kendadamath for signature."

The comments of the present Professor-in-Charge of Central Library appear to corroborate the averments of the Charged Officer. The report of the Standing Committee, as submitted by the PW3 with his written statement, does not throw any additional light on the issue apart from what is contained in the Charge Sheet. It is also important to note that the certificate of installation bears the date 25.03.2013 **(Page 40)**, i.e. the next day of the meeting of purchase committee which approved the placement of order for TV to the concerned Vendor.

Since the earlier purchase process of February – March, 2013 was ultimately cancelled, even if there was any irregularity in it as alleged by PW2, it would not affect the sanctity of purchase. There has been no charge of irregularity in the later purchase process of August – September, 2013. The only question which remains to be considered is that how the installation of TV took place before the start of purchase process of August – September, 2013. This question has satisfactorily addressed by the statement of the Charged Officer, further corroborated by the comments of the present Professor-in-Charge of the Central Library, that it was due to the special circumstances created by cancellation of



the earlier purchase process. The only relaxation the Charged Officer appears to have provided was permitting installation of TV before issuance of order and permitting it to remain in installed position even after the cancellation of order, though any willful flouting of purchase procedure or relaxation therein has not been established. The pleading of the concerned Vendor in this regard is quite understandable since it is a known fact that even the unboxing of white goods renders them unfit for sale to new customer at same price, and this might have been the reason why the same Vendor stood lowest in the subsequent tendering.

3. Allegation of violation of purchase procedure during installation of 100 KVA Online UPS in Cyber Library, Central Library.

In this case, it has been charged that the Charged Officer did not initiate purchase process of one 100 KVA Online UPS separately and therefore he did not follow the purchase procedure for procurement of one 100 KVA Online UPS as approval of competent authority was not obtained and no purchase order was issued for the same. It is written in the charge sheet that the Vendor was requested to provide one 100 KVA Online UPS in place of 5 nos. 20 KVA Online UPS to which he complied. There appears to be no flaw in the process of procurement of 20 KVA Online UPS as it is an item under University Rate Contract. The question of violation of purchase procedure appears to be that why the Charged Officer did not initiate purchase of one 100 KVA Online UPS separately, and why did he permit replacement of the earlier procured 5 nos 20 KVA UPS. The report of the Standing Committee, as submitted by the PW3 with his written statement, does not throw any additional light on the issue apart from what is contained in the Charge Sheet.

The Charged Officer, in his reply to memorandum, has written that the technical problems encountered during installation were un-anticipated and the engineers had not informed any such problem before hand **(Page 139)**. The decision to get the 6 nos. 20 KVA UPS replaced by 1 no. 100 KVA UPS was not an individual

decision of the Charged Officer but the decision of a committee comprising of the technical persons, and the Vendor had agreed for replacement of the UPS supplied 3 months earlier only after much persuasion **(Page 90)**. He has claimed that since the issue involved deep knowledge of technical issues, the Charged Officer did not have any say in the decision of the technical persons. The replacement by Numeric Power Systems was decided by the technical persons. He has further asserted that this is not a case of placing new order for installation of 100 KVA Online UPS in Cyber library rather replacement of old order for 6 nos. 20 KVA online UPS and therefore compliance of fresh purchase procedure was not required in case of replacement.

The comments of present Professor-in-Charge of Central Library **(Page 123)** indicate that the committee of engineers persuaded the Vendor to replace the UPS supplied 3 months earlier with a single 100 KVA UPS, to which the Vendor agreed. He further said that had the Vendor not agreed to take back the 6 nos. 20 KVA UPS, or the University had initiated a separate purchase procedure for 100 KVA UPS, it would have been a loss to the University. So, he opined that no violation of purchase procedure was noted and whatever was done appeared to him as done in the best interest of the University.

From the available documents, it is made out that on 27.03.2014, a purchase order was issued for supply of 6 nos. 20 KVA UPS, and the supply was made on the next day. After over 2 months of the supply, at the time of installation, the Superintending Engineer communicated (letter at pg no. 38 of Charged Officer's reply to the memorandum) that the installation was causing serious hazards **(Page 69)**. Thereafter, a meeting of technical persons from UWD, EWSS and the manufacturer company was held with Library Officials, where it was decided that the manufacturer would replace the existing 5 nos single phase UPS, which were probably not usable under the electrical setup created by CPWD. At this stage, there would have been three options before the Charged Officer.



- i. To ask the Vendor to take the 5 nos. 20 KVA UPS back without payment, and go for fresh quotation to procure a new 100 KVA 3-phase system;
- ii. To dump the available 5 nos. 20 KVA UPS system, arrange fresh funds and go for fresh quotation to procure a new 100 KVA 3-phase system; or
- iii. To convince the Vendor to replace the 5 nos. 20 KVA UPS back with a new 100 KVA 3-phase system.

By any reasonable comprehension, it can be understood that after over 2 months of supply, unboxing and attempt to install, taking the 5 nos. 20 KVA UPS worth over 25 lakh rupees back without payment would have entailed heavy loss to the Vendor, and therefore the Vendor would not have agreed to the first option. High handedness on the part of the University would certainly have driven the Vendor towards litigation, causing problems to the University. The second option, though least troublesome for everybody, would have entailed heavy loss for the University, though there would have been no charge of violation of purchase procedure against the Charged Officer. The third option is what has been adopted, though the Charged Officer holds that it was a collective decision of technical persons and he should not be held solely responsible for violation of purchase procedure, if any. Moreover, he holds that it was not a fresh purchase, but a replacement, so holding a fresh quotation was not required.

Whether it should be considered a fresh purchase or a replacement, and whether the Charged Officer was solely responsible for this decision or it was a collective decision; if the circumstances as a whole is considered in totality, there appears to be no denying of the fact that under the given circumstances, the most prudent decision has been taken for getting the UPS system replaced, which while on one hand did not cause any financial loss to the University, on the other hand it was not too much of a discomfort for the Vendor, more so, since the price of the replacement appears to be well negotiated by the Committee comprising of technical persons **(Page 90)**.



Conclusion:

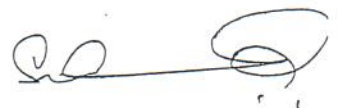
Article I: The Charge of pecuniary loss due to short supply of material is not proved. There appears to be no short supply of material. The whole confusion appears to have been created by availability of the list of "Other items" and its presentation as if it was a purchase order.

Article II: The charge of violation of University purchase procedure in purchase of Toshiba 40" LED TV is not proved. The prior installation of TV was only due to the special circumstances of cancellation of earlier purchase procedure held in February – March, 2013.

Article III: The charge of violation of purchase procedure during installation of 100 KVA Online UPS in Cyber Library, Central Library is not proved. The replacement of UPS was due to the special circumstances brought forth by UWD, and it was the most prudent way out in the given circumstances.

Decision:

The Charge against Dr. A. K. Srivastava, Librarian that he has failed to maintain absolute integrity, show lack of devotion to duty and behaved in a manner unbecoming of a university employee and thereby violated Rules 3(1) (i), (ii) and (iii) of CCS (Conduct) Rules 1964 **is not proved.**





ITEM 24

TO CONSIDER report of fact-finding committee constituted to look into the allegations leveled against Prof. Ravi Pratap Singh, Director, Institute of Agricultural Sciences, BHU regarding some dispute related to development of HUBR 2-1 rice variety.

Note:

Based on various communications of Prof. R.P. Singh, Director, Institute of Agricultural Sciences and Prof. Rajesh Singh, Department of Genetics & Plant Breeding, Institute of Agricultural Sciences regarding some dispute related to the HUBR 2-1 rice variety, the Vice-Chancellor had constituted a four man Committee under the Chairmanship of Dr. S.R. Duha, Principal Scientist, Central Rice Research Institute, Cuttack vide notification no. R/GAD/Comm./98/2203/2205-2210 dated 12-06-2013.

Since the committee did not submit its report, therefore, another committee was constituted under the Chairmanship of Prof. T.K. Adhya, Bhubaneshwar, vide notification no. R/GAD/Comm.(98)/54484-489 dated 11-03-2016. The Committee has submitted its report (**APPENDIX-24**) with the following *observations & findings:-*

Observation:

1. The original proposal for the release of the rice variety HUBR 2-1 was presented and submitted by Prof. Rajesh Singh. Prof. R.P. Singh has failed to submit convincing proof for submission of the original proposal.
2. Data submitted by Prof. R.P. Singh in his release proposal, which is a verbatim copy of the proposal submitted by Prof. Rajesh Singh, refers to data for the period 1996-1999 during which Prof. R.P. Singh was not associated with the rice breeding work.
3. Submitting an additional proposal for the release of a variety having the same IET No. (IET No. 16318) is

technically incorrect and could be used for misleading the members of the committee. As per regulation, a variety release proposal can be submitted only once under a IET number and if additional changes have been made, a new IET no. is issued and subsequently a separate proposal is submitted.

4. The committee feels there is no need to submit a separate proposal for the release of rice variety HUBR 2-1 by Prof. R.P. Singh, as it is very much clear from the Proceedings of Variety Release Committee (Beej Vimochan Upsamiti) of its 45th meeting held on 29-09-2004 (vide its letter no. SF/2748-80T/25-189 dated 5.9.2004). A total of 19 varieties were considered for release of the varieties on the recommendations of the committee in its own meeting, proposal for which were already available with the committee.
5. The proposal for release of Rice Variety HUBR 2-1, as submitted by Prof. R.P. Singh had some more names included, who might have helped in the development and evolution of the variety. However, such association were denied by Prof. H.K. Jaiswal vide his letter dated 2.6.2016. Prof. Jagdamba Singh vide letter dated 23.06.2016 also refused to have any contribution made towards the development and evolution of HUBR 2-1.
6. Accordingly, the committee recommended the following.

General Recommendation:

The University should have a procedure of archiving documents especially varietal development and release proposal, for safe custody.

Recommendation Specific for this case:

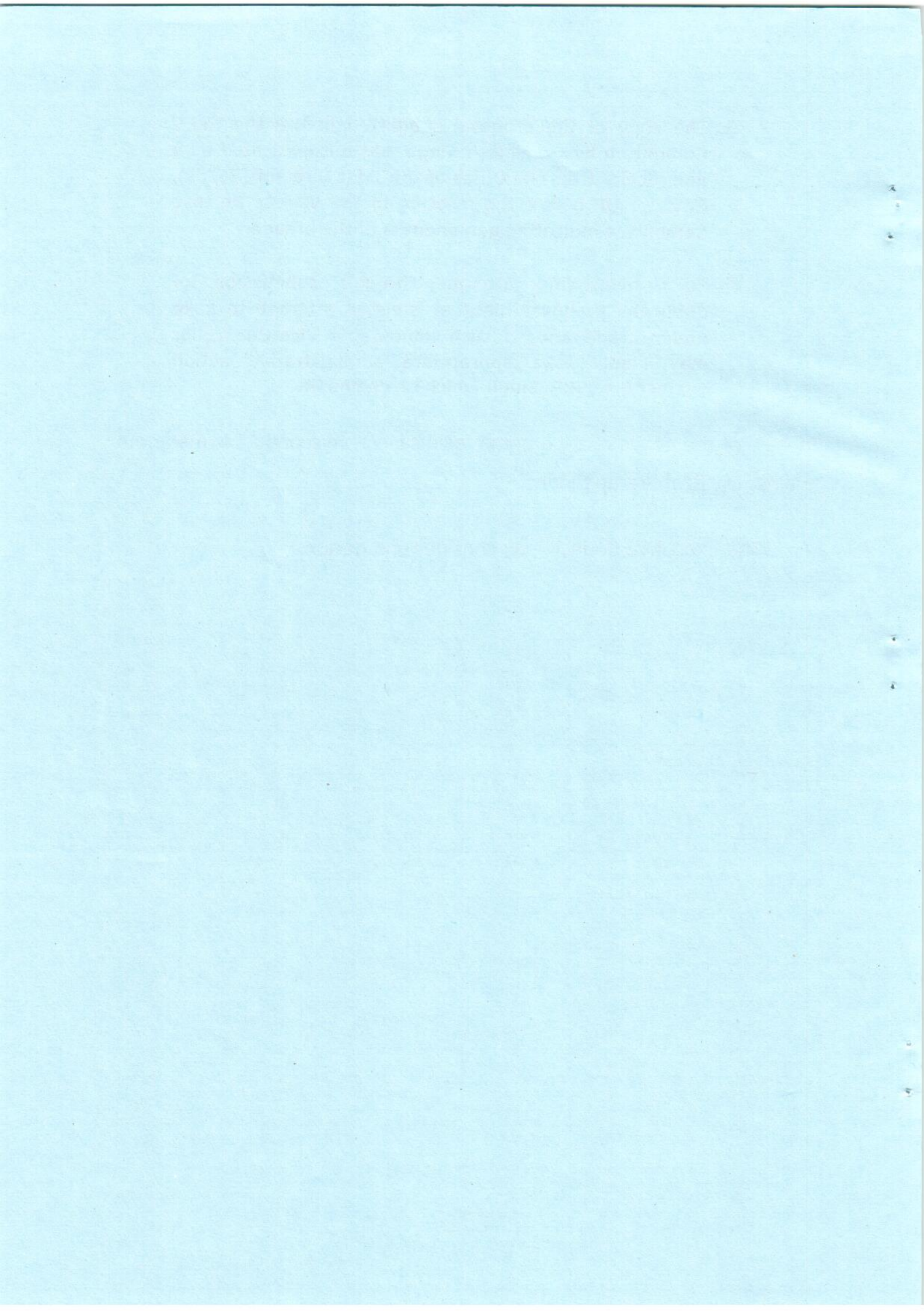
1. The duplicate proposal for release of variety submitted by Prof. R.P. Singh is almost a copy of the proposal submitted by Dr. Rajesh Singh, including the data on field trial, excepting addition of new names in the proposal. This hints at plagiarism and attempt to take undue credit for the development of the variety.
2. As per the Proceeding of the 45th Meeting SVRC for invitation was sent to Dr. Rajesh Sing by name as the breeder developing the variety.

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3. The copy of the proposal submitted before the SVRC Committee by Dr. Rajesh Singh was authenticated by a due receipt from the Office of the Joint Director (Seeds), Govt. of UP before the meeting of the Variety Release Committee indicating genuineness of the breeder.
4. Such misleading attempt through submission of duplicate proposal hints at sinister attempt to take undue credit and as such honourable Vice-Chancellor may kindly take appropriate administrative action against Prof. R.P. Singh which he deems fit.

In view of the above facts, a prima facie case of misconduct is made out against Dr. Ravi Pratap Singh.

The Executive Council may consider and decide.



REPORT OF THE COMMITTEE TO ENQUIRE ABOUT DISPUTE RELATED TO HUBR 2-1 RICE VARIETY

Final report of the committee constituted vide notification No. R/GAD/Comm (98)/54487 dated 11.03.2016 based on the two meetings held on 28th May, 2016 and subsequently on 25.06.2016 in the L.D. Guest House of the Banaras Hindu University.

The following members were present on 25.06.2016:

1. Prof. T.K. Adhya, Bhubaneswar	Chairman
2. Prof. Arun Kumar, Bhagalpur	Member
3. Dr. R.K. Singh, New Delhi	Member
4. Shri P.K. Sinha	Secretary

The committee perused all the documents submitted by the different stakeholders in response to notification issued by the Member Secretary of the instant committee. The committee also undertook personal interview of two contestants (Prof. Rajesh Singh and Prof. R.P. Singh), Ex-Heads of the Department (Prof. J.P. Lal and Prof. V.P. Singh) and present Head of the Department and Dean (Prof. Vaishampayan). Other members (Prof. Jaiswal, Prof. V.K. Srivastava and Prof. Jagdamba Singh) were also present but not called for personal discussion, as they have already clarified their stand in written communication to the Committee.

The following observations were made by the committee:

1. The original proposal for the release of the rice variety HUBR 2-1 was presented and submitted by Prof. Rajesh Singh. Prof. R.P. Singh has failed to submit convincing proof for submission of the original proposal.
2. Data submitted by Prof. R.P. Singh in his release proposal, which is a verbatim copy of the proposal submitted by Prof. Rajesh Singh, refers to data for the period 1996-1999 during which Prof. R.P. Singh was not associated with the rice breeding work.
3. Submitting an additional proposal for the release of a variety having the same IET No. (IET No. 16318) is technically incorrect and could be used for misleading the members of the committee. As per regulation, a variety release proposal can be submitted only once under a IET number and if additional changes have been made, a new IET no. is issued and subsequently a separate proposal is submitted.
4. The committee feels there is no need to submit a separate proposal for the release of rice variety HUBR 2-1 by Prof. R.P. Singh, as it is very much clear from the Proceedings of Varietal Release Committee (Beej Vimochan Upsamiti) of its 45th meeting held on 29.09.2004 (vide its letter No. SF/2748-80T/25-189 dated 5.9.2004). A total of 19 varieties were considered for release of the varieties on the recommendations of the committee in its own meeting, proposals for which were already available with the committee (Annexure – A).

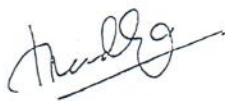
5. The proposal for release of Rice Variety HUBR 2-1, as submitted by Prof. R.P. Singh had some more names included, who might have helped in the development and evolution of the variety. However, such association were denied by Prof. H.K. Jaiswal vide his letter dated 2.6.2016 (Annexure – B). Prof. Jagdamba Singh vide letter dated 23.06.2016 also refused to have any contribution made towards the development and evolution of HUBR 2-1 (Annexure – C).
6. Accordingly, the committee recommended the following:

General Recommendation:

1. The University should have a procedure of archiving documents especially varietal development and release proposals, for safe custody.

Recommendation Specific for this Case

1. The duplicate proposal for release of variety submitted by Prof. R.P. Singh is almost a copy of the proposal submitted by Dr. Rajesh Singh, including the data on field trial, excepting addition of new names in the proposal. This hints at plagiarism and attempt to take undue credit for the development of the variety.
2. As per the Proceedings of the 45th Meeting SVRC for invitation was sent to Dr. Rajesh Singh by name as the breeder developing the variety.
3. The copy of the proposal submitted before the SVRC Committee by Dr. Rajesh Singh was authenticated by a due receipt from the Office of the Joint Director (Seeds), Govt. of UP before the meeting of the Variety Release Committee indicating genuineness of the breeder (Appendix – D).
4. Such misleading attempt through submission of duplicate proposal hints at sinister attempt to take undue credit and as such honourable Vice-Chancellor may kindly take appropriate administrative action against Prof. R.P. Singh which he deems fit.

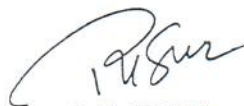


T.K. ADHYA
CHAIRMAN

Ank
25-06-16
ARUN KUMAR
MEMBER



R.K. SINGH
MEMBER



P.K. SINHA
SECRETARY

बीज विद्यमान उपसमिति की 45वीं बैठक की कार्यवृत्ति

(P)

कृषि निदेशांक उत्तर प्रदेश का प्रकाश संसोधन 0/2743-80-टी/25-189/दिनांक 15/09/04 के क्रम में विभिन्न फसलों के कुल प्राप्त 19 प्रजातियों के विमोचन को संस्तुति हेतु बैठक आयोजित की गयी। उपस्थित अधिकारियों/विशेषज्ञों की सूची संलग्न है। बैठक में कृषि विश्वविद्यालय जलियाँवाड़ा, उ०प्र० कृषि अनुसंधान परिषद भारतीय जलहन अनुसंधान संस्थान कानपुर, भारतीय जल विकास संस्थान का कोई प्रतिनिधि उपस्थित नहीं हुआ।

समिति के अध्यक्ष कृषि निदेशक उ०प्र० ने बैठक में उपस्थित अधिकारियों/विशेषज्ञों तथा प्रजातीय प्रस्तावकों का स्वागत किया तथा महत्वपूर्ण शासकीय कार्यों में व्यस्तता का उल्लेख करते हुए बैठक की कार्यवाही के संचालनाथ श्री एस०के०सिंह अवर कृषि निदेशक (जलियाँ) को नामित किया।

संयुक्त कृषि निदेशक (बीज एवं प्रक्षेत्र) ने गत बैठक के अनुपालन के सम्बन्ध में समिति को यह अवगत कराया कि दिनांक 19/09/02 को कुल 15 प्रस्तावों पर विचार-विमर्श के उपरान्त 8 प्रजातियों विमोचन हेतु संस्तुत की गयी थी।

वर्तमान 45वीं बैठक में विचार-विमर्श हेतु कुल 19 प्रस्ताव प्राप्त हुए हैं। इन प्रजातियों के सम्बन्ध में अखिल भारतीय समन्वित परीक्षणों तथा प्रदेश के समन्वीय कृषि परीक्षण एवं प्रदर्शित केन्द्रों पर आयोजित परीक्षण परिणामों की विधिवत समीक्षा के उपरान्त निर्धारित 19 प्रजातियों विमोचन हेतु संस्तुत की गयी तथा एक प्रजाति का नमूना प्राप्त कर संस्तुत किये जाने का निर्णय लिया गया। प्रजातिवार विवरण एवं संस्तुत प्रजातियों के गुण इस प्रकार उल्लिखित हैं—

क्र०	फसल/प्रजाति	प्रस्तावक	संस्था	संस्तुत प्रजातियों के गुण एवं अन्य विवरण
1-	गेहूँ (मन्दाकिरी)	क० 935-1	कन्दशेखर कृषि एवं विश्वविद्यालय कानपुर	आजाद परिपक्वता अवधि 112दिन, दाने का आकार मोटा, मुरी गुरुद के प्रति उच्च सहनशील, उच्च प्रोटीनयुक्त, रोटी के अच्छे गुण असिचित सामयिक बुवाई कम उर्वरता की दशा में औसी/चित्रकूट मण्डल हेतु प्रजाति के 8027(मिगडर) के विकल्प के रूप में संस्तुत की गयी। उत्पादकता 27.9कु०/ हे० है
2-	नरसुन्द गेहूँ 1067	कृषि एवं विश्वविद्यालय फजावाड़	कृषि एवं प्रायोगिक	परिपक्वता अवधि 121-125दिन, पोधा की ओसत ऊँचाई 90-95सेमी०, क्षारीय एवं लवणीय मृदा में परीक्षित, प्रोटीन 12.37 प्रति० लाजिंग, सेटरिंग तथा वाउनरस्ट अवरोधी। सामयिक बुवाई, सिंचित एवं उच्च उर्वरता की स्थिति में उत्तम मूल्य हेतु संस्तुत।

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विश्वविद्यालय काजपुर
 4- धान - HUBR (सिलवीय बासमती-1) कृषि विज्ञान संस्थान बी०एच०यू० वाराणसी विश्वविद्यालय काजपुर यह प्रजाति 44वां बंदक में भी प्रस्तुत की गयी थी जिसमें यह निर्णय लिया गया था कि छोटे दाने की किसी प्रजाति के सापेक्ष एक वर्ष अतिरिक्त परीक्षण प्रदेश के सामाजिक कृषि परीक्षण एवं प्रदर्शन केन्द्रों पर किया जाय रबी 2003-04 में VI-408 प्रजाति की तुलना में परीक्षण प्रदेश के परीक्षण केन्द्रों पर किया गया। पछेती एवं सामान्य बुवाई हेतु प्रस्तावित की गयी। औसत परिपक्वता अवधि 128 दिन प्रादीन 25.6 प्रतिशत, रस्ट एवं विल्ड रोग के प्रति सहनशील अखिल भारतीय समन्वित परीक्षण के अन्तर्गत औसत उत्पादकता

परिपक्वता अवधि 80-85 दिन औसत उत्पादकता 30.71 कु०/ हे० प्रादीन 12.05 प्रति० दाना विल्ड वाउटरस्ट एवं अन्य प्रमुख रोगों से अवरोधी अत्यन्त पछेती बुवाई हेतु अधिभूजित प्रजाति के-7903 (हलना) में परिपक्वता के उपरान्त दाना झुजने की समस्या को दूर करके बहतर विकल्प के रूप में प्रस्तुत।
 4- धान - HUBR (सिलवीय बासमती-1) कृषि विज्ञान संस्थान बी०एच०यू० वाराणसी विश्वविद्यालय काजपुर परिपक्वता अवधि 130-140 दिन प्रति वाली में दाना की सं० 130-140 मिलियन प्रतिशत 70-72 एल०बी० रेशियो 3.5-4.00 औसत उत्पादन 30-36 कु०/ हे० एरामी स्ट्रॉंग सेन्ट सम्पूर्ण उत्तर प्रदेश हेतु प्रस्तुत।

5- धान - HUBR 3022 (सिलवीय धान 3022) कृषि विज्ञान संस्थान बी०एच०यू० वाराणसी विश्वविद्यालय काजपुर परिपक्वता अवधि 95-100 दिन उत्पादकता 45-55 कु०/ हे० प्रति वाली में दाना की संख्या 130-140 मिलियन प्रतिशत 70-72 सुगन्धरहित दाना की बुवाई 23-26 मई 04 अल्पकालीन यह प्रजाति सम्पूर्ण उत्तर प्रदेश हेतु प्रस्तुत।

6- ज्वार - SPV-1388 (बुन्देला) चन्द्रशेखर आजाद कृषि एवं प्रौद्योगिक विश्वविद्यालय काजपुर पोष्य की ऊंचाई 240-250 सेमी० परिपक्वता अवधि 110-115 दिन सूखा एवं अवरोधी उत्पादकता 32 कु०/ हे० दाना विल्ड दाने के साथ साथ चौर के लिए भी उत्तम प्रजाति वर्षा आश्रित खरीफ में सम्पूर्ण उत्तर प्रदेश हेतु प्रस्तुत।

7- मसूर - KLB 303 चन्द्रशेखर आजाद कृषि एवं प्रौद्योगिक विश्वविद्यालय काजपुर यह प्रजाति 44वां बंदक में भी प्रस्तुत की गयी थी जिसमें यह निर्णय लिया गया था कि छोटे दाने की किसी प्रजाति के सापेक्ष एक वर्ष अतिरिक्त परीक्षण प्रदेश के सामाजिक कृषि परीक्षण एवं प्रदर्शन केन्द्रों पर किया जाय रबी 2003-04 में VI-408 प्रजाति की तुलना में परीक्षण प्रदेश के परीक्षण केन्द्रों पर किया गया। पछेती एवं सामान्य बुवाई हेतु प्रस्तावित की गयी। औसत परिपक्वता अवधि 128 दिन प्रादीन 25.6 प्रतिशत, रस्ट एवं विल्ड रोग के प्रति सहनशील अखिल भारतीय समन्वित परीक्षण के अन्तर्गत औसत उत्पादकता

3-	धान IET-1665 (निरुद्ध उत्सर्ग प्रयोगिक दशमक धान-3)	चन्द्रशेखर कृषि प्रौद्योगिक विश्वविद्यालय कानपुर	एवं आजाद	इसका परीक्षण कराया जाय। तब ही उपरोक्त प्रस्ताव पेश किया जाय। यह प्रजाति को यह सकार प्रजाति प्रदेशों के उत्सर्ग मिति के क्षेत्र में प्रस्तुत किया गया है। यदि प्रदेशों के उत्सर्ग क्षेत्रों में प्रजाति को कोई सकार प्रजाति उपलब्ध नही है। जिससे इसकी तुलना की जा सके। अतः निर्णय लिया गया कि प्रजाति विशेष उपसमिति द्वारा एक ताबु उपसमिति द्वारा प्रस्ताव का अध्ययन कर निर्णय लिया जाय।
4-	धान IET-16159 (NDR-5080) (निरुद्ध उत्सर्ग धान-4)	चन्द्रशेखर कृषि प्रौद्योगिक विश्वविद्यालय कानपुर	एवं आजाद	लोकप्रिय क्षारीय मृमि हेतु मध्यकाल के लिए यह प्रजाति प्रस्तावित की गयी किन्तु इसका परीक्षण प्रदेश के समन्वय कृषि परीक्षण एवं प्रदर्शन केंद्रों पर मात्र एक वर्ष ही हुआ है। अतः निर्णय लिया गया कि उत्सर्ग मिति वाले समन्वय कृषि परीक्षण एवं प्रदर्शन केंद्रों पर उत्सर्ग हेतु सञ्जुत समकालीन प्रजातियों के सापेक्ष दो वर्ष परीक्षण आयोजित किये जाय।
5-	धान HUR-103 (मालवीय धान- 103)	कृषि विज्ञान संस्थान बी०एच०यू० वाराणसी	आजाद	मध्यकालीन स्थिति देश के लिए इस प्रजाति का प्रस्ताव प्रस्तुत किया गया किन्तु इस अवधि के लिए उपलब्ध प्रजातियों की तुलना में परिणाम संतोषजनक न होने के कारण प्रजाति सञ्जुत योग्य नहीं पाई गयी।
6-	मूंगफली- ICSV-93468 (अवतार)	चन्द्रशेखर कृषि एवं प्रौद्योगिक विश्वविद्यालय कानपुर	आजाद	यह प्रजाति ग्रीष्मकाल हेतु प्रस्तावित की गयी और यह बताया गया कि इस अवधि के लिए कोई प्रजाति उपलब्ध न होने के कारण इसका परीक्षण प्रदेश के समन्वय कृषि परीक्षण एवं प्रदर्शन केंद्रों पर नहीं किया गया। निर्णय लिया गया कि प्रदेश के मूंगफली उत्पादक क्षेत्रों में स्थापित समन्वय कृषि परीक्षण एवं प्रदर्शन केंद्रों पर मूंगफली की सञ्जुत प्रजातियों की तुलना में जायद में परीक्षण कराया जाय।
7-	मक्का सकुल R-9303 (आजाद कान्ति)	चन्द्रशेखर कृषि एवं प्रौद्योगिक विश्वविद्यालय कानपुर	आजाद	दिनांक 19.09.02 को आयोजित 44वीं बैठक में इस प्रजाति के प्रस्ताव पर यह निर्णय लिया गया था कि प्रदेश में लोकप्रिय अल्पकालीन

- 14-36कु0/ हे0 नमूना 30प्र0 हेतु सीनाइव एवं पछती धुवई के लिए सस्तुत।
- 8- मसूर (K-320 चन्द्रशेखर आजाद पोटीज 24प्रतिशत, बीज का आकार मीडियम (शेखर मसूर-3) कृषि एवं प्रौद्योगिक बोल्ड रस्ट एवं विरुद अवरोधी ओसत विश्वविद्यालय कानपुर परिपक्वता अवधि 124दिन, 100 दाना का भार 2.3ग्राम, सम्पूर्ण 30प्र0 हेतु सस्तुत की गयी।
- 9- राई- NDY-R-3 नरेंद्रदेव कृषि एवं पोधों की ऊंचाई 190 से 195सेमी0 दाने का (नरेंद्र स्वर्णा प्रौद्योगिक विश्वविद्या- रंग पीला, परिपक्वता अवधि 130-135दिन राई-8) लय, फेजाबाद। हवाइट रस्ट एवं आलेटरनेरिया ब्लाइट के प्रति सहनशील, तेल प्रतिशत 45.69, उत्पादन 15-20कु0/ हे0। सम्पूर्ण 30प्र0 हेतु सस्तुत।
- 10- धान नरेंद्र धान नरेंद्रदेव कृषि एवं पोधों की ऊंचाई 80-90सेमी0, परिपक्वता -2026 (रिचा) प्रौद्योगिक विश्वविद्या- अवधि 110-115दिन, परिपक्वता वर्ग मध्य लय, फेजाबाद। अगेती, ब्रोउन स्पॉट एवं शीथ राट अवरोधी, ओसत उत्पादकता 45-50कु0/ हे0, मिलिंग रिकवरी 67.2प्रति0, नरेंद्र-80 एवं साकत-4 से अधिक उत्पादन क्षमता। प्रस्तावक द्वारा इस प्रजाति के दाने का नमूना बढक में पस्तुत न किये जाने के कारण नमूना प्राप्त होने तक सस्तुति रोक़ी गयी।

उपर्युक्त 10 प्रजातीय प्रस्तावों के अतिरिक्त 9 अन्य प्रेषित प्रस्तावों पर भी गहन चर्चा हुई और निम्नवत निर्णय लिए गये-

- 1- गेहूँ WCG-95-3 सरदार वल्लभ भाई यह प्रजाति एन.डी.डब्लू.1012 तथा यूपी- पटेल कृषि एवं प्रौद्योगिक 2382 को चेक के रूप में रखकर धरिषित की विश्वविद्यालय, मरद। गयी है। अतः निर्णय लिया गया कि प्रदेश के सभी सभागीय कृषि परीक्षण एवं प्रदर्शन केन्द्रों पर प्रजाति पी.बी.डब्लू. 343 के साथ रबी 04-05 में परीक्षण आयोजित कराये जायें, तत्पश्चात परिणामों के साथ प्रस्ताव पुनः प्रेषित किया जाय।
- 2- गेहूँ (सांजा) K-9851 चन्द्रशेखर आजाद यह ड्यूरम प्रजाति झोंसी/ चित्रकूट मण्डल कृषि एवं प्रौद्योगिक हेतु प्रस्तावित की गयी, किन्तु सभागीय कृषि विश्वविद्यालय कानपुर परीक्षण एवं प्रदर्शन केन्द्र झोंसी पर इसका परीक्षण नहीं किया गया। अतः निर्णय लिया गया कि झोंसी केन्द्र पर मण्डल हेतु सस्तुत ड्यूरम/ अन्य प्रजातियों को चेक रखकर

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कृषि निदेशालय उत्तर प्रदेश
(बीज एवं प्रक्षेत्र अनुभाग)
कृषि भवन-लखनऊ

पत्रांक-एस0एफ0/3765-85 दिनांक 25-189 दिनांक अक्टूबर 2004

प्रतिनिधि-निम्नलिखित को सूचनाएं एवं आवश्यक कार्यवाही हेतु-

- 1- निदेशक(शोध) केंद्र राखर आजाद कृषि एवं पौद्योगिक विश्वविद्यालय, कानपुर।
- 2- निदेशक(शोध) चरन्धर कृषि एवं पौद्योगिक विश्वविद्यालय, कुमारगंज, (फेजाबाद)।
- 3- निदेशक(शोध) सरदार वल्लभ भाई पटेल कृषि एवं प्रौद्योगिक विश्वविद्यालय, मेरठ।
- 4- निदेशक(शोध) मान्य कृषि विश्वविद्यालय, देवी (इलाहाबाद)।
- 5- निदेशक कृषि-सकाय, बनारस हिन्दू विश्वविद्यालय, वासपानी।
- 6- उप महानिदेशक(फसल) उ0प्र0 कृषि अनुसंधान परिषद, 8वां तल, मण्डी भवन-लखनऊ।
- 7- निदेशक भारतीय दलहन अनुसंधान संस्थान, कल्यानपुर, कानपुर।
- 8- निदेशक उद्यान एवं खाद्य प्रसंस्करण, उद्यान भवन संपू मार्ग-लखनऊ।
- 9- निदेशक पशुपालन विभाग उ0प्र0 लखनऊ।
- 10- निदेशक अथवा प्रतिनिधि भारतीय चारा विकास संस्थान, झोसी।
- 11- निदेशक उ0प्र0 राज्य बीज प्रमाणीकरण संस्था, राजकीय उद्यान परिसर आत्मबाग, लखनऊ।
- 12- प्रतिनिधि सचिव केंद्रीय बीज समिति, भारत सरकार, एफ-106 शास्त्री भवन, नई दिल्ली।
- 13- प्रतिनिधि निदेशक राष्ट्रीय बीज विभाग, भारत सरकार कृषि मंत्रालय, कृषि भवन नई दिल्ली।
- 14- प्रबन्ध निदेशक उ0प्र0 बीज विकास निगम महानगर, फेजाबाद रोड-लखनऊ।
- 15- समस्त उप कृषि निदेशक-कम- प्रमारी अधिकारी, समायोज्य कृषि परीक्षण एवं प्रदर्शन केंद्र उ0प्र0।
- 16- संयुक्त कृषि निदेशक (प्रयोग एवं प्रदर्शन), उ0प्र0 कृषि भवन-लखनऊ को कतिपय उल्लिखित प्रजातियों पर परीक्षण आयोजित कराने हेतु।
- 17- अपर कृषि निदेशक (सासान्य) उ0प्र0 कृषि भवन-लखनऊ।
- 18- अपर कृषि निदेशक (चावल) उ0प्र0 कृषि भवन, लखनऊ।
- 19- अपर कृषि निदेशक (तिलहन) उ0प्र0 कृषि भवन, लखनऊ।
- 20- परियोजना निदेशक (गहू) उ0प्र0 कृषि भवन-लखनऊ।
- 21- परियोजना निदेशक (दलहन) उ0प्र0 कृषि भवन-लखनऊ।
- 22- कृषि मन्त्रालय कृषि, उ0प्र0 शासन कृषि अनुभाग-2, तपिबनला, लखनऊ को सेवा में सूचनाएं प्रेषित।

(जि0पी0गं0)

संयुक्त कृषि निदेशक(बीज एवं प्रक्षेत्र)

उत्तर प्रदेश।

7/-

सर्वका प्रजातियों जातपुरा फरुखाबादी तथा मेरठ लोकल की तुलना में-समागीय कृषि परीक्षण एवं प्रदर्शन केन्द्रों पर परीक्षण कराने के बाद परिणाम के साथ प्रस्ताव प्रस्तुत किया जाय। यह परीक्षण आयोजित कराये बिना पूर्व प्रस्ताव ही पुनः प्रस्तुत किये जाने पर समिति ने यह निर्णय लिया कि गत निर्णय प्रभावी रहेगा।

8- हुक्का तस्वाकू चन्द्रशेखर आजाद
S.I-1 (आजाद कृषि एवं प्रौद्योगिक
कचन) विश्वविद्यालय कानपुर

प्रदेश में अब तक हुक्का तस्वाकू की कोई प्रजाति अधिसूचित न होने का उल्लेख करते हुए प्रस्तावक द्वारा यह बताया गया कि इसकी तुलना लोकल प्रजातियों से ही की गई है। यह निर्णय लिया गया कि उत्तम उत्तरी में किन्हीं-समागीय कृषि परीक्षण एवं प्रदर्शन केन्द्रों पर स्थानीय प्रजाति के ही परीक्षण आयोजित कराये जाय। केन्द्रों को नाम सयुक्त कृषि निदेशक (श्याम एवं प्रदर्शन) द्वारा तत्काल सूचित किया जायेगा तथा एक एवं प्रस्तावित प्रजाति को बेहन आवश्यकता अनुसार कृषि विश्वविद्यालय कानपुर द्वारा उपलब्ध कराई जायेगी जिस पर डा० एस० पी० सचान प्रस्तावक भी सहमत थे।

9- चना WCG-3 सरदार वल्लभ माई
(वल्लभ कल्लर पटेल कृषि एवं प्रौद्योगिक
चना) विश्वविद्यालय मेरठ

यह प्रजाति कल्लर भूमि (लक्ष्मीय क्षारीय) हेतु सामयिक बुवाई सिंचित एवं असिंचित दोनों दशाओं हेतु प्रस्तावित की गयी। इसका परीक्षण प्रदेश के समागीय कृषि परीक्षण एवं प्रदर्शन केन्द्रों पर कल्लर भूमि में परीक्षण की सुविधा न होने के कारण परीक्षित नहीं की जा सकी। अतः निर्णय लिया गया कि धान प्रजाति NDV RH-3 की भाँति इस प्रजाति के सम्बन्ध में निर्णय हेतु उपसमिति से समीक्षा करा ली जाय।

अन्त में अपर कृषि निदेशक (चावल) उ०प्र० द्वारा सभी उपस्थित अधिकारियों / वैज्ञानिकों के प्रति आभार व्यक्त करते हुए बैठक सञ्जाप्त की गयी।

ह०/-
(आइ०एस० पाण्डेय)
कृषि निदेशक
उत्तर प्रदेश।

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प्रतिदिपि— निम्नांकित प्रभावक को जल्द अर्थात् स प्राप्ति के समस्त प्रजातियों का प्रभाव परस्पर भारत सरकार को तत्काल प्रेषित करने हेतु शीघ्र भित्त करके का प्रकृत का प्रजातियों पर प्री सुपा अर्थात् जिन का निप्रय लिया गया है उनका योज समुच्चन का प्रसिद्धक (प्रथम एवं पदप्रति) कृषि मन्त्रालय के परामर्श पर सम्प्रापित कृषि परामर्श एवं प्रत्येक कृषि परामर्श से सुपलक्ष करा है

- 1- प्रजनक गेहूँ सरदार वल्लभ साहू पटल कृषि एवं प्रौद्योगिकी विश्वविद्यालय सीतापुरम् - मरह
- 2- डा० देवी सिंह प्रजनक चना सरदार वल्लभ साहू पटल
- 3- डा० कृष्णसिंह वीरर गेहूँ चन्द्रशख आजाद कृषि एवं प्रौद्योगिकी विश्वविद्यालय कानपुर
- 4- डा० एल०पी० तिवारी
- 5- डा० वी०एस० सिंह वीरर ज्वार
- 6- डा० आर०एस० सिंह अमिजनक संगफली
- 7- डा० ए०एस० राजी अमिजनक मसूर
- 8- डा० एस०पी० सचान अमिजनक तम्बाकू हुक्का
- 9- डा० एन०एस० शुक्ला अमिजनक मक्का
- 10- धान प्रजनक कृषि विज्ञान संस्थान बी०एच०यू० वाराणसी
- 11- डा० सजेश-सिंह प्रजनक धान कृषि विज्ञान संस्थान बी०एच०यू० वाराणसी
- 12- डा० आर०एस० सिंह अमिजनक गेहूँ सरस्वती कृषि एवं प्रौद्योगिकी विश्वविद्यालय फर्रुखाबाद
- 13- डा० आर०एस० कर्मा धान प्रजनक सरस्वती कृषि एवं प्रौद्योगिकी विश्वविद्यालय फर्रुखाबाद
- 14- डा० सी०एच० मिश्रा प्रजनक धान
- 15- डा० वाई०एस० चौहान प्रजनक राई

(जि०पी०गत्त)
संयुक्त कृषि निदेशक
(बीज एवं प्रसन्न)
उत्तर प्रदेश

45वीं राज्य प्रजाति विमोचन समिति की बैठक दिनांक 29.09.04

स्थान - सभा कक्ष, कृषि भवन-लखनऊ

क्र०	सहभागी वैज्ञानिकों/अधिकारियों का नाम	पदनाम	संस्था/विभाग का नाम
1-	डा०आर०एस०पाण्डेय	कृषि निदेशक, उ०प्र०	कृषि विभाग
2-	श्री एस०के०सिंह	अपर कृषि निदेशक, यावल	
3-	,, एस०पी०अपाध्याय	प्रबन्ध निदेशक	उ०प्र० बीज विकास निगम
4-	,, जे०पी०गर्ग	संयुक्त कृषि निदेशक बीज एवं प्रक्षेत्र	कृषि विभाग
5-	डा०आर०पी०केटियार	निदेशक, गीथ	कृषि विश्वविद्यालय, कानपुर
6-	डा०सी०एच०मिश्रा	प्रोफेसर स्पड हेड	
7-	डा०एन०एस०शुक्ला	मक्का अभिजनक	
8-	डा०देवी सिंह	विभागाध्यक्ष, जेनेटिक्स स्पड प्लान्ट ब्रीडिंग	कृषि विश्वविद्यालय, मेरठ
9-	डा० जगदम्बा सिंह	प्रोफेसर, राइस ब्रीडिंग	कृषि विज्ञान संस्थान, बी०एच० ५०, वाराणसी
10-	डा०वी०पी०सिंह	अपर निदेशक	पशुपालन विभाग
11-	बाबू रंगम	संयुक्त निदेशक	उद्यान विभाग
12-	श्री एस०एस०सिंह	सहायक प्रबन्धक	राष्ट्रीय बीज निगम
13-	डा०वाई०पी०सिंह	विभागाध्यक्ष, जेनेटिक्स स्पड प्लान्ट ब्रीडिंग	कृषि विश्वविद्यालय, कानपुर
14-	डा०राजेश सिंह	प्रजनक, धान	बी०एच०५०, वाराणसी/ वी०पी०के०एस०, अल्मोडा
15-	डा०एल०पी०तिवारी	गेहूँ प्रजनक	कृषि विश्वविद्यालय, कानपुर
16-	डा०आर०एस०वर्मा	धान अभिजनक	ससोधर्म फार्म, कृषि वि०वि०फैजाबाद
17-	डा०वी०एस०सिंह	गेहूँ प्रजनक	कृषि विश्वविद्यालय, फैजाबाद
18-	डा०आर०एस०सिंह	प्राध्यापक सस्य विज्ञान	कानपुर
19-	डा०एस०एस०राठी	ब्रीडर दलहन	
20-	डा०एस०पी०सचान	एसोसिएट प्रोफेसर/ असोसिएट इंजीनियरिंग	
21-	डा०वाई०एस०चौहान	प्रोफेसर जेनेटिक्स स्पड प्लान्ट ब्रीडिंग	फैजाबाद
22-	डा० के० कुमर	तिलहन प्रजनक	
23-	डा० डी०पी०त्रिपाठी	एसोसिएट प्रोफेसर प्लान्ट प्रोथिनेजी	कानपुर
24-	डा०हरिचन्द्र सिंह	सहायक प्रजनक	
25-	डा०जी०पी०वर्मा	सहायक प्रोफेसर	फैजाबाद
26-	डा०अजय कृष्ण	संयुक्त कृषि निदेशक बी०आर०अनुभाग	कृषि भवन-लखनऊ
27-	डा०जे०एल०त्रिवेदी	वरिष्ठ धान अभिजनक/ प्रभारी अधिकारी	ससोधर्म फार्म, कृषि वि०वि०फैजाबाद

28-	श्री. राजू नारायण	नंदवदन राजवत	आर.स. भवन-लखनऊ
29-	श्री. लख प्रकाश	पुनःपुनः लखनऊ	आर.स. भवन-लखनऊ
30-	डा० अर० ए० सिंह	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
31-	डा० अर० बी० सिंह	प्रसन्नयापक-लखनऊ	आर.स. भवन-लखनऊ
32-	श्री. नन्दलाल सिंह	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
33-	श्री. जेतप्रसाद	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
34-	श्री. जे० ए० मिश्रा	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
35-	श्री. ए० अर० ए० जयप्रकाश	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
36-	श्री. वि० ए० श्रीवास्तव	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
37-	श्री. राम श्री० ए० ए०	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
38-	श्री. हरद्वारी राम निषाद	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
39-	डा० वी० रेन्ट्रु कुमर	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
40-	श्री. अर० ए० ए० दुबे	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
41-	श्री. अर० के० वसन्त	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
42-	श्री. अर० ए० ए० यादव	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
43-	श्री. महेश चन्द्र पाण्डेय	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
44-	श्री. लोकेन्द्र सिंह	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
45-	श्री. अर० ए० ए० यादव	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
46-	श्री. जे० ए० ए० गुजरा	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ
47-	श्री. अर० के० दीक्षित	अनवरपुरी ०५०५५०	आर.स. भवन-लखनऊ

प्रेषक,

संयुक्त कृषि निदेशक(बीज एवं प्रक्षेत्र)/ कन्चेनर
बीज विमोचन उप समिति, उत्तर प्रदेश,
कृषि भवन, लखनऊ

सेवा में,

उपायुक्त (क्वालिटी कन्ट्रोल)
भारत सरकार, कृषि मन्त्रालय,
कृषि एवं सहकारिता विभाग
एफ--218, शास्त्री भवन, नई दिल्ली।

पत्रांक /5697-991/ 25-120/

दिनांक 16 मार्च 2005

महोदय,

राज्य विमोचन उपसमिति की 45 वी बैठक दिनांक 29-9-04 को कृषि भवन लखनऊ में सम्पन्न हुई थी, जिसमें कृषि विज्ञान संस्थान बी०एच०यू० वाराणसी की धान की प्रजाति एच०यू०आर--3022 (मालवीय धान 3022) एवं एच०यू०वी०आर-2-1 (मालवीय बासमती धान-1) के अनुमोदन हेतु संस्तुति प्रदान की गई थी।

उक्त प्रजातियों के अनुमोदन प्रस्ताव की 100-100 प्रतियाँ तथा विमोचन प्रस्ताव की एक प्रतियाँ इस अनुरोध के साथ प्रेषित की जा रही है कि अधिसूचना की कार्यवाही यथा शीघ्र करने का कष्ट करें।

भवदीय,

(ज०पी०गर्ग)

संयुक्त कृषि निदेशक(बीज एवं प्रक्षेत्र)
उत्तर प्रदेश।

प्रतिलिपि:- डा०राजेश सिंह, पूर्व धान अभिजनक/ डा०आर०पी०सिंह, प्रोफेसर, एवं वरिष्ठ, चावल अभिजनक, पादप प्रजनन विभाग, काशी हिन्दू विश्वविद्यालय बी०एच०यू० वाराणसी को इस आशय से कि उक्त प्रस्तावों की प्रतियाँ भारत सरकार को यथा शीघ्र उपलब्ध कराने का कष्ट करें।

2- निदेशक, कृषि विज्ञान संस्थान काशी हिन्दू विश्वविद्यालय बी०एच०यू० वाराणसी का सूचनार्थ प्रेषित।

(ज०पी०गर्ग)

संयुक्त कृषि निदेशक(बीज एवं प्रक्षेत्र)
उत्तर प्रदेश।

ITEM 25

TO CONSIDER initiating disciplinary proceeding against Dr. Rana Gopal Singh, Distinguished Professor (Ex-Director, Institute of Medical Sciences), BHU for allegation of Financial irregularities.

NOTE :-

Dr. Rana Gopal Singh while functioning as Head, Department of Nephrology, Institute of Medical Sciences had taken an advance of Rs. 17,36,000/- for purchase of Shimadzu Analytical HPLC System.

The non adjustment of the aforesaid advance came to notice while processing the "no dues" form of Dr. R.G. Singh after his retirement.

On further inquiry, the Head, Department of Nephrology vide his letter no. Nephro/2015-16/317 dated 24-02-2016 had informed that:-

"Department of Nephrology have no information/ knowledge on the item Shimadzu Analytical HPLC System order to M/s Tirupati Trading Co. vide OB No. 44(414) dated 31-3-2013. To the best of my knowledge the above equipment was never installed in the department of Nephrology".

Perusal of documents indicate that the advance amounting to Rs. 17,36,000/- was sanctioned as per the following undertaking submitted by Dr. R.G. Singh in his capacity as Director, Institute of Medical Sciences.

"This is to certify that the grant is lapsable and items/equipments is likely to be deliver after 31st March, 2013 therefore, payment is being processed on proforma invoice. The cheque will be in the hand of undersigned to be handed over to the supplier on successful and satisfactory supply of items and installation by the supplier"

Despite the aforesaid categorical undertaking Dr. R.G. Singh handed over the Cheque dated 31-03-2013 to M/s Tirupati Trading Corporation which was encased on 16-04-2013 despite the fact that the machine was never installed.

Sri Ranjan Gautam, Proprietor, M/s Tirupati Trading Corporation, supplier of Shimadzu Analytical HPLC System in reply to the clarification sought by the Assistant Registrar (Accounts), SSH-IMS-Finance Branch vide letter no. IMS-SSH/F(B)/2016-17/140-145 dated 06-06-2016 replied that he had supplied the instrument on 17-07-2013 vide challan no. 403. An office staff of the Department of Nephrology was alleged to have received the instrument and signed on the Challan as a token of receipt.

The above reply of M/s Tirupati Trading Corporation, on further verification has been found to be incorrect as the Head, Department of Nephrology has categorically stated that Shimadzu Analytical HPLC system has not been installed and the signature of the recipient of the machine appears to be forged & false.

The Field Audit Party of IAO has also raised objections regarding payment of Rs. 17,36,000/- without obtaining the goods from M/s Tirupati Trading Corporation despite the undertaking submitted by Prof. R.G. Singh to the contrary.

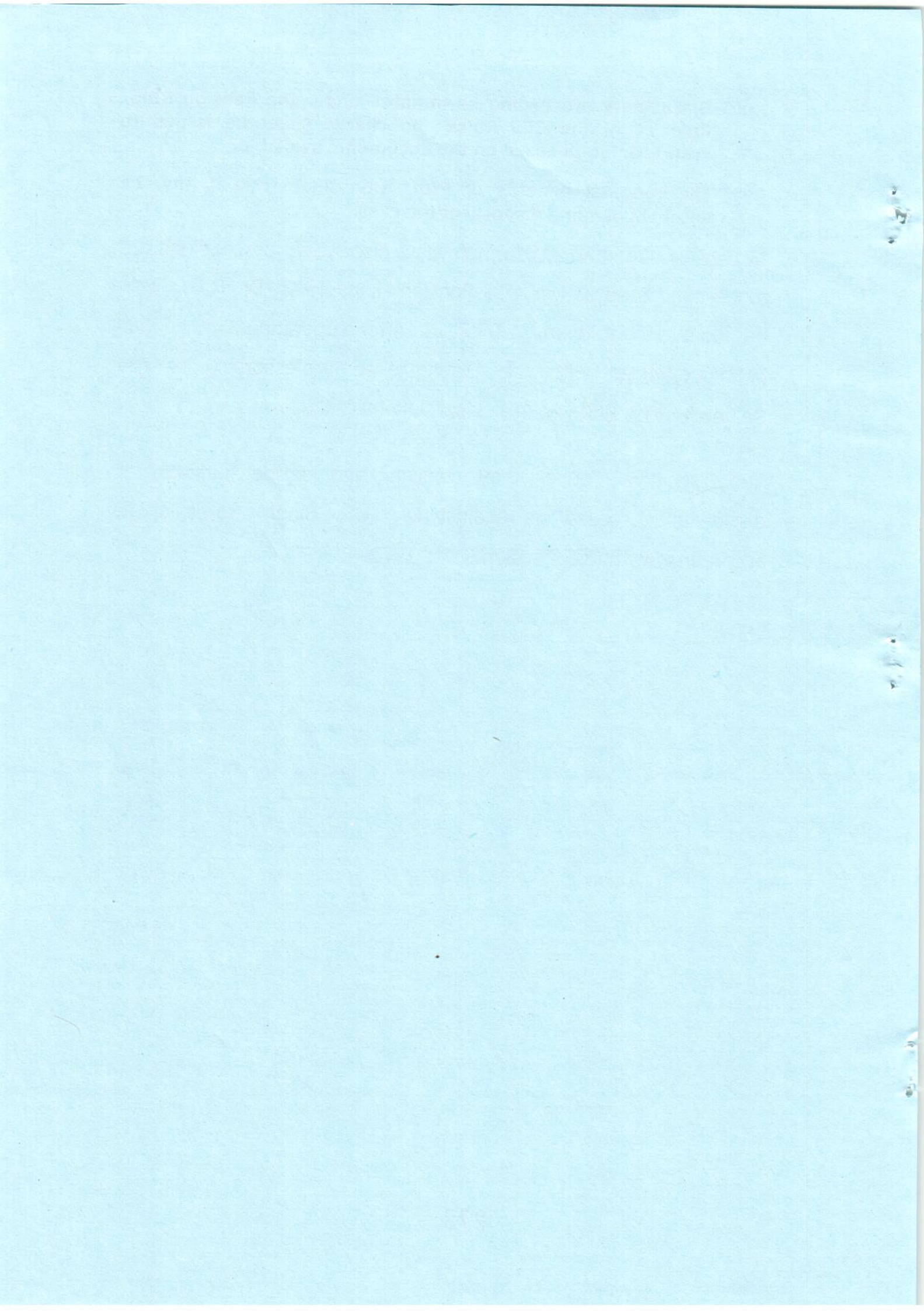
While considering the reply of Dr. R.G. Singh to the explanation sought vide letter no. R/V&CS/2016/177 dated 13-07-2016, the Vice-Chancellor has passed the following order :-

- i) **FIR be lodged against the Supplier by the Head, Department of Nephrology, IMS, BHU.**
- ii) **Show cause notice be issued to the Firm in question as to why it be not black listed.**

- iii) **Disciplinary proceeding be initiated under the Pension Rule/ Rule 14 of the CCS Rules and charge sheet be issued to Prof. R.G. Singh based on the documents available.**
- iv) **The terminal benefits of Prof. R.G. Singh, due if any, be withheld pending disposal of the case.**

In case of superannuated employees, disciplinary proceedings are to be conducted as per CCS Pension Rules 1972. Dr. R.G. Singh has superannuated on 31.05.2015. After superannuation he has been conferred with the Distinguished Professorship of the University vide ECR No. 301 dated July 08, 2015.

The Executive Council may consider and decide initiation of disciplinary proceedings against Dr. R.G. Singh aforesaid, in accordance with CCS Pension Rules 1972.



ITEM 26

To REPORT the communications No. F.31-7/97(JCRC) Vol.III dated 06 September 2016 of the Joint Secretary, UGC for withdrawing Assured Career Progression Scheme/Modified ACP Scheme in respect of Deputy Registrar / Joint Registrar.

NOTE:

Vide notification dated 31 December 2008 MHRD allowed the grade pay of Rs. 8700/- to the Dy. Registrars who have rendered 5 years of satisfactory service in grade of Rs. 7600/- w.e.f. 1.1.2006. Relevant Clause is quoted below:

- (a) On appointment as Dy. Registrar/Dy. Finance Officer/Dy. Controller of Examinations, pay scale be fixed in the PB-3 with a grade pay of 7600/-. They shall move to the PB-4 with a grade pay of Rs. 8700/-, after completing 5 years of service as Dy. Registrar/Dy. F.O./Dy. C.E."

A committee was constituted under the chairmanship of the then Rector to suggest the modalities and mechanism for granting incentives and / or providing Career Advancement to Dy. Registrars. The Committee, in its findings, has recommended that, "... the Dy. Registrars shall be placed in higher grade pay of 8900/- on completion of 10 years of service or w.e.f. 01.09.2008 whichever is later from their direct recruitment under 1st MACPS as per the hierarchy of the recommended revised pay band and grade pay. Further, placement in grade pay Rs. 8700/- in P.B.-IV after completion of 5 years, need not be taken into account for the purpose of grant of MACP scheme being mode of fixation".

The said report was placed before the EC and the EC resolved to accept the report vide ECR No.9 Dated March 15, 2012. Accordingly, as follow up action the then Dy. Registrars were

extended the scale of 8900/- w.e.f. 01.09.2008 and the grade pay of Rs. 10,000/- (next available Grade Pay after 8900/-) under MACP Scheme. Next batch of Dy. Registrars/JRs have also been given GP 8900/- under 1st MACP after 10 years of their service.

On representation of Shri M.L. Kannaujiya, Joint Registrar, the UGC asked for the reasons of extending grade pay of 10,000/- to JRs and Dy. Registrars. The detailed reply was sent to the UGC in which it was informed that grant of grade pay of 8700/- was treated as mode of fixation of pay as per decision of the EC and they were granted 8900/- and 10,000/- as 1st and 2nd MACP on completion of 10 and 20 years of service, respectively.

In response to our said letter, the UGC has clarified as under:

"... the 1st MACP and 2nd MACP granted by the University in GP of Rs. 8900/- and 10,000/- w.e.f. 01.09.2008 and 13.09.2011, respectively is wrong and should be withdrawn immediately. Further, it is also to inform you that the grant of GP Rs. 8700/- w.e.f. 01.01.2006 would be treated as 1st MACPS or promotion. The person would be eligible for 2nd MACPS in GP 8900/- w.e.f. 13.9.2011 (on completion of 20 years of service or 10 years after 1st MACPS/promotion whichever is earlier)".

Evidently the UGC is not agreeable to the contention of Banaras Hindu University and has withheld some amount of the annual grant of the University pending withdrawal of the extension of grade pay 10,000/- to Dy. Registrars to press upon the University for compliance of their direction vide letter dated 06.09.2016.

The Executive Council may consider and decide.

ITEM 27

TO CONSIDER APPROVAL for the construction of residential blocks near BHU Press for faculty members of Indian Institute of Technology (IIT), Banaras Hindu University.

NOTE

It has been informed by the IIT, BHU (Directorate) that after upgradation of erstwhile Institute of Technology, BHU as Indian Institute of Technology (IIT) in Banaras Hindu University there has been a dire need of residential blocks for faculty and non-faculty members of Indian Institute of Technology. The Director, Indian Institute of Technology (IIT), BHU had requested the Vice-Chancellor, Banaras Hindu University for the allocation of suitable land for the purpose. A site survey was also held under the chairmanship of then Vice-Chancellor, BHU-Dr. Lalji Singh with the then Director, IIT (BHU) - Dr. Dhananjay Pandey, the then Superintending Engineer, UWD, BHU-Dr. S.P. Singh, Prof. G.V.S. Sastry, Department of Metallurgical Engineering, IIT(BHU), Executive Engineer, UWD, BHU, Asstt. Engineer-I, BHU and Junior Engineer, IIT Zone BHU. Having considered 5 locations and visiting the sites, the land adjacent to BHU Press was found to be the most suitable for residential colony. The Building and Works Committee and Finance Committee of the Indian Institute of Technology, BHU had approved the proposed construction of residential blocks subject to the availability of funds and earmarking of land by the BHU. The Board Of Governor (BOG), IIT had also recorded the minutes of the Building and Works Committee and Finance Committee.

Further while considering the constitution of a Committee to decide the terms of interaction and cooperation between the IIT(BHU) and BHU on all issues concerning the two Institutions and to draft a MoU and a MoA to be signed between the two Institutions that will guide the interaction and cooperation providing adequate synergy to both the Institutions, the Executive Council at its meeting held on 28.02.2013 has resolved as under:-

RESOLVED that a committee be constituted comprising of members from both the Institutions, who shall be nominated by the Vice-chancellor, BHU and the Director, IIT(BHU), who themselves shall not be members of the Committee.

RESOLVED FURTHER that the recommendations of the committee as well as the drafted code/MoU shall be approved by the Executive Council of BHU as well as BoG of IIT(BHU) before being signed by the two Institutions.

Accordingly, the Vice-Chancellor, BHU had constituted a committee vide Notification no.R/GAD/IIT/IT Act/BHU/2204-2225 dated 12.06.2013 to draft MoU to be signed between two Institutions. Subsequently, on the basis of recommendation of the Committee, a Sub-Committee for identification, listing and demarcation of land and other fixed assets of IIT(BHU) was constituted vide Notification no.R/GAD/Comm-IIT(104)/1924-1938 dated 11/15.04.2013.

The recommendation of the committee has yet not been finalized.

Since under the Statutory provision 15(vi)(b) the powers to transfer any movable or immovable property on behalf of the University is vested in the Executive Council, the construction of proposed residential blocks at earmarked land near BHU press is held up for the want of approval of Executive Council.

It is pertinent to mention that on conversion of Institute of Technology (BHU) as Indian Institute of Technology (BHU) on 29th June, 2012, it became an autonomous institute under IIT Act, as separate from Banaras Hindu University. As per provision 5(b) of IIT (BHU) Act 2012 all property movable and immovable of or belonging to the Institute of Technology, Banaras Hindu University shall vest in the Indian Institute of Technology (Banaras Hindu University).

The land in question has neither been of IT (BHU) nor it belonged to it.

Further, the MHRD, GOI vide its letter no. F.No.20-14/2011-Desk U dated 11th October 2011 has communicated that if any land is to be alienated pending this policy, then specific approval of the Cabinet in each case of sale or long term lease of land belonging to the Government or Government controlled statutory authorities shall have to be taken through the MHRD (**APPENDIX-27**).

The Executive Council may consider and decide.

C-62

F. No. 20-14/2011-Desk U
Govt. of India
Ministry of Human Resource Development
Department of Higher Education

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New Delhi Dated 11th October, 2011

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29.10.11

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11/12/11

To,
The Vice Chancellors of all the Central Universities

Sub: Transfer or alienation of land held by the Government or Statutory authorities etc.-
- reg.

Sir/Madam
11/10/11

I have been directed to refer to the subject mentioned above and to say that Secretary (Coordination and PG) Cabinet Secretariat vide DO No. 511/2/1/2010-CAIII dated 21.03.2011 has intimated that instances have come to the notice of the Government where land in possession and control of Government/Government controlled entities is sought to be alienated through means such as sale, lease and/or license. It has been observed that the value of land has increased tremendously, especially during last one or two decades.

2. A policy with regard to transfer or alienation of land held by the Government or statutory authorities etc. is being framed by the Government. Meanwhile it has been decided that if any land is to be alienated pending this policy, then specific approval of the Cabinet in each case of sale or long term lease of land belonging to the Government or Government controlled statutory authorities shall have to be taken through the MHRD.

It is, therefore, requested that above mentioned instructions may kindly be noted for strict compliance.

Yours Sincerely,

(Ramji Pandey)

Under Secretary to the Govt. of India
PH. 23384412

Encls: As above

Registration ✓
DR (Establi)

L-587
24-10-2011

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2/11/11
Dr B.K. Singh

